

## Chapter 6 <br> Dynamic Programming

## Algorithmic Paradigms

Greed. Build up a solution incrementally, myopically optimizing some local criterion.

Divide-and-conquer. Break up a problem into two sub-problems, solve each sub-problem independently, and combine solution to sub-problems to form solution to original problem.

Dynamic programming. Break up a problem into a series of overlapping sub-problems, and build up solutions to larger and larger sub-problems.

## Dynamic Programming History

Bellman. Pioneered the systematic study of dynamic programming in the 1950s.

Etymology.

- Dynamic programming = planning over time.
- Secretary of Defense was hostile to mathematical research.
- Bellman sought an impressive name to avoid confrontation.
- "it's impossible to use dynamic in a pejorative sense"
- "something not even a Congressman could object to"

Reference: Bellman, R. E. Eye of the Hurricane, An Autobiography.

## Dynamic Programming Applications

Areas.

- Bioinformatics.
- Control theory.
- Information theory.
- Operations research.
- Computer science: theory, graphics, AI, systems, ....

Some famous dynamic programming algorithms.

- Viterbi for hidden Markov models.
- Unix diff for comparing two files.
- Smith-Waterman for sequence alignment.
- Bellman-Ford for shortest path routing in networks.
- Cocke-Kasami-Younger for parsing context free grammars.
6.1 Weighted Interval Scheduling


## Weighted Interval Scheduling

Weighted interval scheduling problem.

- Job $j$ starts at $s_{j}$, finishes at $f_{j}$, and has weight or value $v_{j}$.
- Two jobs compatible if they don't overlap.
- Goal: find maximum weight subset of mutually compatible jobs.



## Unweighted Interval Scheduling Review

Recall. Greedy algorithm works if all weights are 1.

- Consider jobs in ascending order of finish time.
- Add job to subset if it is compatible with previously chosen jobs.

Observation. Greedy algorithm can fail spectacularly if arbitrary weights are allowed.


## Weighted Interval Scheduling

Notation. Label jobs by finishing time: $f_{1} \leq f_{2} \leq \ldots \leq f_{n}$. Def. $p(j)=$ largest index $\mathrm{i}<\mathrm{j}$ such that job i is compatible with j .

Ex: $p(8)=5, p(7)=3, p(2)=0$.


## Dynamic Programming: Binary Choice

Notation. OPT $(\mathrm{j})=$ value of optimal solution to the problem consisting of job requests $1,2, \ldots, j$.

- Case 1: OPT selects job j.
- can't use incompatible jobs $\{p(j)+1, p(j)+2, \ldots, j-1\}$
- must include optimal solution to problem consisting of remaining compatible jobs $1,2, \ldots, p(j)$
- Case 2: OPT does not select job j.
- must include optimal solution to problem consisting of remaining compatible jobs $1,2, \ldots, j-1$

$$
O P T(j)=\left\{\begin{array}{cl}
0 & \text { if } \mathrm{j}=0 \\
\max \left\{v_{j}+O P T(p(j)), O P T(j-1)\right\} & \text { otherwise }
\end{array}\right.
$$

## Weighted Interval Scheduling: Brute Force

Brute force algorithm.

```
Input: n, si,\ldots,\mp@code{S},\mp@subsup{\mathbf{f}}{1}{},\ldots,\mp@subsup{\mathbf{f}}{\textrm{n}}{},\mp@subsup{\textrm{v}}{1}{},\ldots,\mp@subsup{\mathbf{v}}{\textrm{n}}{}
Sort jobs by finish times so that f}\mp@subsup{f}{1}{}\leq\mp@subsup{f}{2}{}\leq\ldots\leq\mp@subsup{f}{n}{}
Compute p(1), p(2), ... p(n)
Compute-Opt(j) {
    if (j = 0)
        return 0
    else
        return max(v}\mp@subsup{v}{j}{}+\mathrm{ Compute-Opt(p(j)), Compute-Opt(j-1))
}
```


## Weighted Interval Scheduling: Brute Force

Observation. Recursive algorithm fails spectacularly because of redundant sub-problems $\Rightarrow$ exponential algorithms.

Ex. Number of recursive calls for family of "layered" instances grows like Fibonacci sequence.


## Weighted Interval Scheduling: Memoization

Memoization. Store results of each sub-problem in a cache; lookup as needed.

```
Input: n, si,\ldots, sin},\mp@subsup{f}{1}{},\ldots,\mp@subsup{f}{n}{},\mp@subsup{v}{1}{},\ldots,\mp@subsup{v}{n}{
```



```
Compute p(1), p(2), ..., p(n)
for j = 1 to n
    M[j] = empty }\leftarrow\mathrm{ global array
M[j] = 0
M-Compute-Opt(j) {
    if (M[j] is empty)
        M[j] = max(wij M-Compute-Opt(p(j)), M-Compute-Opt(j-1))
    return M[j]
}
```


## Weighted Interval Scheduling: Running Time

Claim. Memoized version of algorithm takes $O(n \log n)$ time.

- Sort by finish time: $O(n \log n)$.
- Computing $\mathrm{p}(\cdot): O(n)$ after sorting by start time.
- M-Compute-Opt (j): each invocation takes $O$ (1) time and either
- (i) returns an existing value $m[j]$
- (ii) fills in one new entry $\mathrm{m}[\mathrm{j}]$ and makes two recursive calls
- Progress measure $\Phi=\#$ nonempty entries of $m[]$.
- initially $\Phi=0$, throughout $\Phi \leq n$.
- (ii) increases $\Phi$ by $1 \Rightarrow$ at most $2 n$ recursive calls.
- Overall running time of m-Compute-Opt (n) is $O(n)$. .

Remark. $O(n)$ if jobs are pre-sorted by start and finish times.

## Weighted Interval Scheduling: Finding a Solution

Q. Dynamic programming algorithms computes optimal value. What if we want the solution itself?
A. Do some post-processing.

```
Run M-Compute-Opt(n)
Run Find-Solution(n)
Find-Solution(j) {
    if (j = 0)
        output nothing
    else if (v
        print j
        Find-Solution(p(j))
    else
            Find-Solution(j-1)
}
```

- \# of recursive calls $\leq n \Rightarrow O(n)$.


## Weighted Interval Scheduling: Bottom-Up

Bottom-up dynamic programming. Unwind recursion.

```
Input: n, s}\mp@subsup{\mathbf{s}}{1}{},\ldots,\mp@subsup{\mathbf{S}}{\textrm{n}}{},\mp@subsup{\textrm{f}}{1}{},\ldots,\mp@subsup{\mathbf{f}}{\textrm{n}}{},\mp@subsup{\textrm{v}}{1}{},\ldots,\mp@subsup{\mathbf{v}}{\textrm{n}}{
Sort jobs by finish times so that f}\mp@subsup{f}{1}{}\leq\mp@subsup{f}{2}{}\leq\ldots\leq\mp@subsup{f}{n}{}
Compute p(1), p(2), ..., p(n)
Iterative-Compute-Opt {
    M[0] = 0
    for j = 1 to n
        M[j] = max(vj + M[p(j)], M[j-1])
}
```


### 6.3 Segmented Least Squares

## Segmented Least Squares

Least squares.

- Foundational problem in statistic and numerical analysis.
- Given $n$ points in the plane: $\left(x_{1}, y_{1}\right),\left(x_{2}, y_{2}\right), \ldots,\left(x_{n}, y_{n}\right)$.
- Find a line $y=a x+b$ that minimizes the sum of the squared error:

$$
S S E=\sum_{i=1}^{n}\left(y_{i}-a x_{i}-b\right)^{2}
$$



Solution. Calculus $\Rightarrow$ min error is achieved when

$$
a=\frac{n \sum_{i} x_{i} y_{i}-\left(\sum_{i} x_{i}\right)\left(\sum_{i} y_{i}\right)}{n \sum_{i} x_{i}^{2}-\left(\sum_{i} x_{i}\right)^{2}}, \quad b=\frac{\sum_{i} y_{i}-a \sum_{i} x_{i}}{n}
$$

## Segmented Least Squares

Segmented least squares.

- Points lie roughly on a sequence of several line segments.
- Given $n$ points in the plane $\left(x_{1}, y_{1}\right),\left(x_{2}, y_{2}\right), \ldots,\left(x_{n}, y_{n}\right)$ with
- $x_{1}<x_{2}<\ldots<x_{n}$, find a sequence of lines that minimizes $f(x)$.
Q. What's a reasonable choice for $f(x)$ to balance accuracy and parsimony?
goodness of fit



## Segmented Least Squares

Segmented least squares.

- Points lie roughly on a sequence of several line segments.
- Given $n$ points in the plane $\left(x_{1}, y_{1}\right),\left(x_{2}, y_{2}\right), \ldots,\left(x_{n}, y_{n}\right)$ with
- $x_{1}<x_{2}<\ldots<x_{n}$, find a sequence of lines that minimizes:
- the sum of the sums of the squared errors $E$ in each segment
- the number of lines $L$
- Tradeoff function: $E+c L$, for some constant $c>0$.



## Dynamic Programming: Multiway Choice

Notation.

- $\operatorname{OPT}(j)=$ minimum cost for points $p_{1}, p_{i+1}, \ldots, p_{j}$.
- $e(i, j)=$ minimum sum of squares for points $p_{i}, p_{i+1}, \ldots, p_{j}$.

To compute OPT(j):

- Last segment uses points $p_{i}, p_{i+1}, \ldots, p_{j}$ for some $i$.
- Cost $=e(i, j)+c+$ OPT(i-1).

$$
O P T(j)= \begin{cases}0 & \text { if } \mathrm{j}=0 \\ \min _{1 \leq i \leq j}\{e(i, j)+c+O P T(i-1)\} & \text { otherwise }\end{cases}
$$

## Segmented Least Squares: Algorithm

```
INPUT: n, p
Segmented-Least-Squares() {
    M[0] = 0
    for j = 1 to n
        for i = 1 to j
            compute the least square error e }\mp@subsup{e}{ij}{}\mathrm{ for
            the segment p pi,\ldots, p p
    for j = 1 to n
        M[j] = min
    return M[n]
}
```

Running time. $O\left(n^{3}\right)$.
can be improved to $O\left(n^{2}\right)$ by pre-computing various statistics

- Bottleneck = computing e $(i, j)$ for $O\left(n^{2}\right)$ pairs, $O(n)$ per pair using previous formula.


### 6.4 Knapsack Problem

## Knapsack Problem

Knapsack problem.
. Given n objects and a "knapsack."

- Item i weighs $w_{i}>0$ kilograms and has value $v_{i}>0$.
- Knapsack has capacity of W kilograms.
- Goal: fill knapsack so as to maximize total value.

Ex: $\{3,4\}$ has value 40 .

| Item | Value | Weight |
| :---: | :---: | :---: |
| 1 | 1 | 1 |
| 2 | 6 | 2 |
| 3 | 18 | 5 |
| 4 | 22 | 6 |
| 5 | 28 | 7 |

Greedy: repeatedly add item with maximum ratio $v_{i} / w_{i}$. Ex: $\{5,2,1\}$ achieves only value $=35 \Rightarrow$ greedy not optimal.

## Dynamic Programming: False Start

Def. OPT(i) $=$ max profit subset of items $1, \ldots, i$.

- Case 1: OPT does not select item i.
- OPT selects best of $\{1,2, \ldots, i-1\}$
- Case 2: OPT selects item i.
- accepting item i does not immediately imply that we will have to reject other items
- without knowing what other items were selected before i, we don' $\dagger$ even know if we have enough room for $i$

Conclusion. Need more sub-problems!

## Dynamic Programming: Adding a New Variable

Def. $\operatorname{OPT}(i, w)=\max$ profit subset of items $1, \ldots, i$ with weight limit $w$.

- Case 1: OPT does not select item i.
- OPT selects best of $\{1,2, \ldots, i-1\}$ using weight limit $w$
- Case 2: OPT selects item i.
- new weight limit $=w-w_{i}$
- OPT selects best of $\{1,2, \ldots, i-1\}$ using this new weight limit

$$
O P T(i, w)= \begin{cases}0 & \text { if } \mathrm{i}=0 \\ O P T(i-1, w) & \text { if } \mathrm{w}_{\mathrm{i}}>\mathrm{w} \\ \max \left\{O P T(i-1, w), v_{i}+O P T\left(i-1, w-w_{i}\right)\right\} & \text { otherwise }\end{cases}
$$

## Knapsack Problem: Bottom-Up

Knapsack. Fill up an $n$-by-W array.

```
Input: n, w
for w = 0 to W
    M[0, w] = 0
for i = 1 to n
    for w = 1 to w
        if (wis > w)
        M[i,w] = M[i-1,w]
        else
            M[i, w] = max {M[i-1, w], vi
return M[n, W]
```

Knapsack Algorithm
$\square$

|  |  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

$$
\begin{aligned}
& \text { OPT: }\{4,3\} \\
& \text { value }=22+18=40
\end{aligned}
$$

| Item | Value | Weight |
| :---: | :---: | :---: |
| 1 | 1 | 1 |
| 2 | 6 | 2 |
| 3 | 18 | 5 |
| 4 | 22 | 6 |
| 5 | 28 | 7 |

## Knapsack Problem: Running Time

Running time. $\Theta$ ( $n$ W).

- Not polynomial in input size!
- "Pseudo-polynomial."
- Decision version of Knapsack is NP-complete. [Chapter 8]

Knapsack approximation algorithm. There exists a polynomial algorithm that produces a feasible solution that has value within $0.01 \%$ of optimum. [Section 11.8]
6.5 RNA Secondary Structure

## RNA Secondary Structure

RNA. String $B=b_{1} b_{2} \ldots b_{n}$ over alphabet $\{A, C, G, U\}$.

Secondary structure. RNA is single-stranded so it tends to loop back and form base pairs with itself. This structure is essential for understanding behavior of molecule.

Ex: GUCGAUUGAGCGAAUGUAACAACGUGGCUACGGCGAGA

complementary base pairs: A-U,C-G

## RNA Secondary Structure

Secondary structure. A set of pairs $S=\left\{\left(b_{i}, b_{j}\right)\right\}$ that satisfy:

- [Watson-Crick.] $S$ is a matching and each pair in $S$ is a WatsonCrick complement: A-U, U-A, C-G, or G-C.
- [No sharp turns.] The ends of each pair are separated by at least 4 intervening bases. If $\left(b_{i}, b_{j}\right) \in S$, then $i<j-4$.
- [Non-crossing.] If $\left(b_{i}, b_{j}\right)$ and $\left(b_{k}, b_{l}\right)$ are two pairs in $S$, then we cannot have $\mathrm{i}<\mathrm{k}<\mathrm{j}<\mathrm{l}$.

Free energy. Usual hypothesis is that an RNA molecule will form the secondary structure with the optimum total free energy.

Goal. Given an $R N A$ molecule $B=b_{1} b_{2} \ldots b_{n}$, find a secondary structure $S$ that maximizes the number of base pairs.

## RNA Secondary Structure: Examples

## Examples.




base pair

ok

sharp turn

crossing

## RNA Secondary Structure: Subproblems

First attempt. OPT(j) = maximum number of base pairs in a secondary structure of the substring $b_{1} b_{2} \ldots b_{j}$.


Difficulty. Results in two sub-problems.

- Finding secondary structure in: $b_{1} b_{2} \ldots b_{t-1}$.
- Finding secondary structure in: $b_{t+1} b_{t+2} \ldots b_{n-1}$. $\leftarrow$ need more sub-problems


## Dynamic Programming Over Intervals

Notation. OPT $(i, j)=$ maximum number of base pairs in a secondary structure of the substring $b_{i} b_{i+1} \ldots b_{j}$.

- Case 1. If $\mathrm{i} \geq \mathrm{j}-4$.
- OPT $(i, j)=0$ by no-sharp turns condition.
- Case 2. Base $b_{j}$ is not involved in a pair.
- OPT $(i, j)=$ OPT $(i, j-1)$
- Case 3. Base $b_{j}$ pairs with $b_{t}$ for some $i \leq t<j-4$.
- non-crossing constraint decouples resulting sub-problems
- OPT $(i, j)=1+\max _{t}\{$ OPT $(i, t-1)+$ OPT $(\dagger+1, j-1)\}$
take max over $t$ such that $i \leq t<j-4$ and
$b_{+}$and $b_{j}$ are Watson-Crick complements

Remark. Same core idea in CKY algorithm to parse context-free grammars.

## Bottom Up Dynamic Programming Over Intervals

Q. What order to solve the sub-problems?
A. Do shortest intervals first.

```
RNA ( }\mp@subsup{\textrm{b}}{1}{},\ldots,\mp@subsup{b}{n}{})
    for k = 5, 6, .., n-1
        for i = 1, 2, ..., n-k
            j = i + k
            Compute M[i, j]
    return M[1, n]
}
```



Running time. $O\left(n^{3}\right)$. Final recurrence
$\operatorname{OPT}(\mathrm{i}, \mathrm{j})=\max \left(\operatorname{OPT}(\mathrm{I}, \mathrm{j}-1), 1+\max _{+}\{\operatorname{OPT}(\mathrm{i}, \mathrm{t}-1)+\operatorname{OPT}(\mathrm{t}+1, \mathrm{j}-1)\}\right)$

## Dynamic Programming Summary

Recipe.

- Characterize structure of problem.
- Recursively define value of optimal solution.
- Compute value of optimal solution.
- Construct optimal solution from computed information.

Dynamic programming techniques.

- Binary choice: weighted interval scheduling.
- Multi-way choice: segmented least squares. ■ DP to optimimize a maximum likelihood
- Adding a new variable: knapsack.
- Dynamic programming over intervals: RNA secondary structure.

CKY parsing algorithm for context-free grammar has similar structure

Top-down vs. bottom-up: different people have different intuitions.
6.6 Sequence Alignment

## String Similarity

How similar are two strings?

- ocurrance
- occurrence


5 mismatches, 1 gap

| 0 | c | - | $u$ | r | r |  | a | $n$ | c |  | e |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | c | c | $u$ | r | r |  | e | $n$ | c |  | e |
|  |  |  |  |  |  |  |  |  |  |  |  |



## Edit Distance

Applications.

- Basis for Unix diff.
- Speech recognition.
- Computational biology.

Edit distance. [Levenshtein 1966, Needleman-Wunsch 1970]

- Gap penalty $\delta$; mismatch penalty $\alpha_{p q}$.
- Cost = sum of gap and mismatch penalties.



## Sequence Alignment

Goal: Given two strings $X=x_{1} x_{2} \ldots x_{m}$ and $Y=y_{1} y_{2} \ldots y_{n}$ find alignment of minimum cost.

Def. An alignment $M$ is a set of ordered pairs $x_{i}-y_{j}$ such that each item occurs in at most one pair and no crossings.

Def. The pair $x_{i}-y_{j}$ and $x_{i^{\prime}}-y_{j^{\prime}}$ cross if $i\left\langle i^{\prime}\right.$, but $\left.j\right\rangle j^{\prime}$.

$$
\operatorname{cost}(M)=\underbrace{\sum_{\left(x_{i}, y_{j}\right) \in M} \alpha_{x_{i} y_{j}}}_{\text {mismatch }}+\underbrace{\sum_{i: x_{i} \text { unmatched } j: y_{j} \text { unmatched }} \delta+\sum_{j} \delta}_{\text {gap }}
$$

Ex: ctaccg vs. tacAtg.

| $x_{1}$ | $x_{2}$ | $x_{3}$ | $x_{4}$ | $x_{5}$ |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $x_{6}$ |  |  |  |  |  |
| $C$ | $T$ | $A$ | $C$ | $C$ | - |

Sol: $M=x_{2}-y_{1}, x_{3}-y_{2}, x_{4}-y_{3}, x_{5}-y_{4}, x_{6}-y_{6}$.


## Sequence Alignment: Problem Structure

Def. OPT $(i, j)=$ min cost of aligning strings $x_{1} x_{2} \ldots x_{i}$ and $y_{1} y_{2} \ldots y_{j}$.

- Case 1: OPT matches $x_{i}-y_{j}$.
- pay mismatch for $x_{i}-y_{j}+\min$ cost of aligning two strings

$$
x_{1} x_{2} \ldots x_{i-1} \text { and } y_{1} y_{2} \ldots y_{j-1}
$$

- Case 2a: OPT leaves $x_{i}$ unmatched.
- pay gap for $x_{i}$ and $\min$ cost of aligning $x_{1} x_{2} \ldots x_{i-1}$ and $y_{1} y_{2} \ldots y_{j}$
- Case 2b: OPT leaves $y_{j}$ unmatched.
- pay gap for $y_{j}$ and min cost of aligning $x_{1} x_{2} \ldots x_{i}$ and $y_{1} y_{2} \ldots y_{j-1}$

$$
O P T(i, j)=\left\{\begin{array}{cc}
j \delta & \text { if } \mathrm{i}=0 \\
\min \left\{\begin{array}{l}
\alpha_{x_{i} y_{j}}+O P T(i-1, j-1) \\
\delta+O P T(i-1, j) \\
\delta+O P T(i, j-1)
\end{array}\right. & \text { otherwise } \\
i \delta & \text { if } \mathrm{j}=0
\end{array}\right.
$$

Sequence Alignment: Algorithm

```
Sequence-Alignment(m, n, m}\mp@subsup{\mathbf{x}}{1}{}\mp@subsup{x}{2}{}\ldots\mp@subsup{x}{m}{},\mp@subsup{y}{1}{}\mp@subsup{y}{2}{}\ldots\mp@subsup{y}{n}{},\delta,\alpha)
    for i = 0 to m
        M[0, i] = i\delta
    for j = 0 to n
        M[j, 0] = j\delta
    for i = 1 to m
        for j = 1 to n
            M[i, j] = min(\alpha[xi, y 
            \delta + M[i-1, j],
            \delta + M[i, j-1])
    return M[m, n]
}
```

Analysis. $\Theta(m n)$ time and space.
English words or sentences: $m, n \leq 10$.
Computational biology: $m=n=100,000$. 10 billions ops OK, but 10GB array?
6.7 Sequence Alignment in Linear Space

## Sequence Alignment: Linear Space (optional)

Q. Can we avoid using quadratic space?

Easy. Optimal value in $O(m+n)$ space and $O(m n)$ time.

- Compute OPT( $\mathrm{i}, \cdot)$ from OPT $(\mathrm{i}-1, \cdot)$.
- No longer a simple way to recover alignment itself.

Theorem. [Hirschberg 1975] Optimal alignment in $O(m+n)$ space and $O(m n)$ time.

- Clever combination of divide-and-conquer and dynamic programming.
- Inspired by idea of Savitch from complexity theory.


## Sequence Alignment: Linear Space

Edit distance graph.

- Let $f(i, j)$ be shortest path from $(0,0)$ to $(i, j)$.
- Observation: $f(i, j)=\operatorname{OPT}(i, j)$.



## Sequence Alignment: Linear Space

Edit distance graph.

- Let $f(i, j)$ be shortest path from $(0,0)$ to $(i, j)$.
- Can compute $f(\cdot, j)$ for any $j$ in $O(m n)$ time and $O(m+n)$ space.



## Sequence Alignment: Linear Space

Edit distance graph.

- Let $g(i, j)$ be shortest path from $(i, j)$ to ( $m, n$ ).
- Can compute by reversing the edge orientations and inverting the roles of $(0,0)$ and $(m, n)$



## Sequence Alignment: Linear Space

Edit distance graph.

- Let $g(i, j)$ be shortest path from $(i, j)$ to $(m, n)$.
- Can compute $g(\cdot, j)$ for any $j$ in $O(m n)$ time and $O(m+n)$ space.



## Sequence Alignment: Linear Space

Observation 1. The cost of the shortest path that uses $(i, j)$ is $f(i, j)+g(i, j)$.


## Sequence Alignment: Linear Space

Observation 2. let $q$ be an index that minimizes $f(q, n / 2)+g(q, n / 2)$. Then, the shortest path from $(0,0)$ to $(m, n)$ uses $(q, n / 2)$.


## Sequence Alignment: Linear Space

Divide: find index $q$ that minimizes $f(q, n / 2)+g(q, n / 2)$ using DP.

- Align $x_{q}$ and $y_{n / 2}$.

Conquer: recursively compute optimal alignment in each piece.


Sequence Alignment: Running Time Analysis Warmup

Theorem. Let $T(m, n)=\max$ running time of algorithm on strings of length at most $m$ and $n . T(m, n)=O(m n \log n)$.

$$
T(m, n) \leq 2 T(m, n / 2)+O(m n) \Rightarrow T(m, n)=O(m n \log n)
$$

Remark. Analysis is not tight because two sub-problems are of size $(q, n / 2)$ and $(m-q, n / 2)$. In next slide, we save $\log n$ factor.

## Sequence Alignment: Running Time Analysis

Theorem. Let $T(m, n)=\max$ running time of algorithm on strings of length $m$ and $n . T(m, n)=O(m n)$.

Pf. (by induction on $n$ )

- $O(m n)$ time to compute $f(\cdot, n / 2)$ and $g(\cdot, n / 2)$ and find index $q$.
- $T(q, n / 2)+T(m-q, n / 2)$ time for two recursive calls.
- Choose constant c so that:

```
T(m,2) \leq cm
T(2,n) \leq cn
T(m,n)\leqcmn+T(q,n/2)+T(m-q,n/2)
```

- Base cases: $m=2$ or $n=2$.
- Inductive hypothesis: $T(m, n) \leq 2 \mathrm{cmn}$.

$$
\begin{aligned}
T(m, n) & \leq T(q, n / 2)+T(m-q, n / 2)+c m n \\
& \leq 2 c q n / 2+2 c(m-q) n / 2+c m n \\
& =c q n+c m n-c q n+c m n \\
& =2 c m n
\end{aligned}
$$

