### **Asymptotic Notation**

- Big  $\Theta$
- **Definition**: f(n) is in  $\Theta(g(n))$  if f(n) is bounded above and below by g(n) (within constant multiple)
  - there exist positive constant  $c_1$  and  $c_2$  and non-negative integer  $n_0$ such that  $c_1g(n) \leq f(n) \leq c_2g(n)$  for every  $n \geq n_0$
- Examples:
  - $\frac{1}{2}n(n-1) \in \Theta(n^2)$ \* why?  $- 2n - 51 \in \Theta(n)$ \* why?

## Asymptotic analysis



- Sometimes

   asymptotically slower
   algorithms work well
   for small inputs
- Overall we are interested in running time as n gets large

### Order of Growth

- Theoretical analysis focuses on ``order of growth" of an algorithm
- How the algorithm behaves as  $n \to \infty$
- Some common order of growth

 $n, n^2, n^3, n^d, \log n, \log^* n, \log \log n, n \log n, n!, 2^n, 3^n, n^n, \sqrt{n}$ 

# **Asymptotic Notation**

- Big  $O, \Omega.\Theta$
- upper, lower, tight bound (when input is sufficiently large and remain true when input is infinitely large)
- defines a set of similar functions

# $\operatorname{Big} O$

- Definition: f(n) is in O(g(n)) if "order of growth of f(n)"  $\leq$  "order of growth of g(n)" (within constant multiple)
  - there exist positive constant c and non-negative integer  $n_0$  such that  $f(n) \leq cg(n)$  for every  $n \geq n_0$
- Examples:
  - $-10n \in O(n^2)$  \* why?  $-5n+20 \in O(n)$  \* why?  $-2n+6 \notin O(\log n)$  \* why?
- g(n) is an upper bound

# $\operatorname{Big} \Theta$

- **Definition**: f(n) is in  $\Theta(g(n))$  if f(n) is bounded above and below by g(n) (within constant multiple)
  - there exist positive constant  $c_1$  and  $c_2$  and non-negative integer  $n_0$ such that  $c_1g(n) \leq f(n) \leq c_2g(n)$  for every  $n \geq n_0$
- Examples:
  - $\frac{1}{2}n(n-1) \in \Theta(n^2)$ \* why?  $- 2n - 51 \in \Theta(n)$ \* why?

• g(n) is a tight bound

# $\operatorname{Big} \Omega$

For a given function  $g(n) \Omega(g(n)) = f(n)$ There exist constant c and  $n_0$  such that:

$$0 \leq cg(n) \leq f(n)$$
 for all  $n \geq n_0$ 

f(n) **grows at least as fast as** g(n); g(n) is asymptotically lower bound.

Example:

$$\sqrt{n} = \Omega(\log n); c = 1, n_0 = 16$$

### **Asymptotic Notation**

- Asymptotic notation has been developed to provide a tool for studying order of growth
  - O(g(n)): a set of functions with the same or smaller order of growth as g(n)
    - \*  $2n^2 5n + 1 \in O(n^2)$
    - \*  $2^n + n^{100} 2 \in O(n!)$
    - \*  $2n + 6 \not\in O(\log n)$
  - $\Omega(g(n))$ : a set of functions with the same or larger order of growth as g(n)
    - \*  $2n^2 5n + 1 \in \Omega(n^2)$
    - \*  $2^n + n^{100} 2 \notin \Omega(n!)$
    - \*  $2n+6 \in \Omega(\log n)$
  - $\Theta(g(n))$ : a set of functions with the same order of growth as g(n)

\* 
$$2n^2 - 5n + 1 \in \Theta(n^2)$$
  
\*  $2^n + n^{100} - 2 \notin \Theta(n!)$ 

\*  $2n + 6 \not\in \Theta(\log n)$ 

### Useful conventions

• Set in a formula represents anonymous function in the set

$$n^2 + O(n) = O(n^2)$$

$$f(n) = n^3 + O(n^2)$$

### **Function Comparison**

 Verify the notation by compare the order of growth

 $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \begin{cases} 0 & t(n) \text{ has a smaller order of growth than } g(n) \\ c > 0 & t(n) \text{ has the same order of growth as } g(n) \\ \infty & t(n) \text{ has a larger order of growth than } g(n) \end{cases}$ 

- useful tools for computing limits
  - L'Hôpital's rule

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \lim_{n \to \infty} \frac{f'(n)}{g'(n)}$$

• Stirling's formula

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

# **Bounding Functions**

- non-recursive algorithms
- set up a sum for the number of times the basic operation is executed simplify the sum
- determine the order of growth (using asymptotic notation)

1. 
$$\sum_{1=1}^{n} 1 = 1 + 1 + \dots + 1 = n \in \Theta(n)$$
  
2. 
$$\sum_{1=1}^{n} i = 1 + 2 + \dots + n = \frac{n(n+1)}{2} \approx \frac{n^2}{2} \in \Theta(n^2)$$
  
3. 
$$\sum_{1=1}^{n} i^2 = 1 + 4 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6} \approx \frac{n^3}{3} \in \Theta(n^3)$$
  
4. 
$$\sum_{1=0}^{n} a^i = 1 + a^1 + \dots + a^n = \frac{a^{n+1} - 1}{a - 1}, \forall a \neq 1, \in \Theta(a^n)$$
  
5. 
$$\sum_{1=0}^{n} a_i + b_i = \sum_{i=0}^{n} a_i + \sum_{i=m+1}^{n} b_i$$
  
6. 
$$\sum_{i=0}^{n} ca_i = c \sum_{i=0}^{m} a_i + \sum_{i=m+1}^{n} a_i$$