

# CS583 Lecture 08

Jana Kosecka

Red-Black Trees  
Graph Algorithms

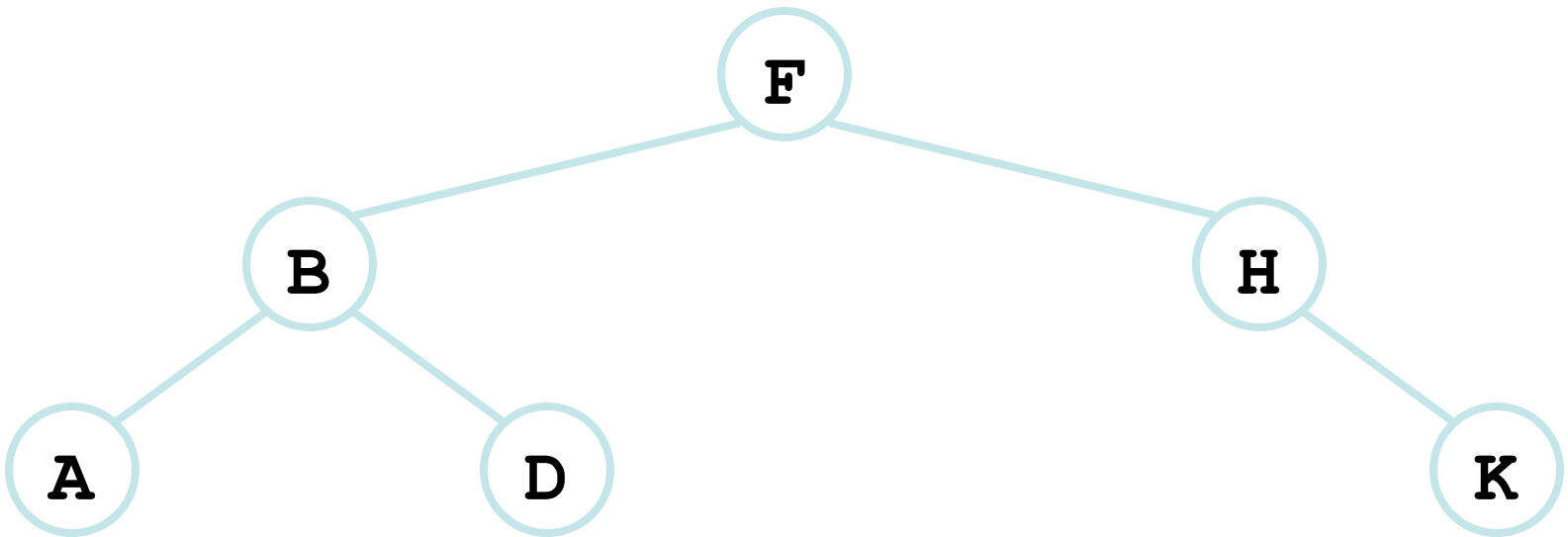
Many slides here are based on E. Demaine , D. Luebke slides

# Review: Binary Search Trees

- *Binary Search Trees* (BSTs) are an important data structure for dynamic sets
- In addition to satellite data, elements have:
  - key*: an identifying field inducing a total ordering
  - left*: pointer to a left child (may be NULL)
  - right*: pointer to a right child (may be NULL)
  - p*: pointer to a parent node (NULL for root)

# Review: Binary Search Trees

- BST property:  
 $\text{key}[\text{left}(x)] \leq \text{key}[x] \leq \text{key}[\text{right}(x)]$
- Example:



# Review: Inorder Tree Walk

- An *inorder walk* prints the set in sorted order:

**TreeWalk(x)**

**TreeWalk(left[x]) ;**

**print(x) ;**

**TreeWalk(right[x]) ;**

Easy to show by induction on the BST property

*Preorder tree walk*: print root, then left, then right

*Postorder tree walk*: print left, then right, then root

# Review: BST Search

```
TreeSearch(x, k)
    if (x = NULL or k = key[x])
        return x;
    if (k < key[x])
        return TreeSearch(left[x], k);
    else
        return TreeSearch(right[x], k);
```

# Review: BST Search (Iterative)

```
IterativeTreeSearch(x, k)
    while (x != NULL and k != key[x])
        if (k < key[x])
            x = left[x];
        else
            x = right[x];
    return x;
```

## Review: BST Insert

- Adds an element  $x$  to the tree so that the binary search tree property continues to hold
- The basic algorithm
  - Like the search procedure above
  - Insert  $x$  in place of NULL
  - Use a “trailing pointer” to keep track of where you came from (like inserting into singly linked list)
- Like search, takes time  $O(h)$ ,  $h$  = tree height

# Review: Sorting With BSTs

- Basic algorithm:
  - Insert elements of unsorted array from  $1..n$
  - Do an inorder tree walk to print in sorted order
- Running time:
  - Best case:  $\Omega(n \lg n)$  (it's a comparison sort)
  - Worst case:  $O(n^2)$
  - Average case:  $O(n \lg n)$  (it's a quicksort!)



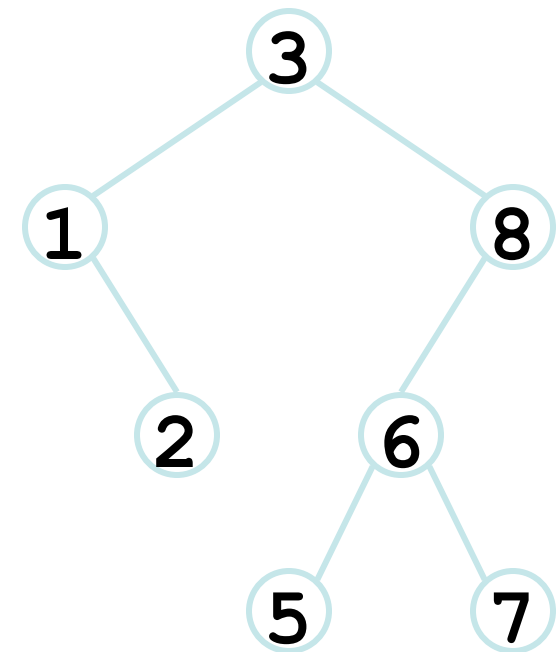
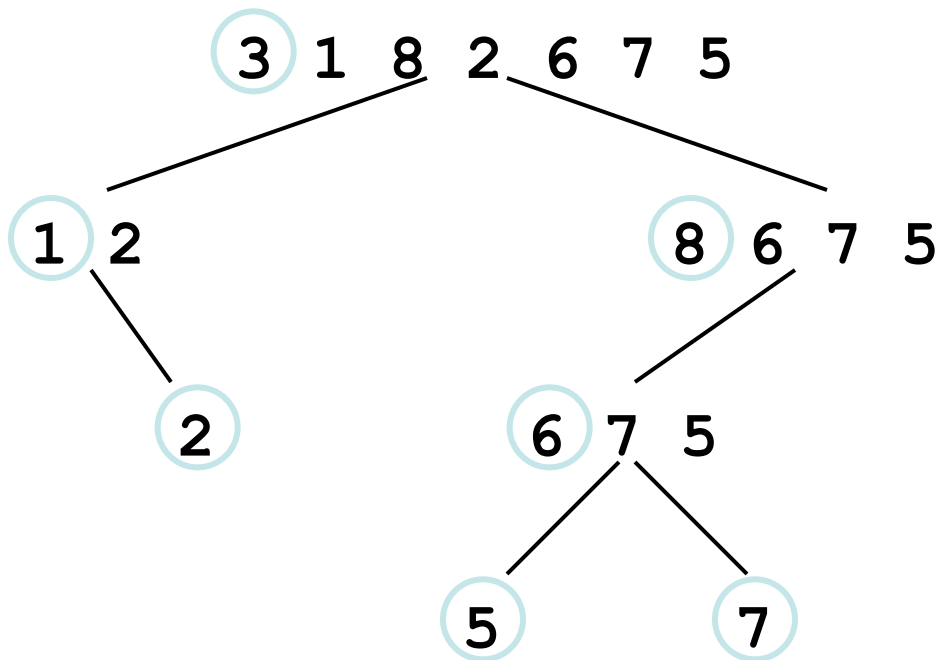
# Review: Sorting With BSTs

- Average case analysis  
It's a form of quicksort!

**for**  $i=1$  **to**  $n$

**TreeInsert**( $A[i]$ ) ;

**InorderTreeWalk**( $root$ ) ;



# Review: More BST Operations

- Minimum:  
Find leftmost node in tree
- Successor:  
x has a right subtree: successor is minimum node in right subtree  
x has no right subtree: successor is first ancestor of x whose left child is also ancestor of x  
Intuition: As long as you move to the left up the tree, you're visiting smaller nodes.
- Predecessor: similar to successor

# Review: More BST Operations

- Delete:

x has no children:

Remove x

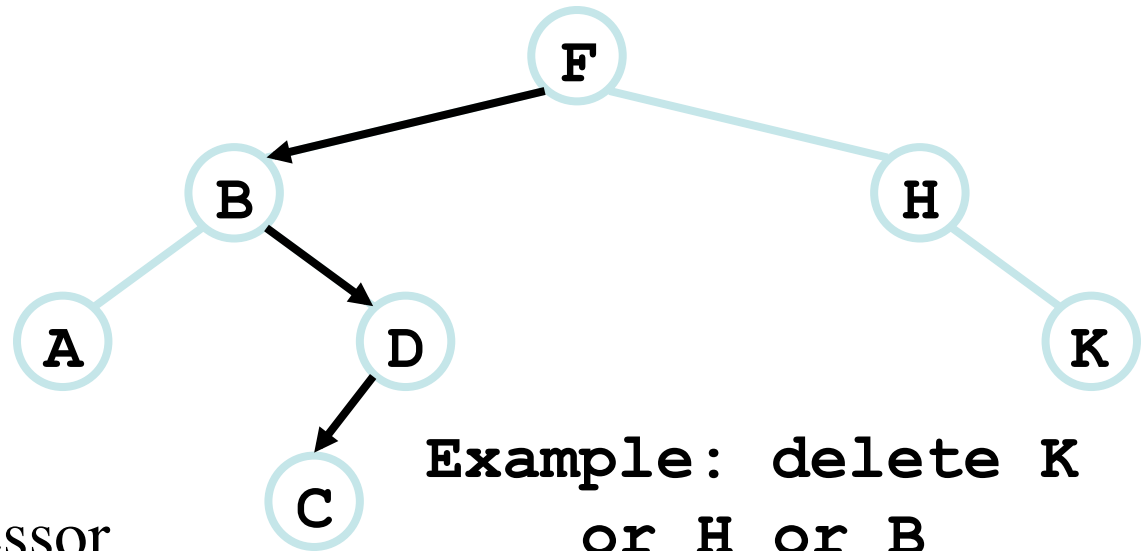
x has one child:

Splice out x

x has two children:

Swap x with successor

Perform case 1 or 2 to delete it



**Example: delete K  
or H or B**

# Red-Black Trees

- *Red-black trees:*  
Binary search trees augmented with node color  
Operations designed to guarantee that the height  
 $h = O(\lg n)$
- First: describe the properties of red-black trees
- Then: prove that these guarantee  $h = O(\lg n)$
- Finally: describe operations on red-black trees

# Red-Black Properties

- The *red-black properties*:
  1. Every node is either red or black
  2. Every leaf (NULL pointer) is black  
Note: this means every “real” node has children
  3. If a node is red, both children are black  
Note: can’t have 2 consecutive reds on a path
  4. Every path from node to descendent leaf contains the same number of black nodes
  5. The root is always black

# Review: Red-Black Trees

- Put example on board and verify properties:
  1. Every node is either red or black
  2. Every leaf (NULL pointer) is black
  3. If a node is red, both children are black
  4. Every path from node to descendent leaf contains the same number of black nodes
  5. The root is always black
- *black-height*: # black nodes on path to leaf  
Label example with  $h$  and  $bh$  values

# Review: Height of Red-Black Trees

- *What is the minimum black-height of a node with height  $h$ ?*
- A: a height- $h$  node has black-height  $\geq h/2$
- Theorem: A red-black tree with  $n$  internal nodes has height  $h \leq 2 \lg(n + 1)$

# RB Trees: Proving Height Bound

- Thus at the root of the red-black tree:

$$n \geq 2^{\text{bh}(\text{root})} - 1 \quad (\text{Why?})$$

$$n \geq 2^{h/2} - 1 \quad (\text{Why?})$$

$$\lg(n+1) \geq h/2 \quad (\text{Why?})$$

$$h \leq 2 \lg(n+1) \quad (\text{Why?})$$

Thus  $h = O(\lg n)$

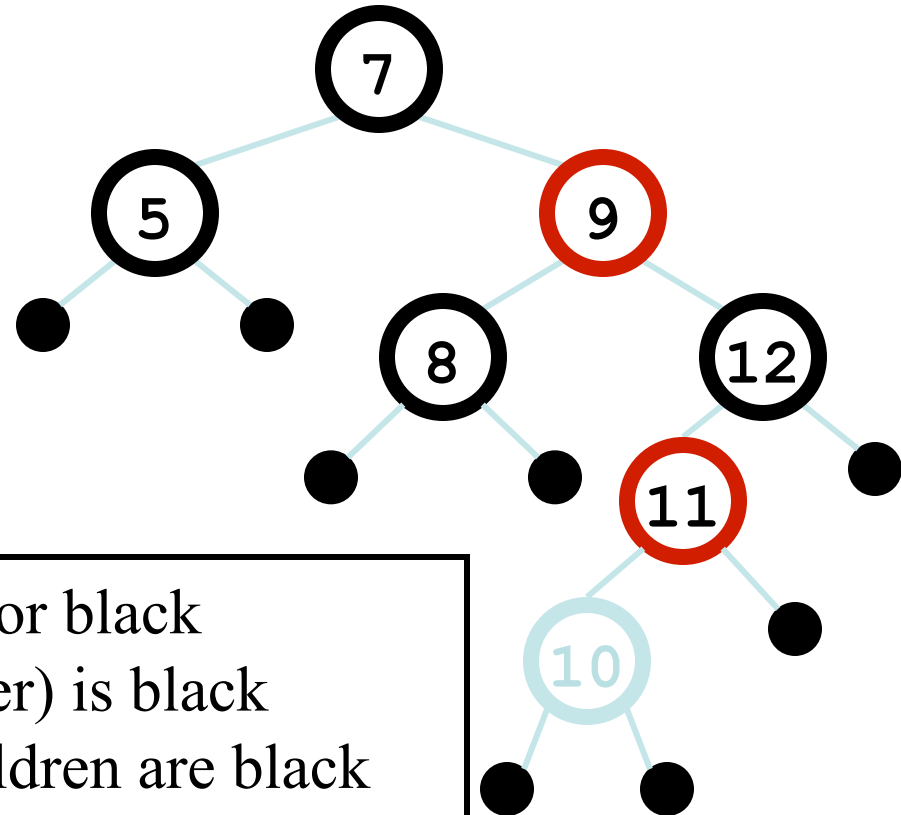


# Red-Black Trees: The Problem With Insertion

- Insert 10

*Where does it go?*

*What color?*



1. Every node is either red or black
2. Every leaf (NULL pointer) is black
3. If a node is red, both children are black
4. Every path from node to descendent leaf contains the same number of black nodes
5. The root is always black

# Red-Black Trees: The Problem With Insertion

- Insert 10

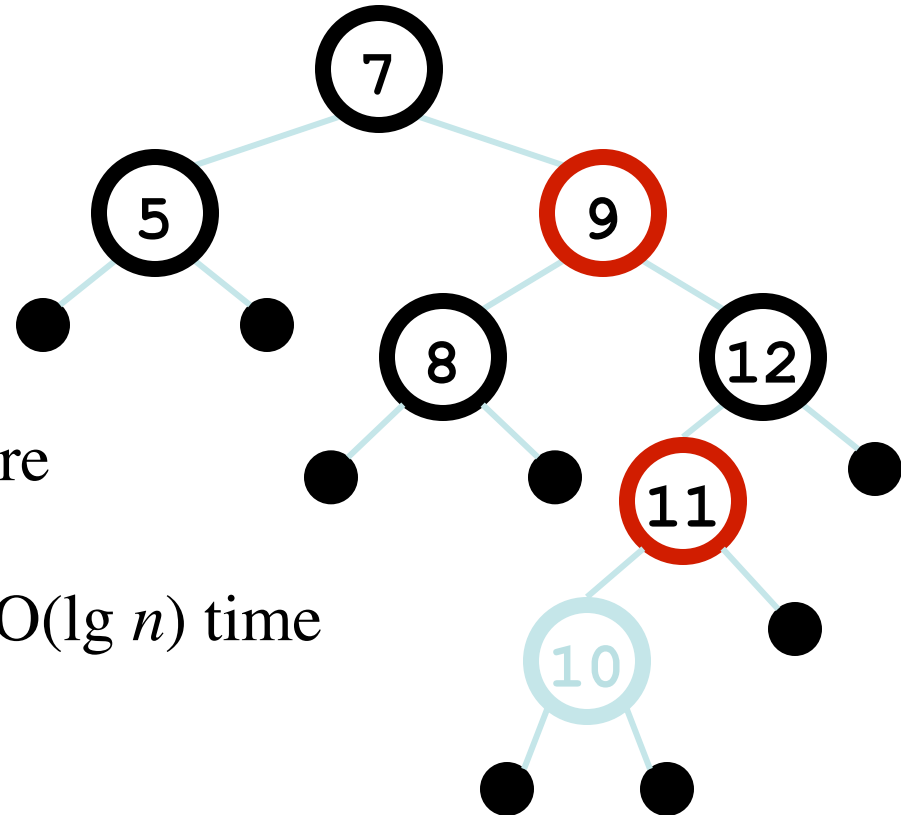
*Where does it go?*

*What color?*

A: no color! Tree  
is too imbalanced

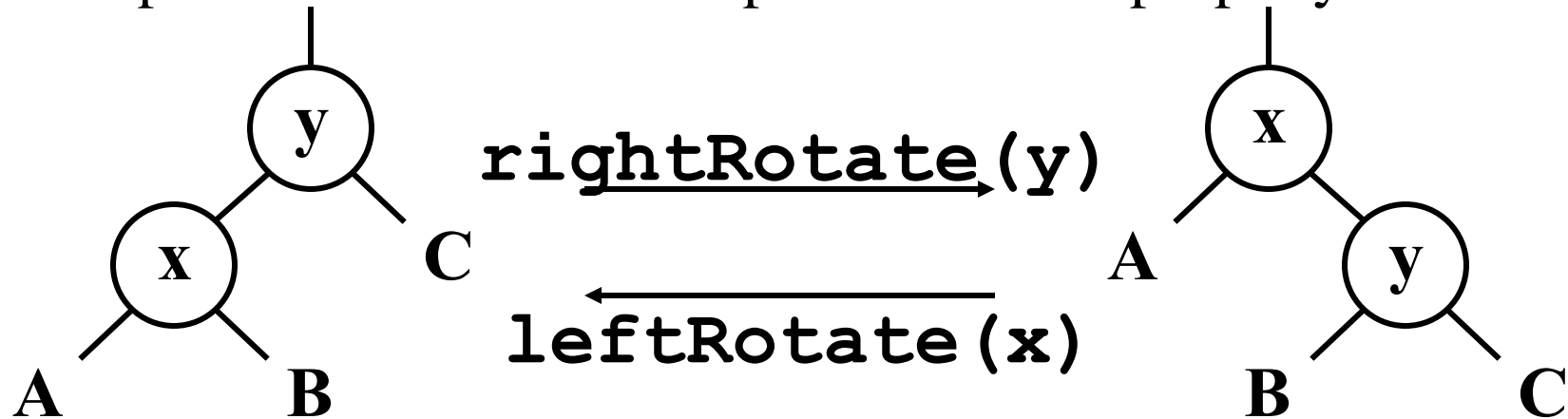
Must change tree structure  
to allow recoloring

Goal: restructure tree in  $O(\lg n)$  time



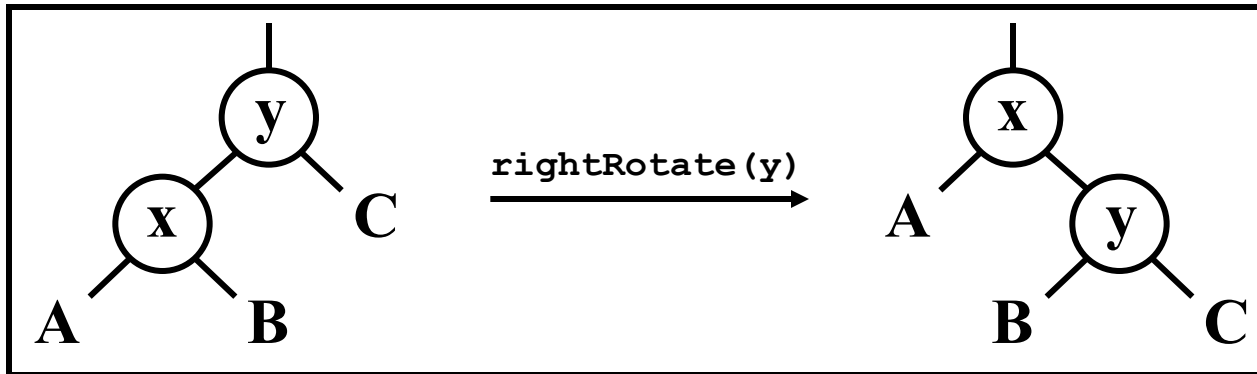
# Review: RB Trees: Rotation

- Our basic operation for changing tree structure is called *rotation*:
- Operation on BST which preserves BST property



- *Does rotation preserve inorder key ordering?*
- *What would the code for `rightRotate()` actually do?*

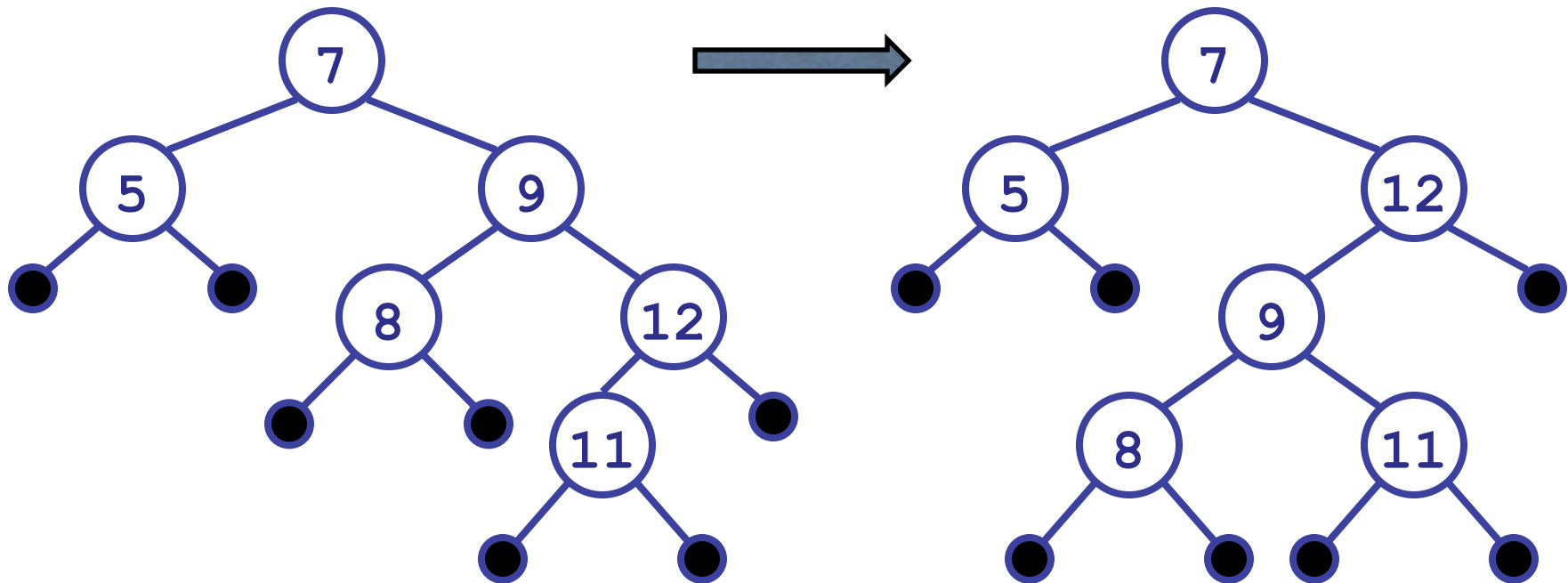
# RB Trees: Rotation



- Answer: A lot of pointer manipulation
  - $x$  keeps its left child
  - $y$  keeps its right child
  - $x$ 's right child becomes  $y$ 's left child
  - $x$ 's and  $y$ 's parents change
- *What is the running time?*

# Rotation Example

- Rotate left about 9:



# Red-Black Trees: Insertion

- Insertion: the basic idea
- Insert  $x$  into tree, color  $x$  red
- Only r-b property 3 might be violated (if  $p[x]$  red)
- If so, move violation up tree until a place is found where it can be fixed
- Total time will be  $O(\lg n)$

**rbInsert(x)**

treeInsert(x);

x->color = RED;

*// Move violation of #3 up tree, maintaining #4 as invariant:*

while (x!=root && x->p->color == RED)

if (x->p == x->p->p->left)

    y = x->p->p->right;

    if (y->color == RED)

        x->p->color = BLACK;

        y->color = BLACK;

        x->p->p->color = RED;

        x = x->p->p;

    else *// y->color == BLACK*

        if (x == x->p->right)

            x = x->p;

            leftRotate(x);

        x->p->color = BLACK;

        x->p->p->color = RED;

        rightRotate(x->p->p);

else *// x->p == x->p->p->right*

    (same as above, but with

    "right" & "left" exchanged)

Case 1

Case 2

Case 3

**rbInsert(x)**

```
treeInsert(x);
```

```
x->color = RED;
```

```
// Move violation of #3 up tree, maintaining #4 as invariant:
```

```
while (x!=root && x->p->color == RED)
```

```
if (x->p == x->p->p->left)
```

```
    y = x->p->p->right;
```

```
    if (y->color == RED)
```

```
        x->p->color = BLACK;
```

```
        y->color = BLACK;
```

```
        x->p->p->color = RED;
```

```
        x = x->p->p;
```

```
    else // y->color == BLACK
```

```
        if (x == x->p->right)
```

```
            x = x->p;
```

```
            leftRotate(x);
```

```
            x->p->color = BLACK;
```

```
            x->p->p->color = RED;
```

```
            rightRotate(x->p->p);
```

```
else // x->p == x->p->p->right
```

```
    (same as above, but with
```

```
    "right" & "left" exchanged)
```

Case 1:uncle is RED

Case 2

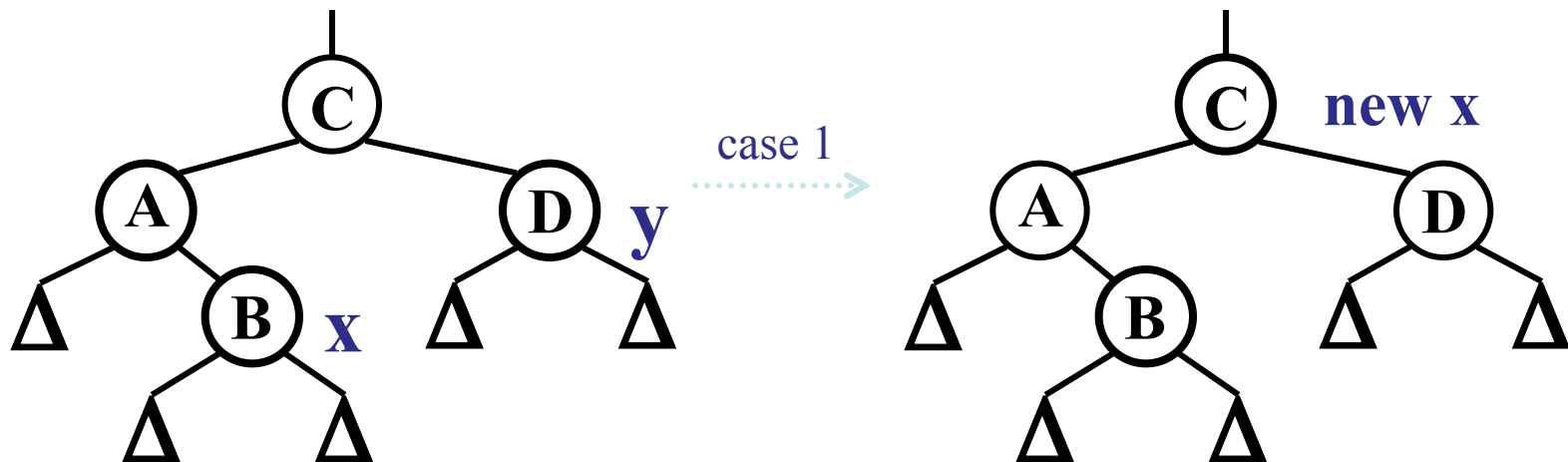
Case 3



# RB Insert: Case 1

```
if (y->color == RED)
  x->p->color = BLACK;
  y->color = BLACK;
  x->p->p->color = RED;
  x = x->p->p;
```

- Case 1: “uncle” is red
- In figures below, all  $\Delta$ 's are equal-black-height subtrees



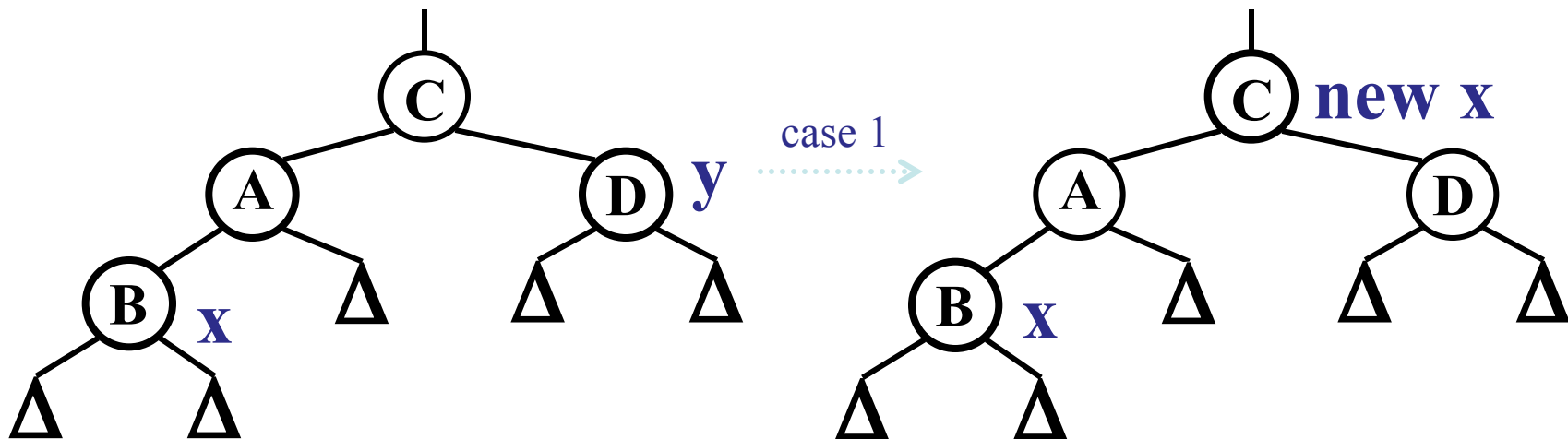
Change colors of some nodes, preserving #4:  
all downward paths have equal b.h.

The while loop now continues with x's grandparent as the new x

# RB Insert: Case 1

```
if (y->color == RED)
  x->p->color = BLACK;
  y->color = BLACK;
  x->p->p->color = RED;
  x = x->p->p;
```

- Case 1: “uncle” is red
- In figures below, all  $\Delta$ 's are equal-black-height subtrees

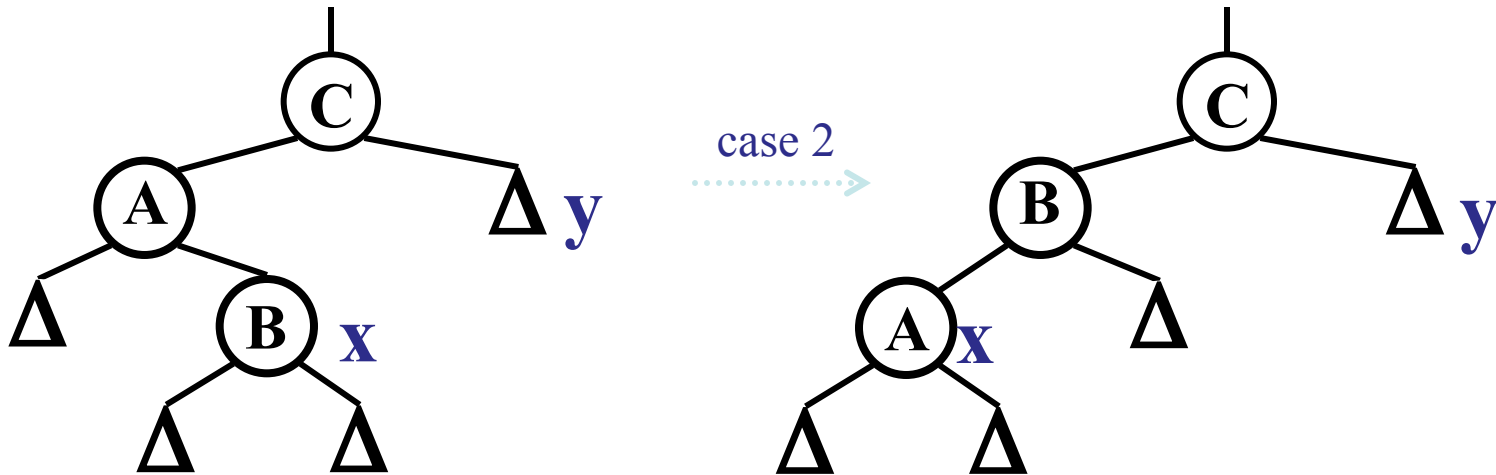


Same action whether x is a left or a right child

## RB Insert: Case 2

```
if (x == x->p->right)
    x = x->p;
    leftRotate(x);
// continue with case 3 code
```

- Case 2:  
“Uncle” is black  
Node  $x$  is a right child
- Transform to case 3 via a left-rotation



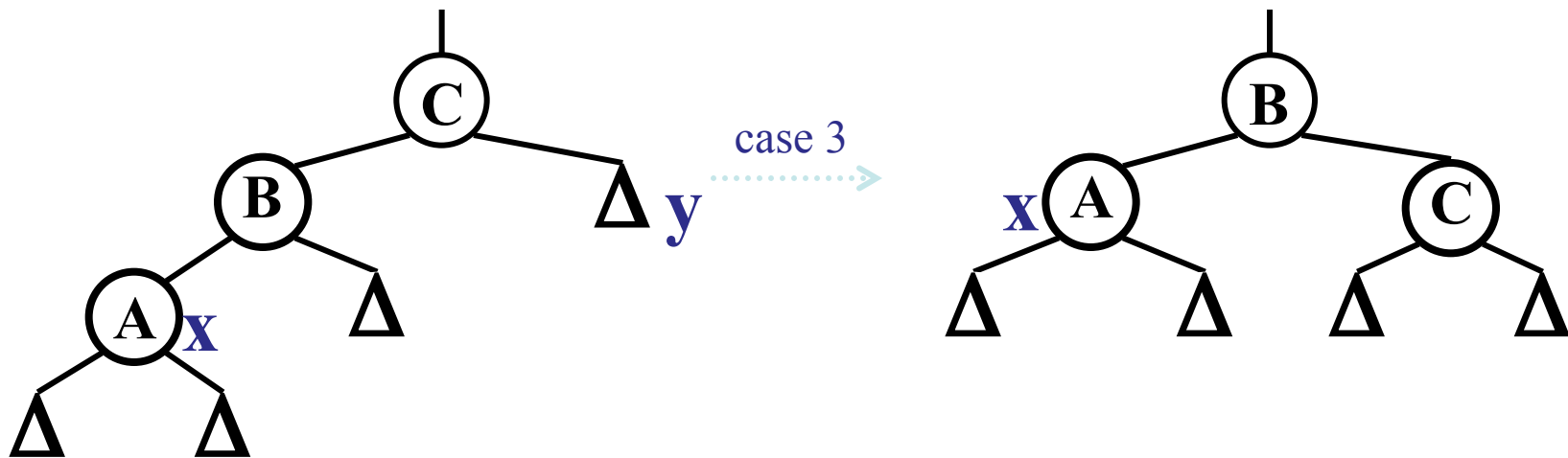
Transform case 2 into case 3 ( $x$  is left child) with a left rotation

This preserves property 4: all downward paths contain same number of black nodes

## RB Insert: Case 3

```
x->p->color = BLACK;  
x->p->p->color = RED;  
rightRotate(x->p->p);
```

- Case 3:  
“Uncle” is black  
Node  $x$  is a left child
- Change colors; rotate right



Perform some color changes and do a right rotation

Again, preserves property 4: all downward paths contain same number of black nodes

## RB Insert: Cases 4-6

- Cases 1-3 hold if  $x$ 's parent is a left child
- If  $x$ 's parent is a right child, cases 4-6 are symmetric (swap left for right)

# Red-Black Trees: Deletion

- And you thought insertion was tricky...
- We will not cover RB delete in class  
You should read section 14.4 on your own  
Read for the overall picture, not the details

# The End

- Coming up:  
Graph Algorithms

# CS 583: Lecture 08

Jana Kosecka

Graph Algorithms



# Graphs

- A graph  $G = (V, E)$ 
  - $V$  = set of vertices
  - $E$  = set of edges = subset of  $V \times V$
  - Thus  $|E| = O(|V|^2)$

# Graph Variations

- Variations:

A *connected graph* has a path from every vertex to every other

In an *undirected graph*:

Edge  $(u,v)$  = edge  $(v,u)$

No self-loops

In a *directed graph*:

Edge  $(u,v)$  goes from vertex  $u$  to vertex  $v$ , notated

$u \rightarrow v$

# Graph Variations

- More variations:

A *weighted graph* associates weights with either the edges or the vertices

E.g., a road map: edges might be weighted w/ distance

A *multigraph* allows multiple edges between the same vertices

E.g., the call graph in a program (a function can get called from multiple points in another function)

# Graphs

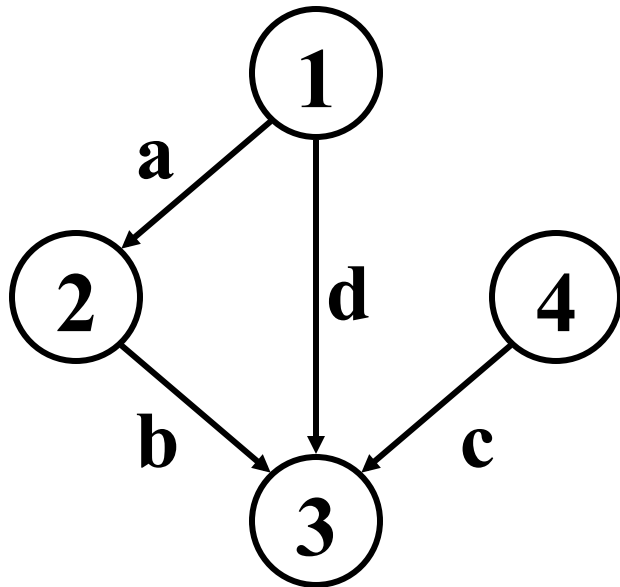
- We will typically express running times in terms of  $|E|$  and  $|V|$  (often dropping the  $|$ 's)
  - If  $|E| \approx |V|^2$  the graph is *dense*
  - If  $|E| \approx |V|$  the graph is *sparse*
- If you know you are dealing with dense or sparse graphs, different data structures may make sense

# Representing Graphs

- Assume  $V = \{1, 2, \dots, n\}$
- An *adjacency matrix* represents the graph as a  $n \times n$  matrix  $A$ :  
 $A[i, j] = 1$  if edge  $(i, j) \in E$  (or weight of edge)  
 $= 0$  if edge  $(i, j) \notin E$

# Graphs: Adjacency Matrix

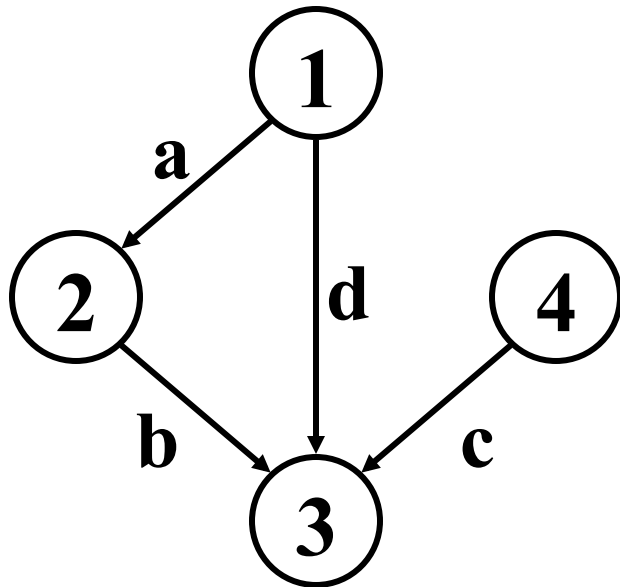
- Example:



A	1	2	3	4
1				
2				
3			??	
4				

# Graphs: Adjacency Matrix

- Example:



A	1	2	3	4
1	0	1	1	0
2	0	0	1	0
3	0	0	0	0
4	0	0	1	0

# Graphs: Adjacency Matrix

- *How much storage does the adjacency matrix require?*
- A:  $O(V^2)$
- *What is the minimum amount of storage needed by an adjacency matrix representation of an undirected graph with 4 vertices?*
- A: 6 bits  
Undirected graph  $\rightarrow$  matrix is symmetric  
No self-loops  $\rightarrow$  don't need diagonal

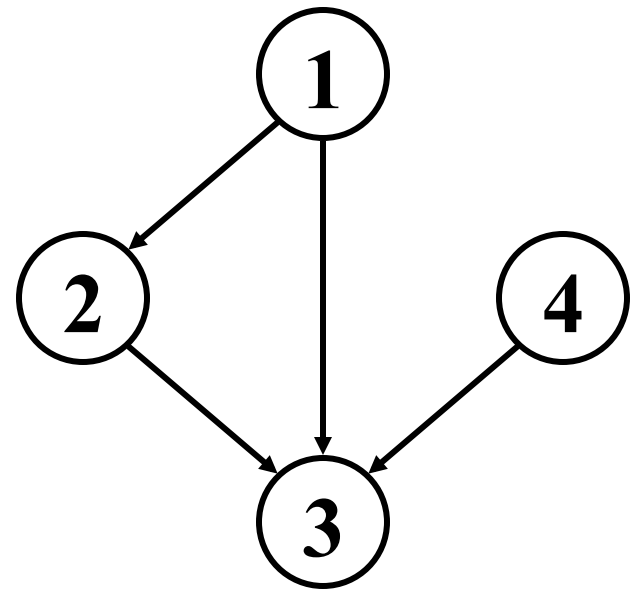


# Graphs: Adjacency Matrix

- The adjacency matrix is a dense representation  
Usually too much storage for large graphs  
But can be very efficient for small graphs
- Most large interesting graphs are sparse  
E.g., planar graphs, in which no edges cross, have  $|E| = O(|V|)$  by Euler's formula  
For this reason the *adjacency list* is often a more appropriate representation

# Graphs: Adjacency List

- Adjacency list: for each vertex  $v \in V$ , store a list of vertices adjacent to  $v$
- Example:
  - $\text{Adj}[1] = \{2,3\}$
  - $\text{Adj}[2] = \{3\}$
  - $\text{Adj}[3] = \{\}$
  - $\text{Adj}[4] = \{3\}$
- Variation: can also keep a list of edges coming *into* vertex



# Graphs: Adjacency List

- How much storage is required?

The *degree* of a vertex  $v$  = # incident edges

Directed graphs have in-degree, out-degree

For directed graphs, # of items in adjacency lists is

$$\sum \text{out-degree}(v) = |E|$$

takes  $\Theta(V + E)$  storage (Why?)

For undirected graphs, # items in adj lists is

$$\sum \text{degree}(v) = 2 |E| \quad (\text{handshaking lemma})$$

also  $\Theta(V + E)$  storage

- So: Adjacency lists take  $O(V+E)$  storage

# Graph Searching

- Given: a graph  $G = (V, E)$ , directed or undirected
- Goal: methodically explore every vertex and every edge
- Ultimately: build a tree on the graph
  - Pick a vertex as the root
  - Choose certain edges to produce a tree
  - Note: might also build a *forest* if graph is not connected

# Breadth-First Search

- “Explore” a graph, turning it into a tree
  - One vertex at a time
  - Expand frontier of explored vertices across the *breadth* of the frontier
- Builds a tree over the graph
  - Pick a *source vertex* to be the root
  - Find (“discover”) its children, then their children, etc.

# Breadth-First Search

- Again will associate vertex “colors” to guide the algorithm
  - White vertices have not been discovered
  - All vertices start out white
  - Grey vertices are discovered but not fully explored
  - They may be adjacent to white vertices
  - Black vertices are discovered and fully explored
  - They are adjacent only to black and gray vertices
- Explore vertices by scanning adjacency list of grey vertices

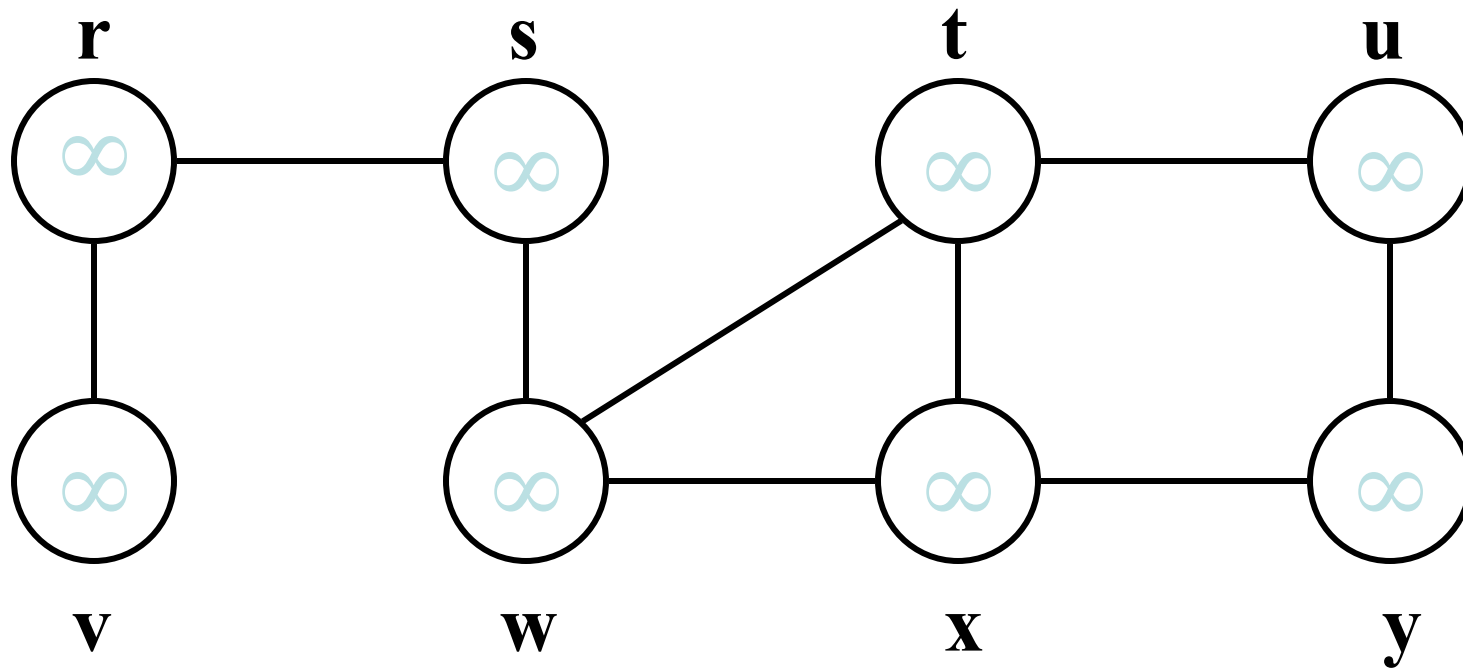
# Breadth-First Search

```
BFS(G, s) {  
    initialize vertices;  
    Q = {s};           // Q is a queue (duh); initialize  
    to s  
    while (Q not empty) {  
        u = RemoveTop(Q);  
        for each v ∈ u->adj {  
            if (v->color == WHITE)  
                v->color = GREY;  
                v->d = u->d + 1;  
                v->p = u;  
                Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

What does  $v \rightarrow d$  represent?

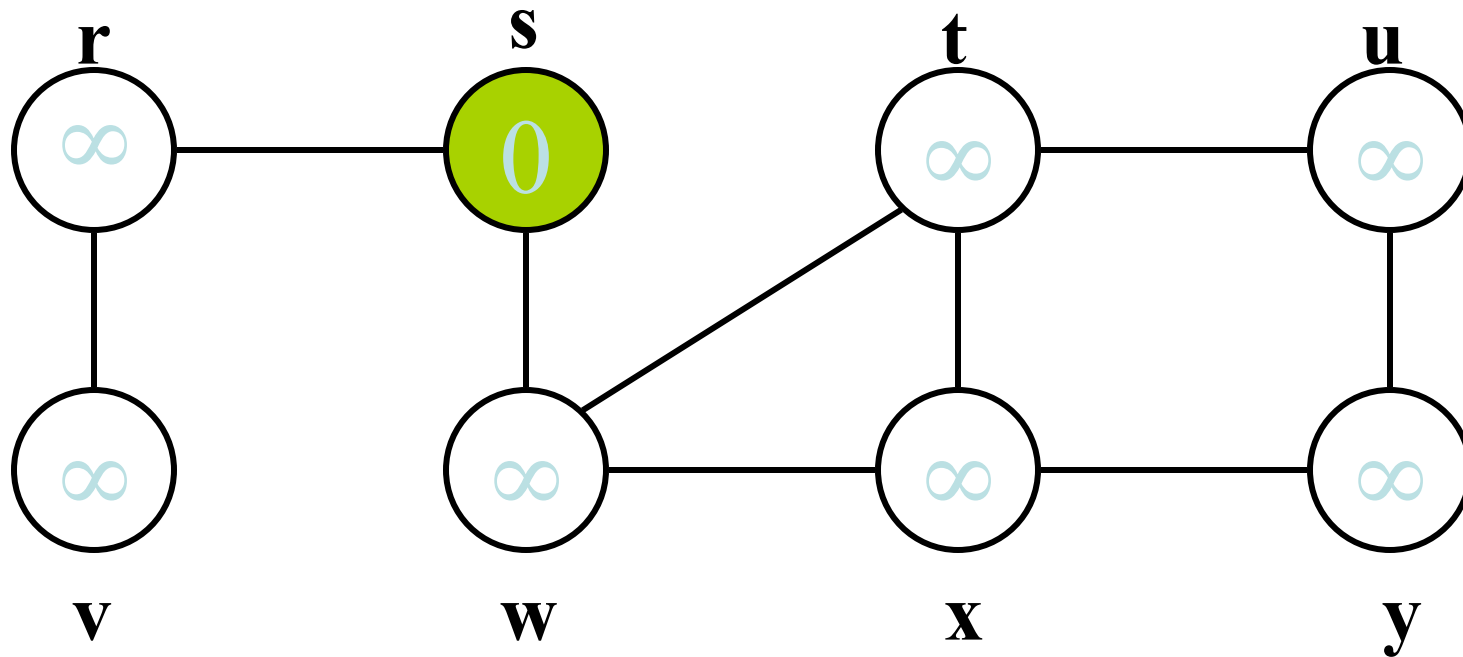
What does  $v \rightarrow p$  represent?

# Breadth-First Search: Example



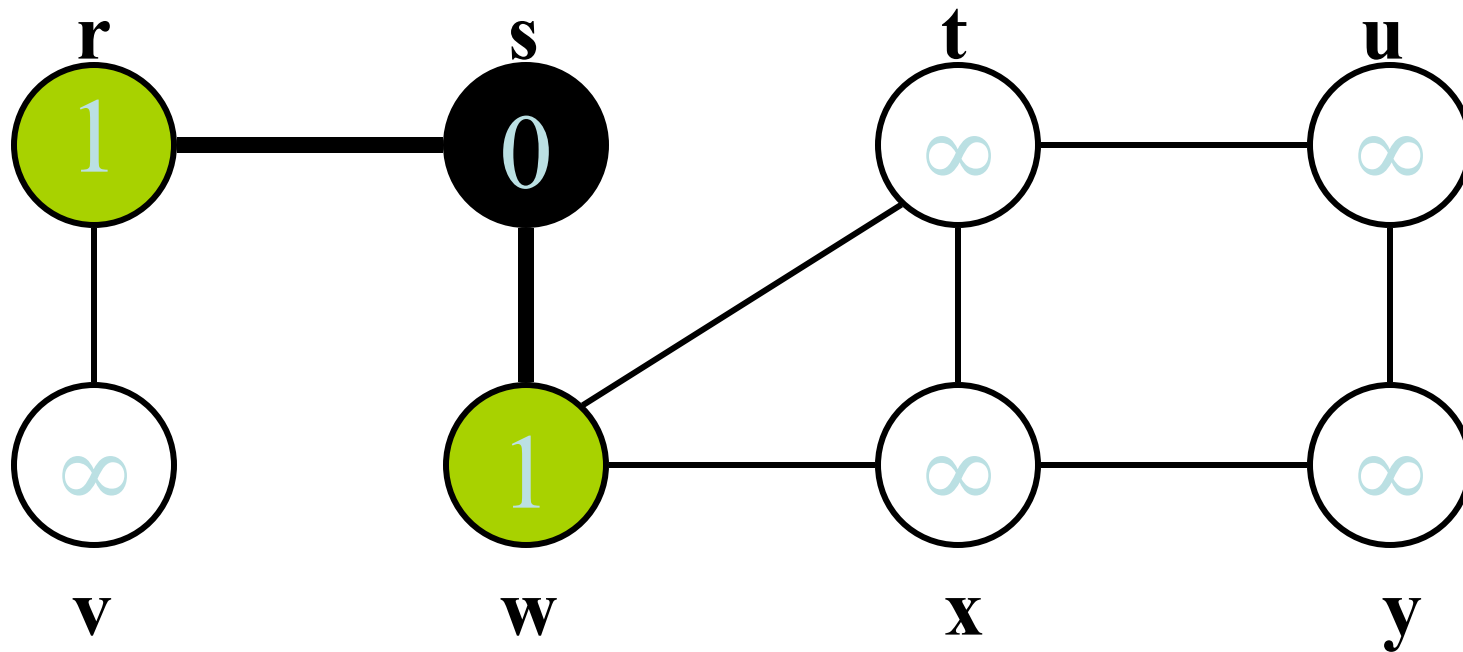


# Breadth-First Search: Example



**Q:** s

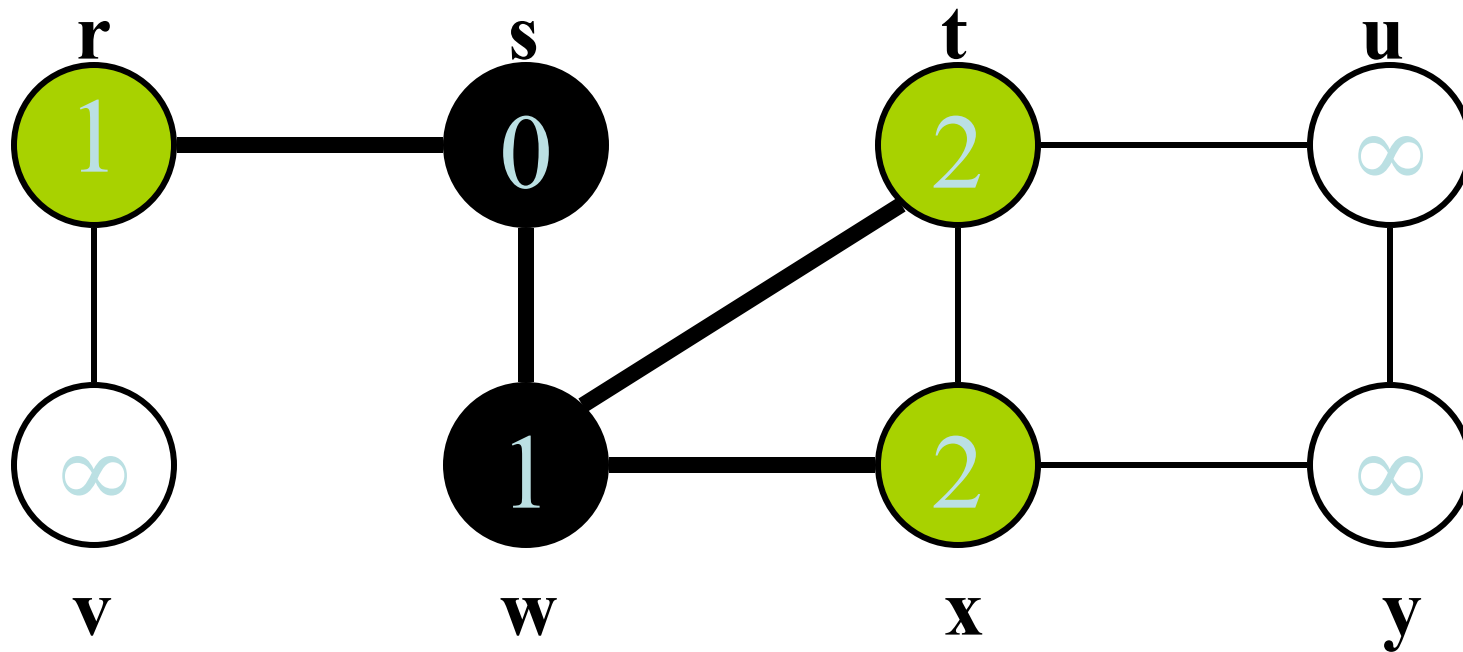
# Breadth-First Search: Example



**Q:**

<b>w</b>	<b>r</b>
----------	----------

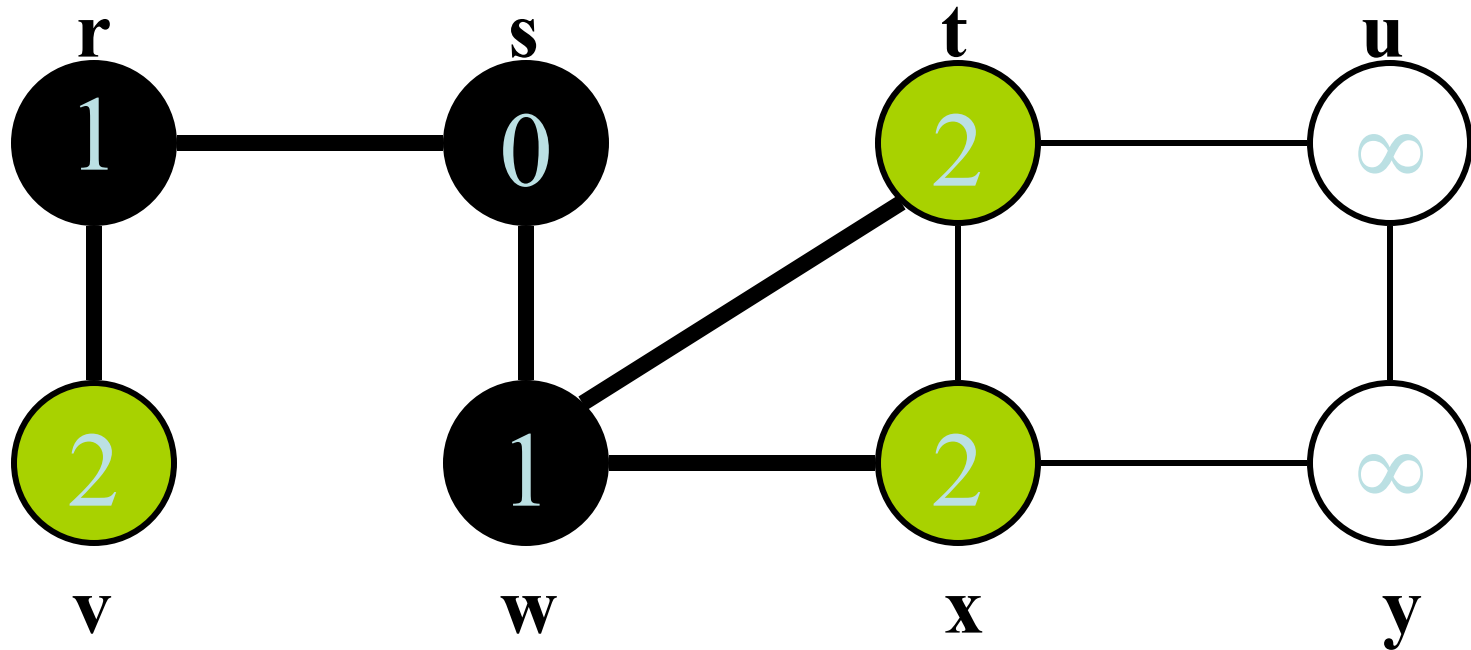
# Breadth-First Search: Example



Q: 

r	t	x
---	---	---

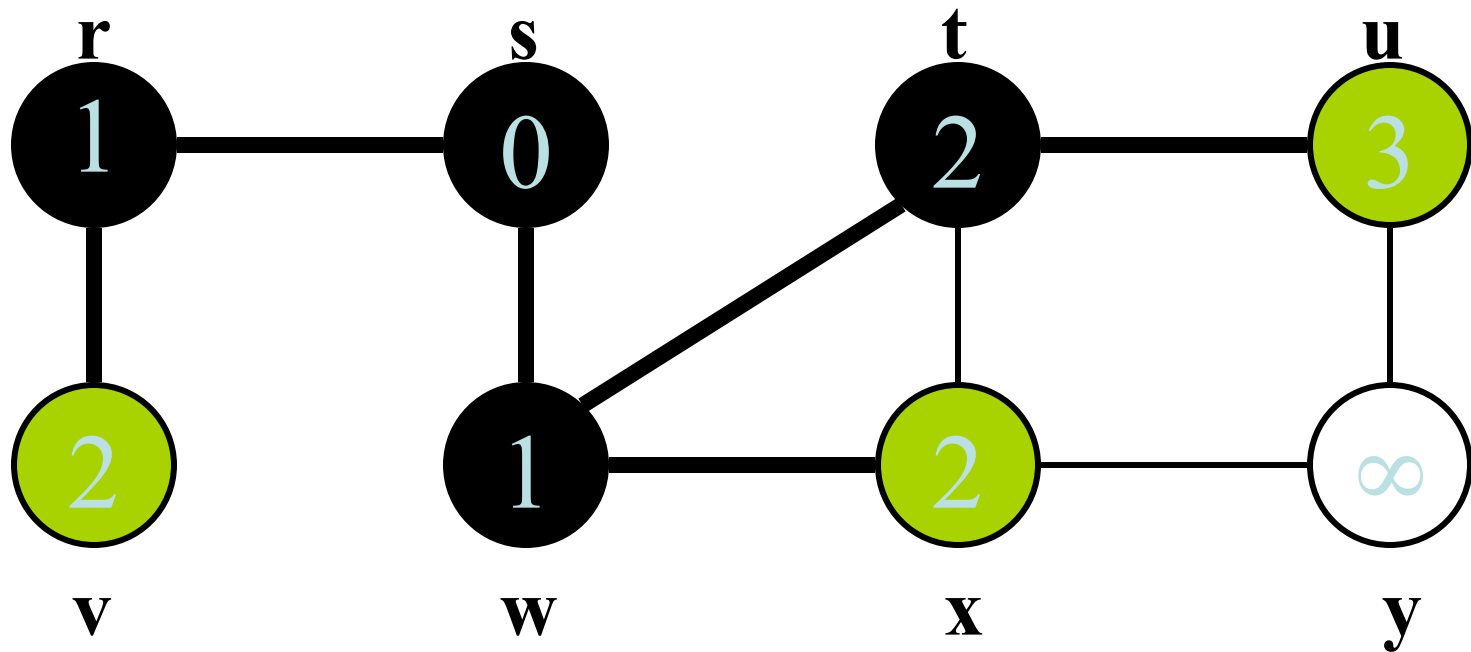
# Breadth-First Search: Example



Q: 

t	x	v
---	---	---

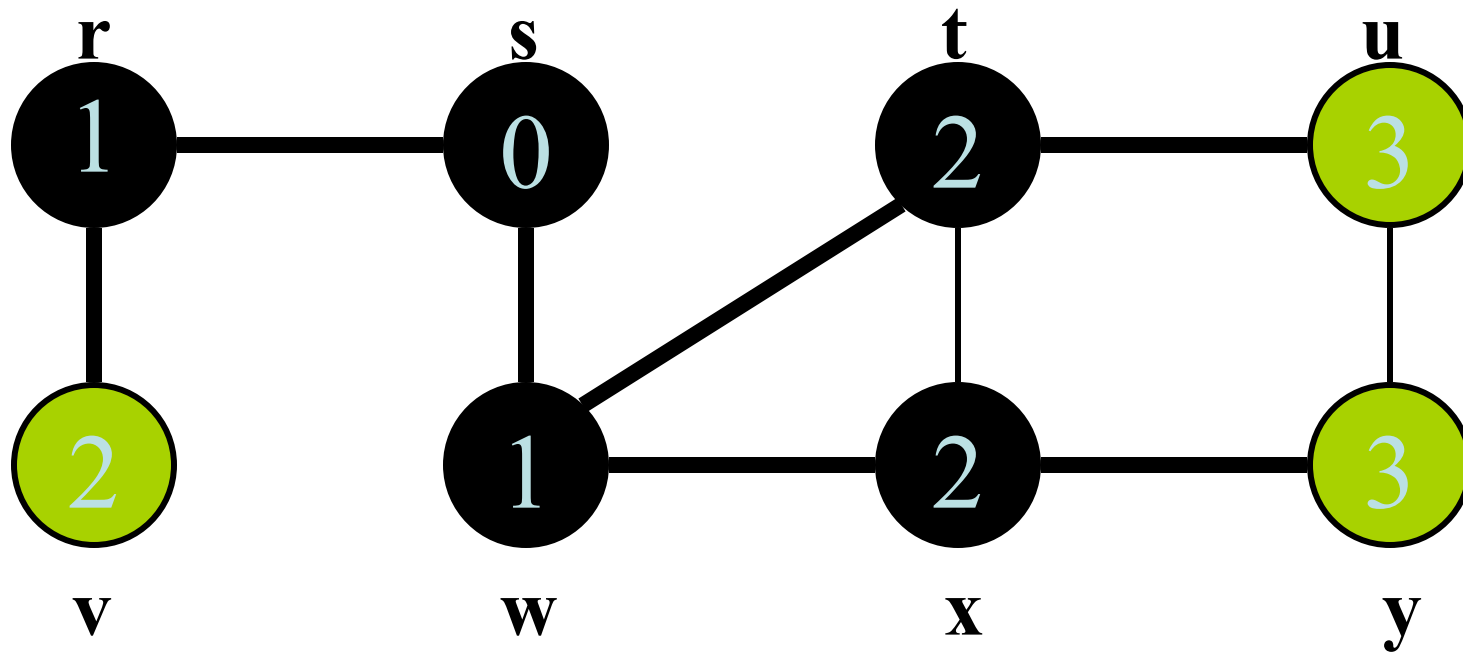
# Breadth-First Search: Example



Q: 

<b>x</b>	<b>v</b>	<b>u</b>
----------	----------	----------

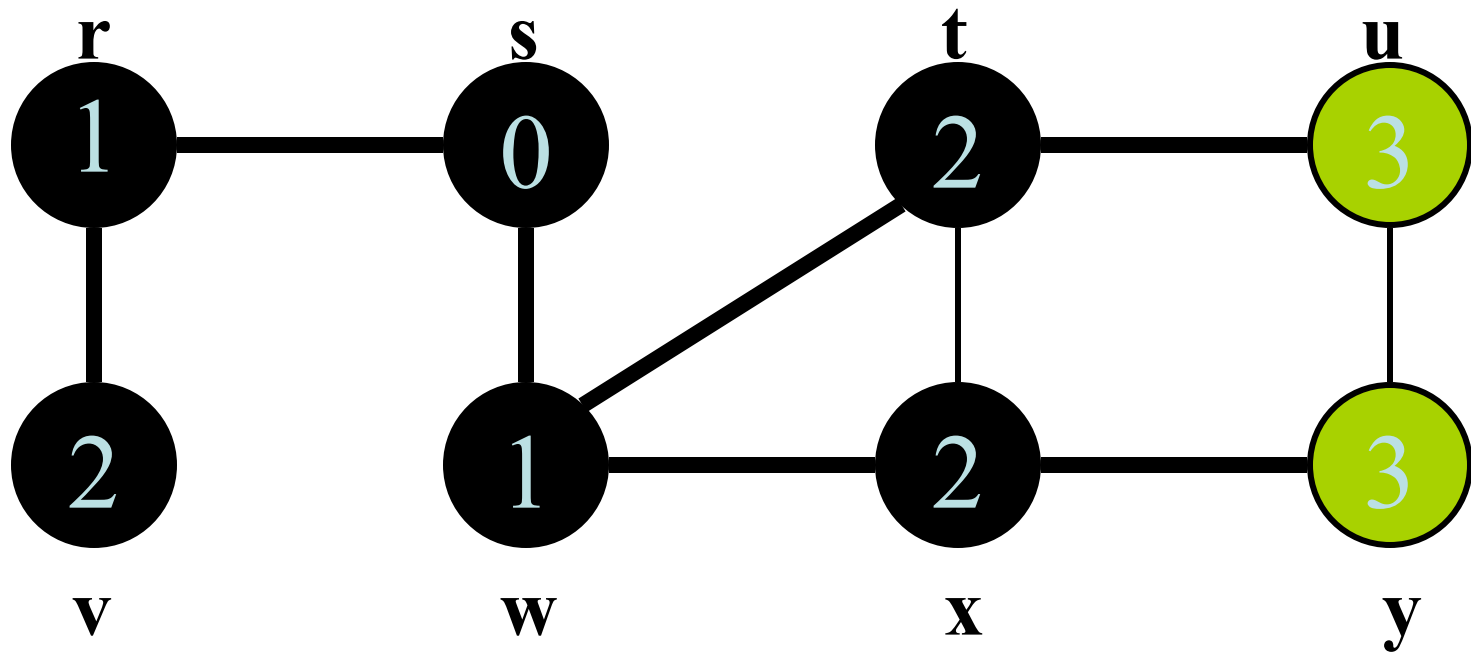
# Breadth-First Search: Example



Q: 

v	u	y
---	---	---

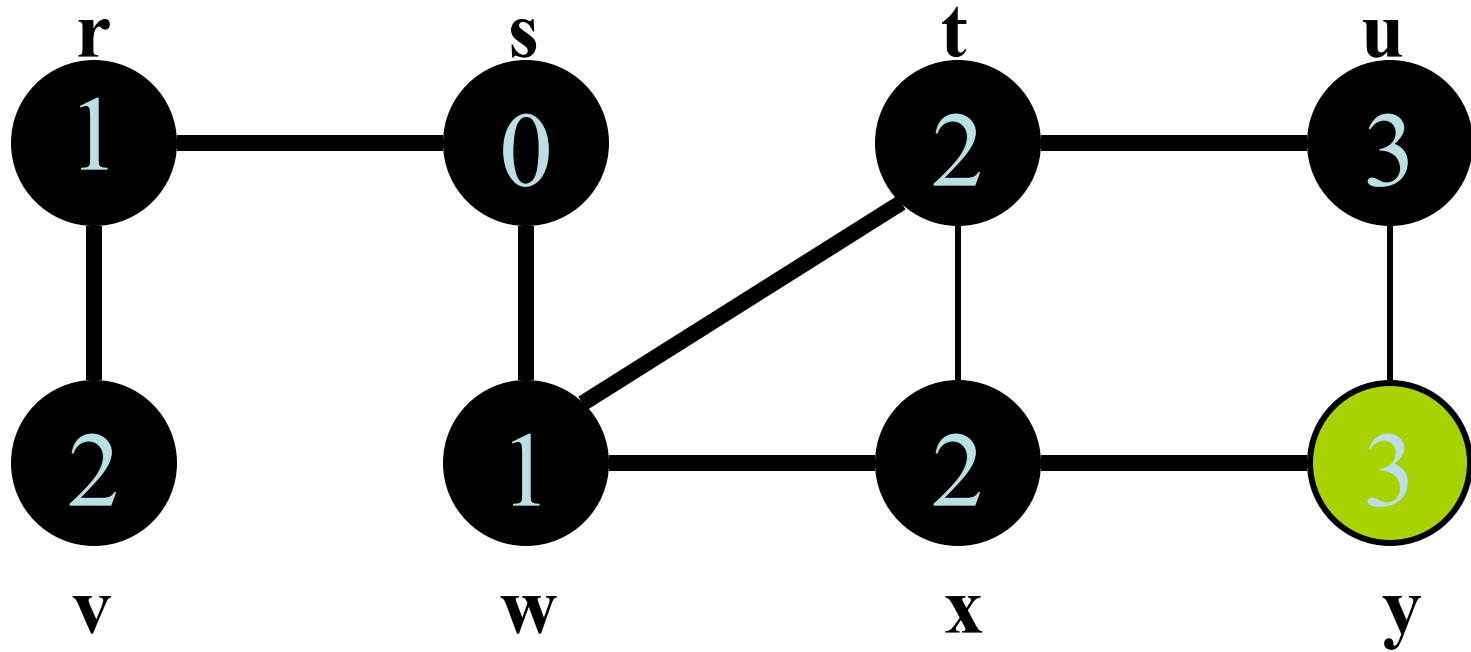
# Breadth-First Search: Example



Q: 

u	y
---	---

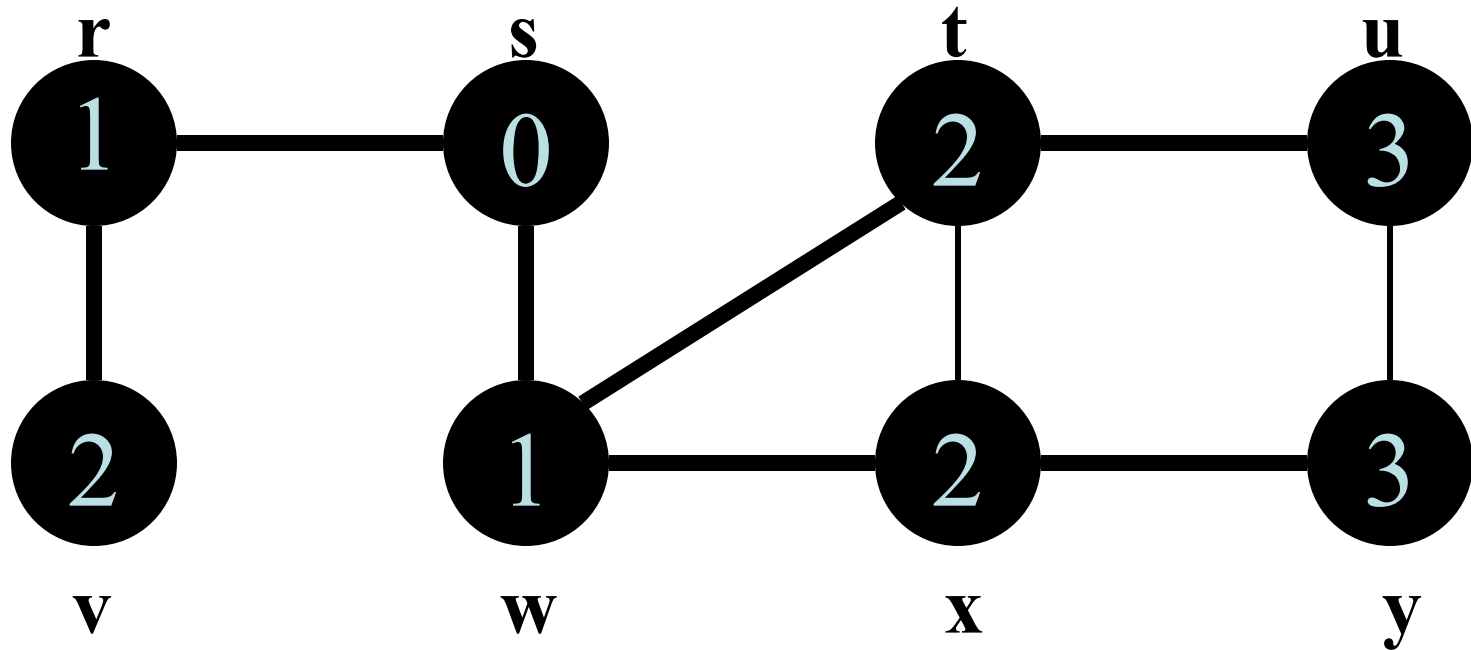
# Breadth-First Search: Example



Q: y






## Breadth-First Search: Example



Q:  $\emptyset$

# BFS: The Code Again

```
BFS(G, s) {  
    initialize vertices;  Touch every vertex:  $O(V)$   
    Q = {s};  
    while (Q not empty) {  
        u = RemoveTop(Q);  u = every vertex, but only once (Why?)  
        for each v  $\in$  u->adj {  
             if (v->color == WHITE)  
                v->color = GREY;  
                v->d = u->d + 1;  
                v->p = u;  
                Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

So v = every vertex  
that appears in some  
other vert's adjacency

**What will be the running time?**  
**Total running time:  $O(V+E)$**

# BFS: The Code Again

```
BFS(G, s) {  
    initialize vertices;  
    Q = {s};  
    while (Q not empty) {  
        u = RemoveTop(Q);  
        for each v ∈ u->adj {  
            if (v->color == WHITE)  
                v->color = GREY;  
                v->d = u->d + 1;  
                v->p = u;  
                Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

**What will be the storage cost  
in addition to storing the tree?**

**Total space used:**

**$O(\max(\text{degree}(v))) = O(E)$**

# Breadth-First Search: Properties

- BFS calculates the *shortest-path distance* to the source node
- Shortest-path distance  $\delta(s,v)$  = minimum number of edges from  $s$  to  $v$ , or  $\infty$  if  $v$  not reachable from  $s$   
Proof given in the book (p. 472-5)
- BFS builds *breadth-first tree*, in which paths to root represent **shortest paths** in  $G$
- Thus can use BFS to calculate **shortest path** from one vertex to another in  $O(V+E)$  time

# Depth-First Search

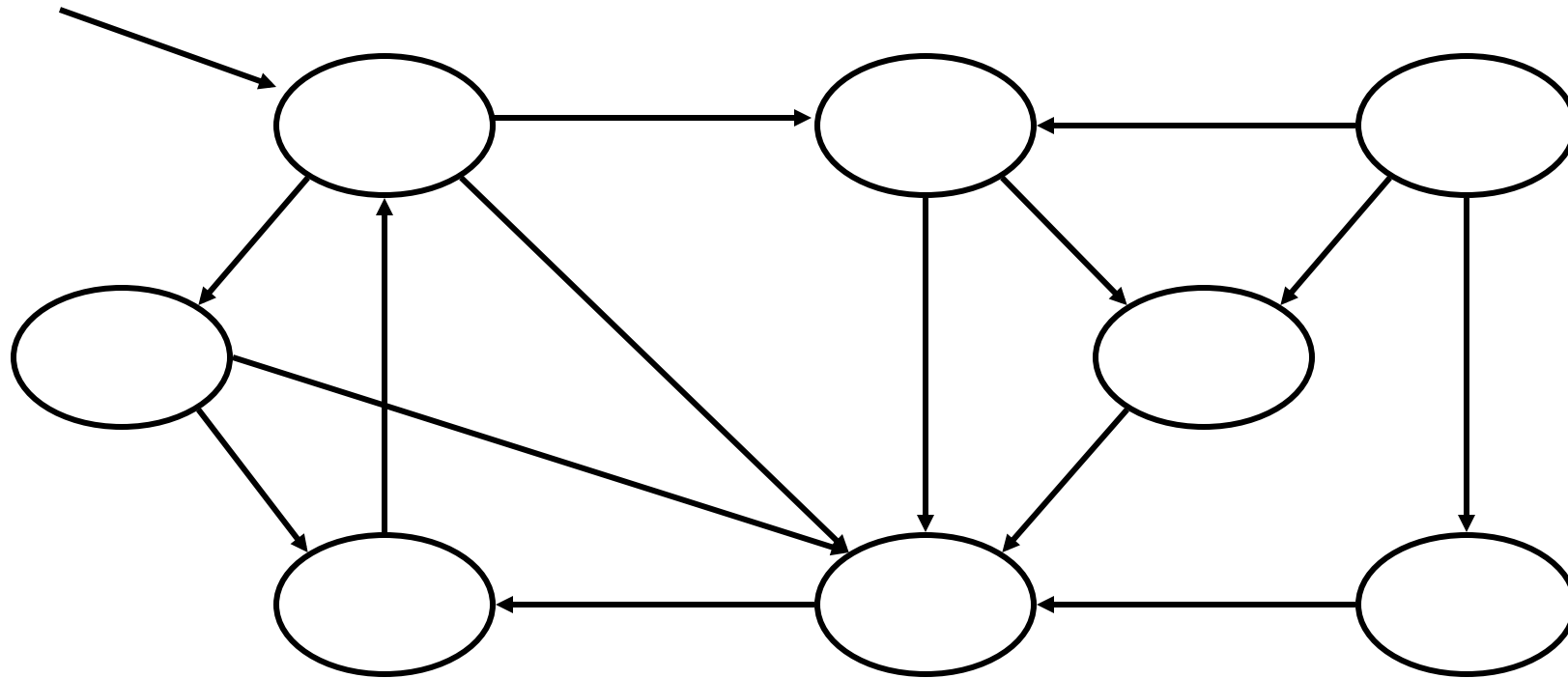
- *Depth-first search* is another strategy for exploring a graph
- Explore “deeper” in the graph whenever possible
- Edges are explored out of the most recently discovered vertex  $v$  that still has unexplored edges
- When all of  $v$ 's edges have been explored, backtrack to the vertex from which  $v$  was discovered

# Depth-First Search

- Vertices initially colored white
- Then colored gray when discovered
- Then black when finished

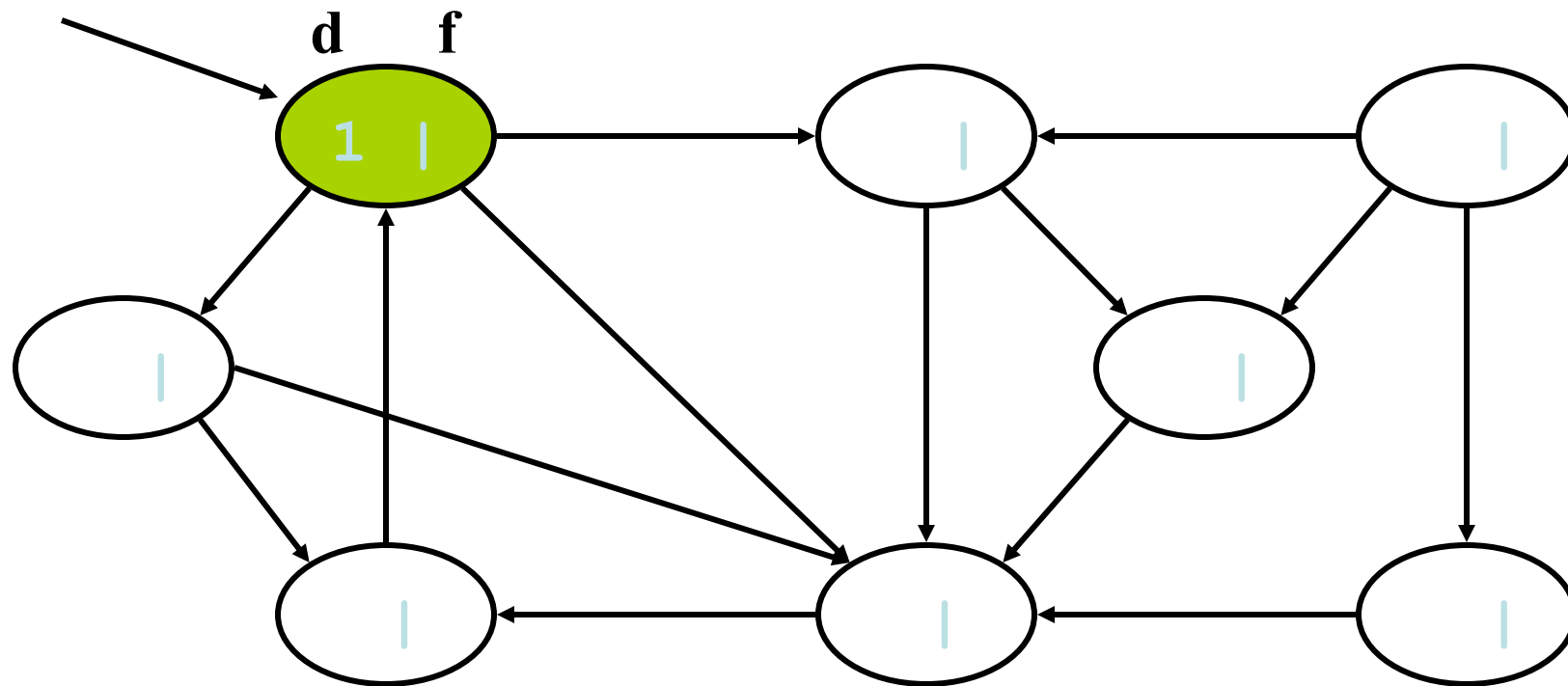
# DFS Example

source  
vertex



# DFS Example

source  
vertex

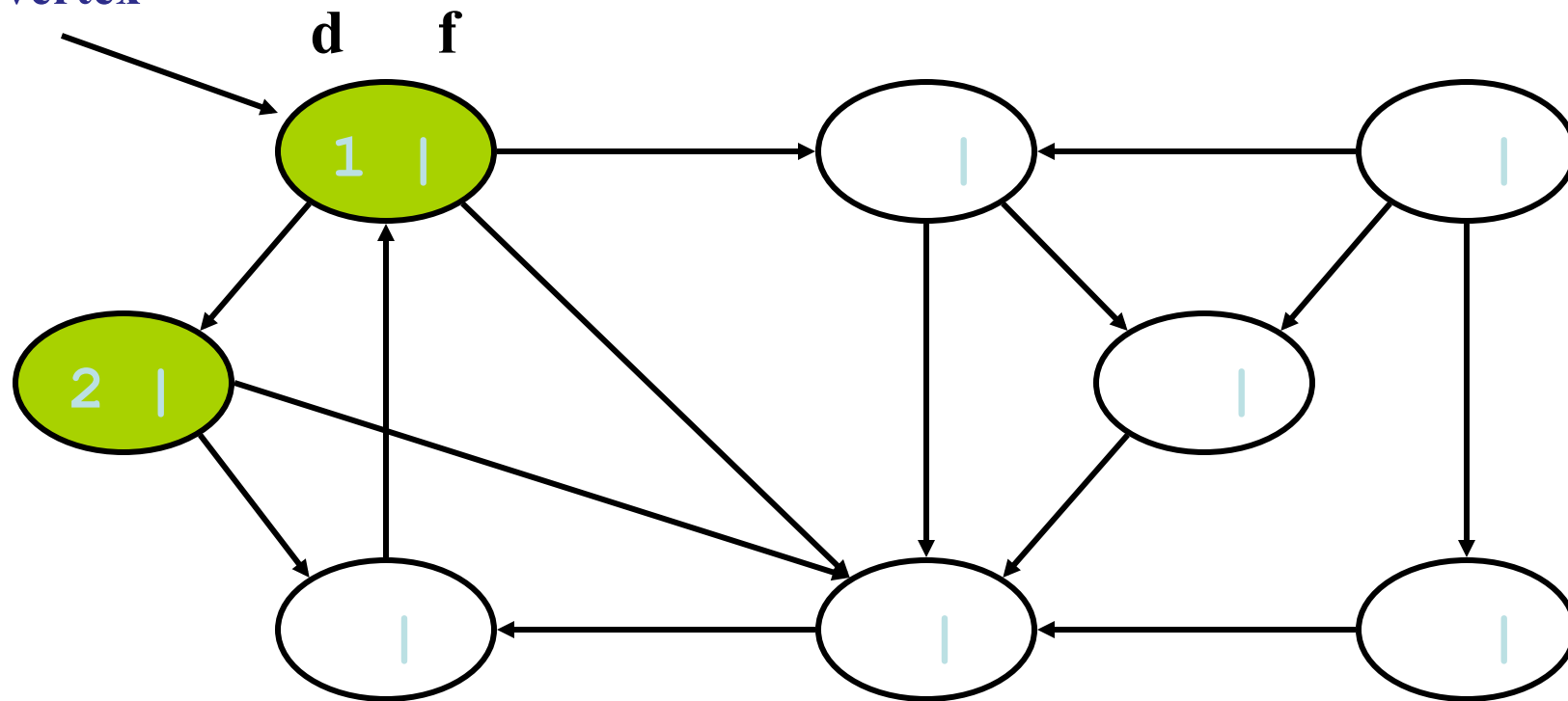


Green in figure -> gray in code



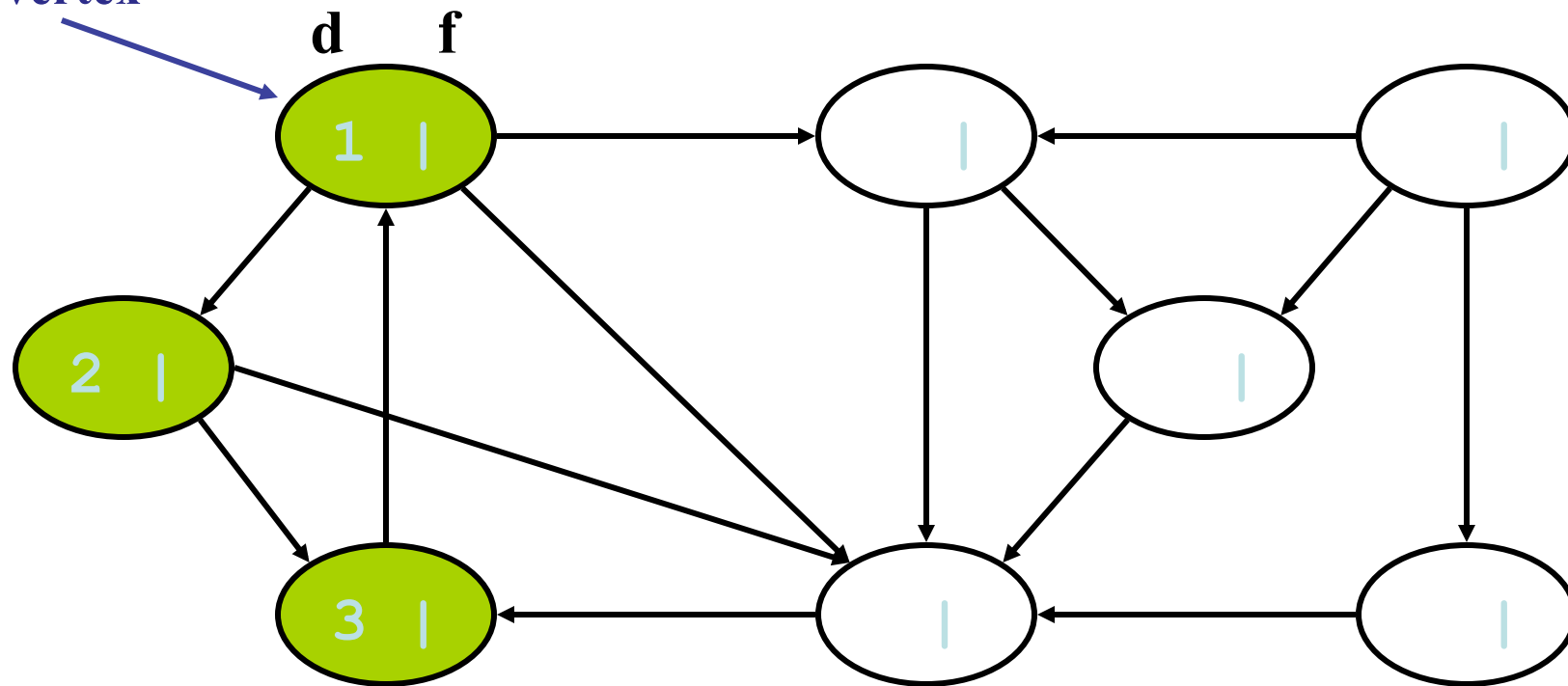
# DFS Example

source  
vertex



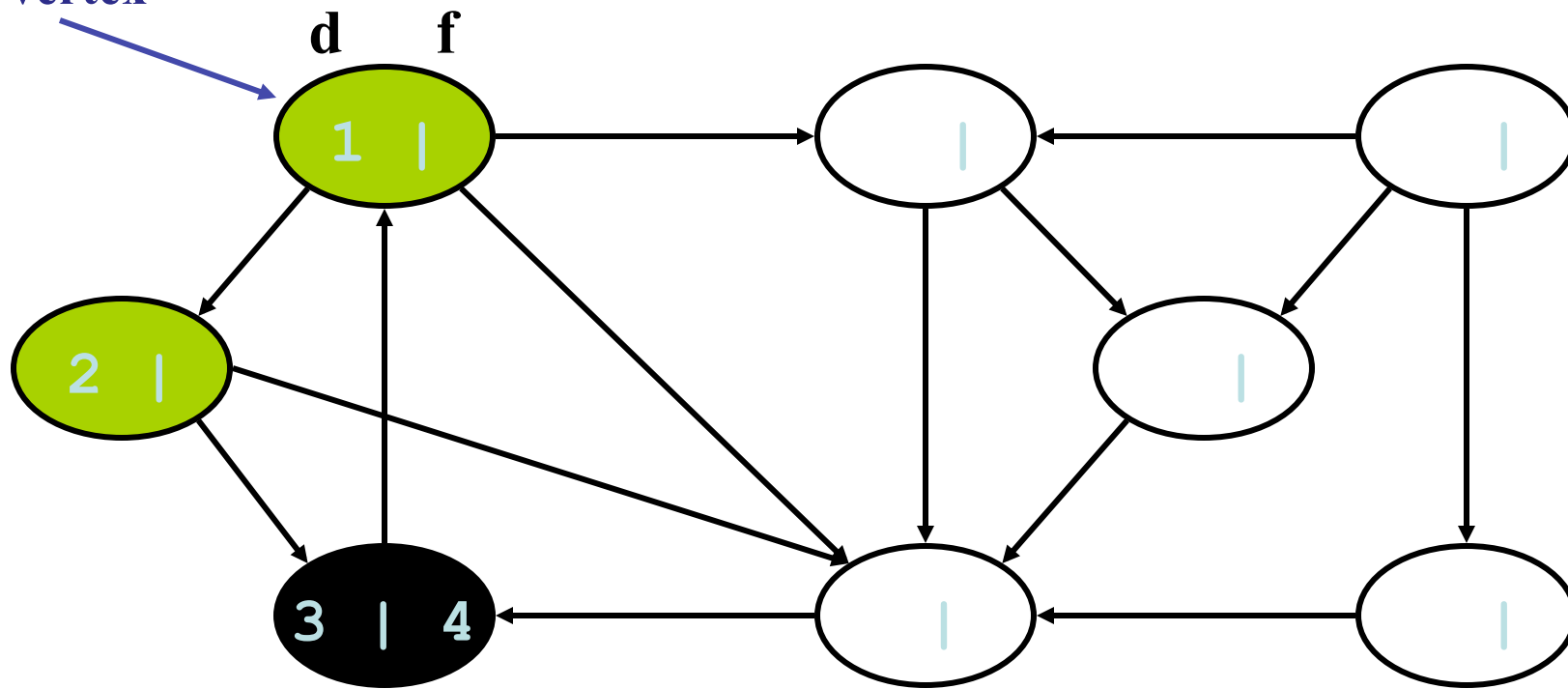
# DFS Example

source  
vertex



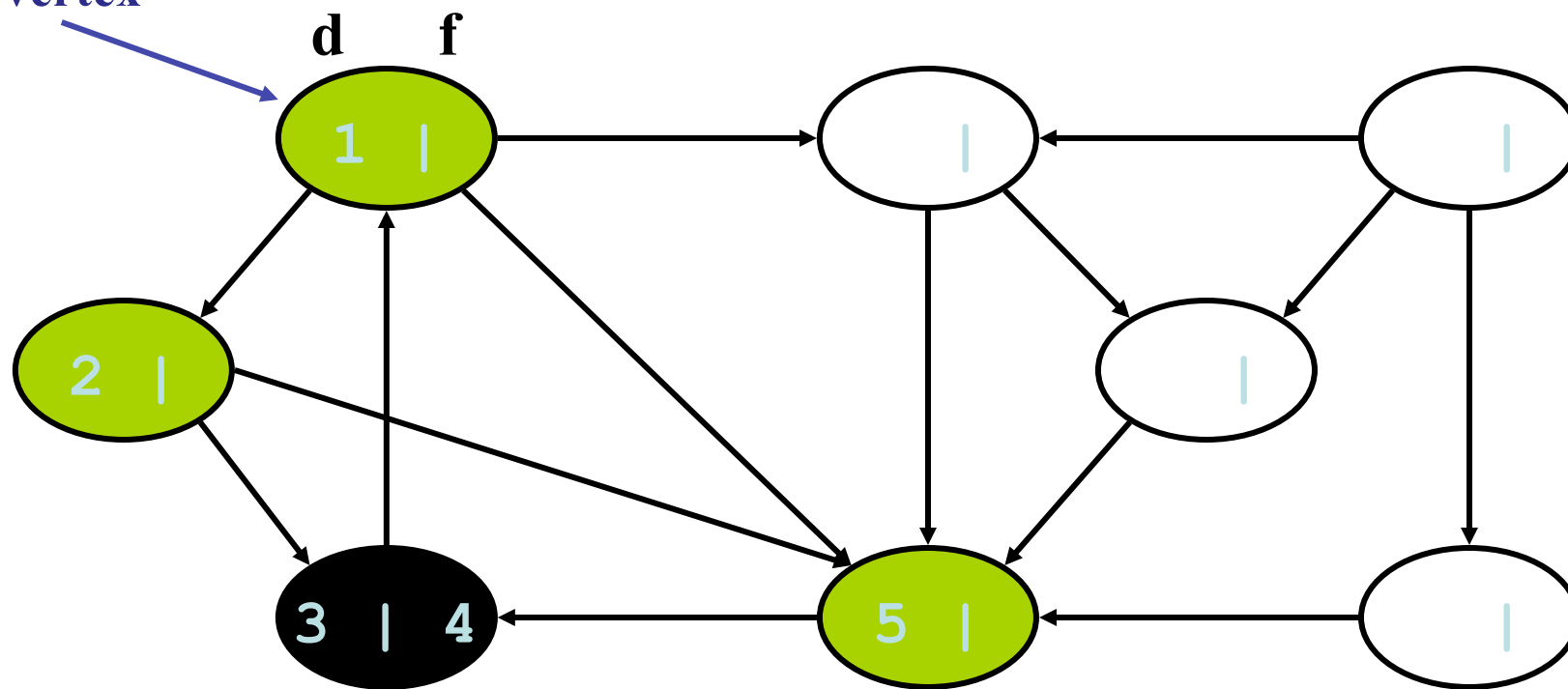
# DFS Example

source  
vertex



# DFS Example

source  
vertex



# Depth-First Search: The Code

```
DFS(G)
{
    for each vertex  $u \in G \rightarrow V$ 
    {
         $u \rightarrow \text{color} = \text{WHITE};$ 
    }
    time = 0;
    for each vertex  $u \in G \rightarrow V$ 
    {
        if ( $u \rightarrow \text{color} ==$ 
WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
     $u \rightarrow \text{color} = \text{GREY};$ 
    time = time+1;
     $u \rightarrow d = \text{time};$ 
    for each  $v \in u \rightarrow \text{Adj}[]$ 
    {
        if ( $v \rightarrow \text{color} ==$ 
WHITE)
            DFS_Visit(v);
    }
     $u \rightarrow \text{color} = \text{BLACK};$ 
    time = time+1;
     $u \rightarrow f = \text{time};$ 
}
```

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex  $u \in G \rightarrow V$ 
    {
         $u \rightarrow \text{color} = \text{WHITE};$ 
    }
    time = 0;
    for each vertex  $u \in G \rightarrow V$ 
    {
        if ( $u \rightarrow \text{color} ==$ 
        WHITE)
            DFS_Visit(u) ;
    }
}
```

```
DFS_Visit(u)
{
     $u \rightarrow \text{color} = \text{GREY};$ 
    time = time+1;
     $u \rightarrow d = \text{time};$ 
    for each  $v \in u \rightarrow \text{Adj}[]$ 
    {
        if ( $v \rightarrow \text{color} ==$ 
        WHITE)
            DFS_Visit(v) ;
    }
     $u \rightarrow \text{color} = \text{BLACK};$ 
    time = time+1;
     $u \rightarrow f = \text{time};$ 
}
```

What does  $u \rightarrow d$  represent?

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        if (u->color ==
WHITE)
            DFS_Visit(u) ;
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v  $\in$  u->Adj[]
    {
        if (v->color ==
WHITE)
            DFS_Visit(v) ;
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

**What does u->f represent?**

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex  $u \in G \rightarrow V$ 
    {
         $u \rightarrow \text{color} = \text{WHITE};$ 
    }
    time = 0;
    for each vertex  $u \in G \rightarrow V$ 
    {
        if ( $u \rightarrow \text{color} ==$ 
WHITE)
            DFS_Visit(u) ;
    }
}
```

```
DFS_Visit(u)
{
     $u \rightarrow \text{color} = \text{GREY};$ 
    time = time+1;
     $u \rightarrow d = \text{time};$ 
    for each  $v \in u \rightarrow \text{Adj}[]$ 
    {
        if ( $v \rightarrow \text{color} ==$ 
WHITE)
            DFS_Visit(v) ;
    }
     $u \rightarrow \text{color} = \text{BLACK};$ 
    time = time+1;
     $u \rightarrow f = \text{time};$ 
}
```

**Will all vertices eventually be colored black?**



# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex  $u \in G \rightarrow V$ 
    {
         $u \rightarrow \text{color} = \text{WHITE};$ 
    }
    time = 0;
    for each vertex  $u \in G \rightarrow V$ 
    {
        if ( $u \rightarrow \text{color} ==$ 
WHITE)
            DFS_Visit(u) ;
    }
}
```

```
DFS_Visit(u)
{
     $u \rightarrow \text{color} = \text{GREY};$ 
    time = time+1;
     $u \rightarrow d = \text{time};$ 
    for each  $v \in u \rightarrow \text{Adj}[]$ 
    {
        if ( $v \rightarrow \text{color} ==$ 
WHITE)
            DFS_Visit(v) ;
    }
     $u \rightarrow \text{color} = \text{BLACK};$ 
    time = time+1;
     $u \rightarrow f = \text{time};$ 
}
```

**What will be the running time?**

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color ==
WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color ==
WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

**Running time:  $O(n^2)$  because call DFS\_Visit on each vertex, and the loop over Adj[] can run as many as  $|V|$  times**

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        if (u->color ==
WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v  $\in$  u->Adj[]
    {
        if (v->color ==
WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

**BUT, there is actually a tighter bound.**  
**How many times will DFS\_Visit() actually be called?**

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color ==
WHITE)
            DFS_Visit(u) ;
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color ==
WHITE)
            DFS_Visit(v) ;
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

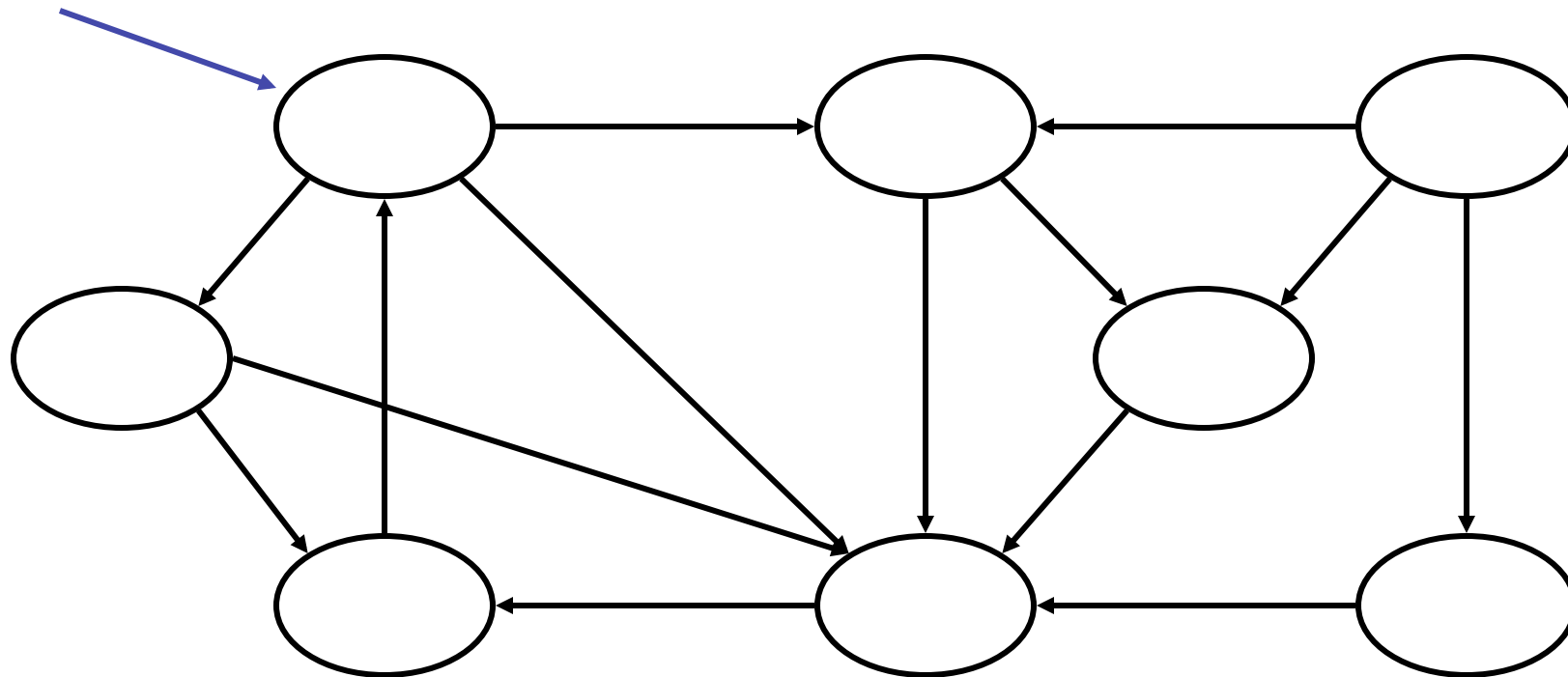
**So, running time of DFS =  $O(V+E)$**

# Depth-First Sort Analysis

- This running time argument is an informal example of *amortized analysis*
- “Charge” the exploration of edge to the edge:
- Each loop in DFS\_Visit can be attributed to an edge in the graph
- Runs once/edge if directed graph, twice if undirected
- Thus loop will run in  $O(E)$  time, algorithm  $O(V+E)$
- Considered linear for graph, b/c adj list requires  $O(V+E)$  storage
- Important to be comfortable with this kind of reasoning and analysis

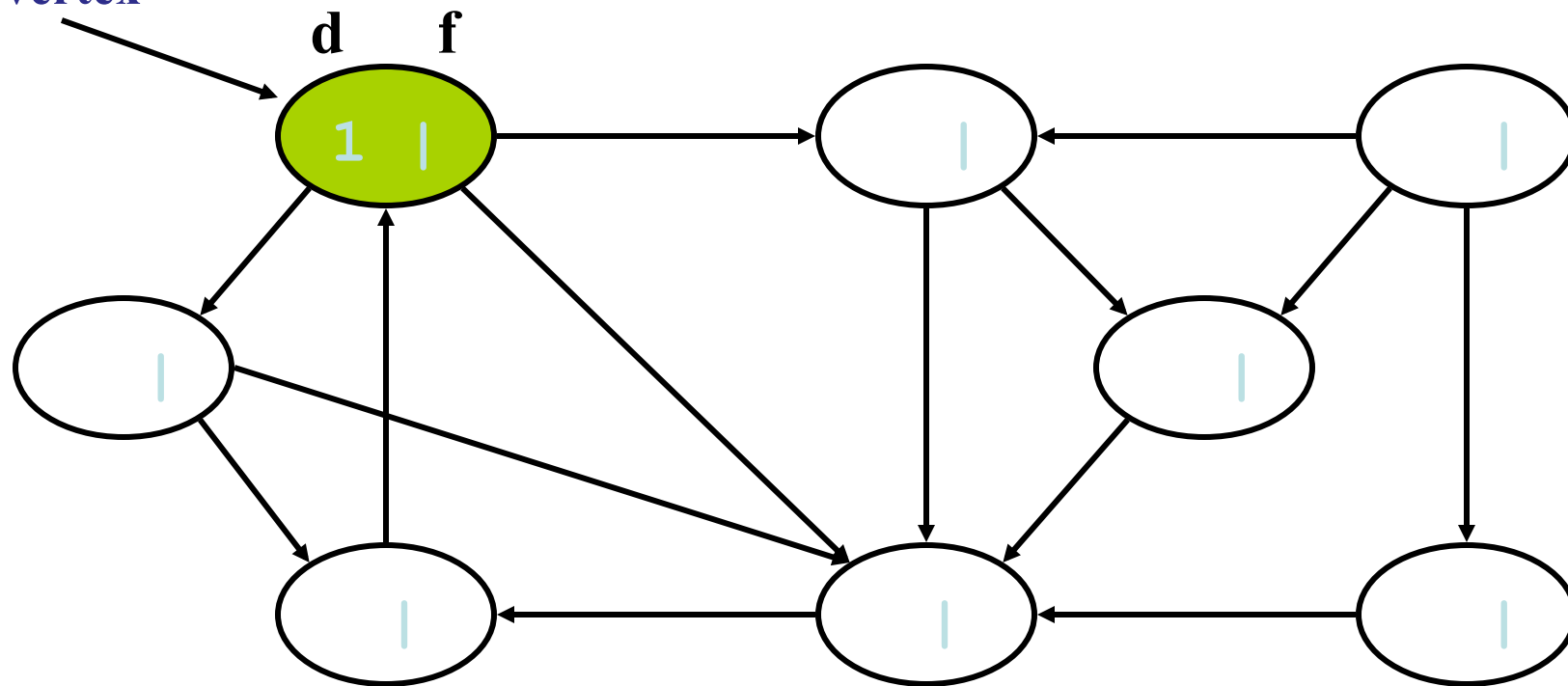
# DFS Example

**source  
vertex**



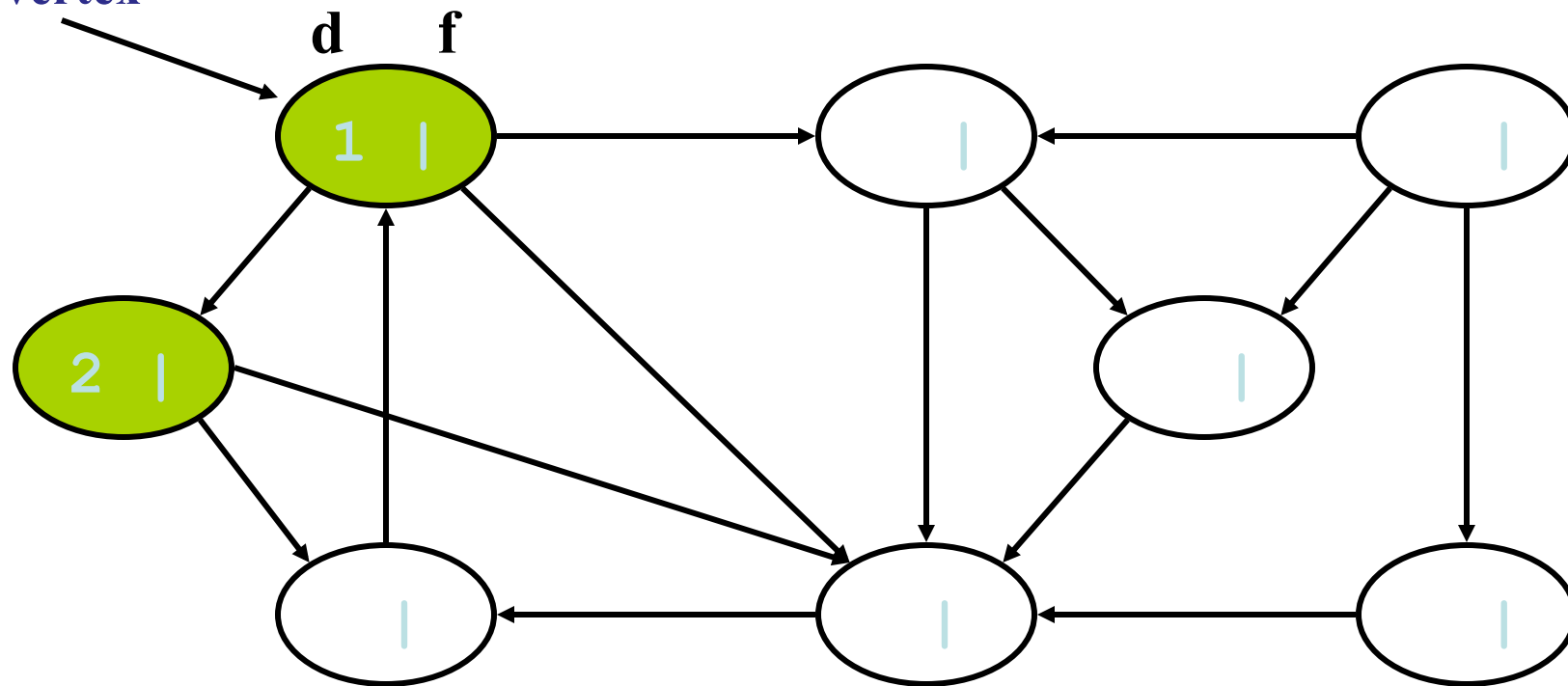
# DFS Example

source  
vertex



# DFS Example

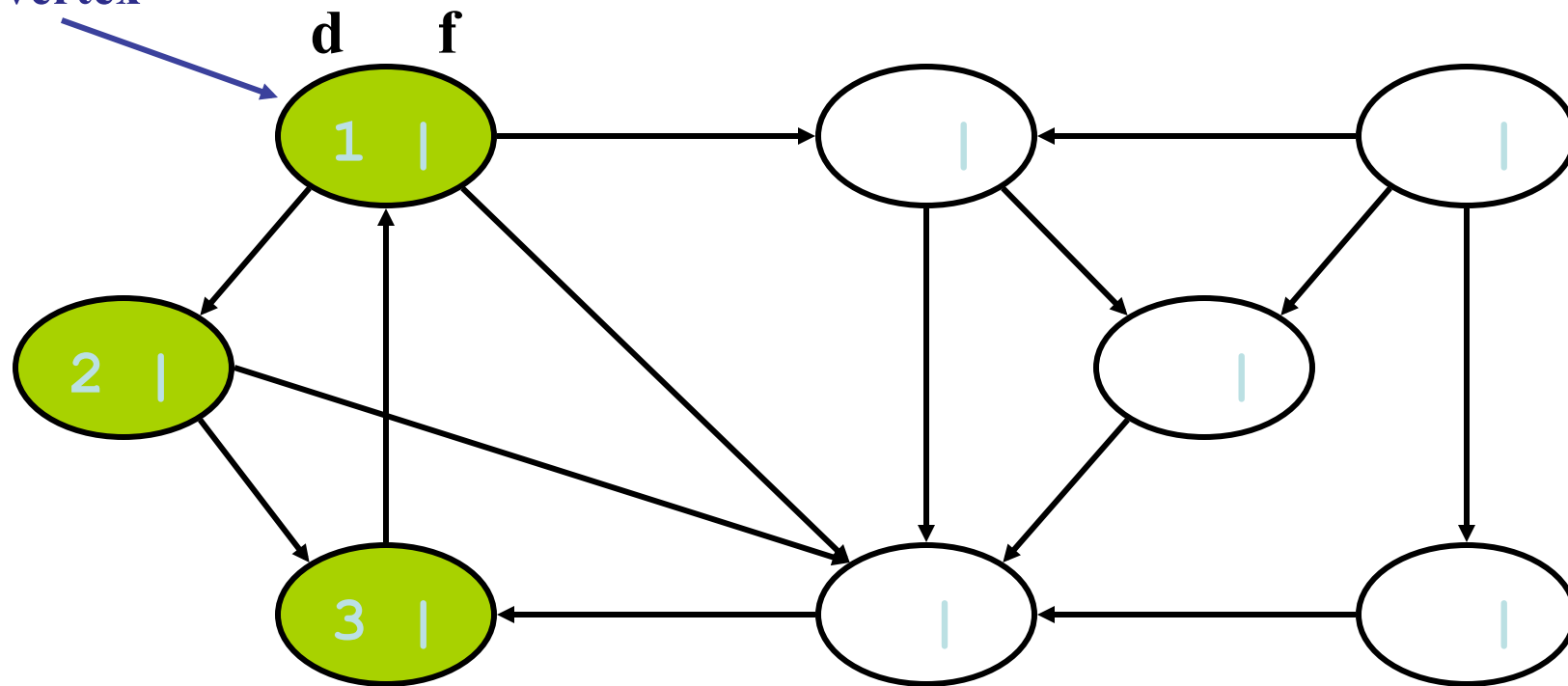
source  
vertex





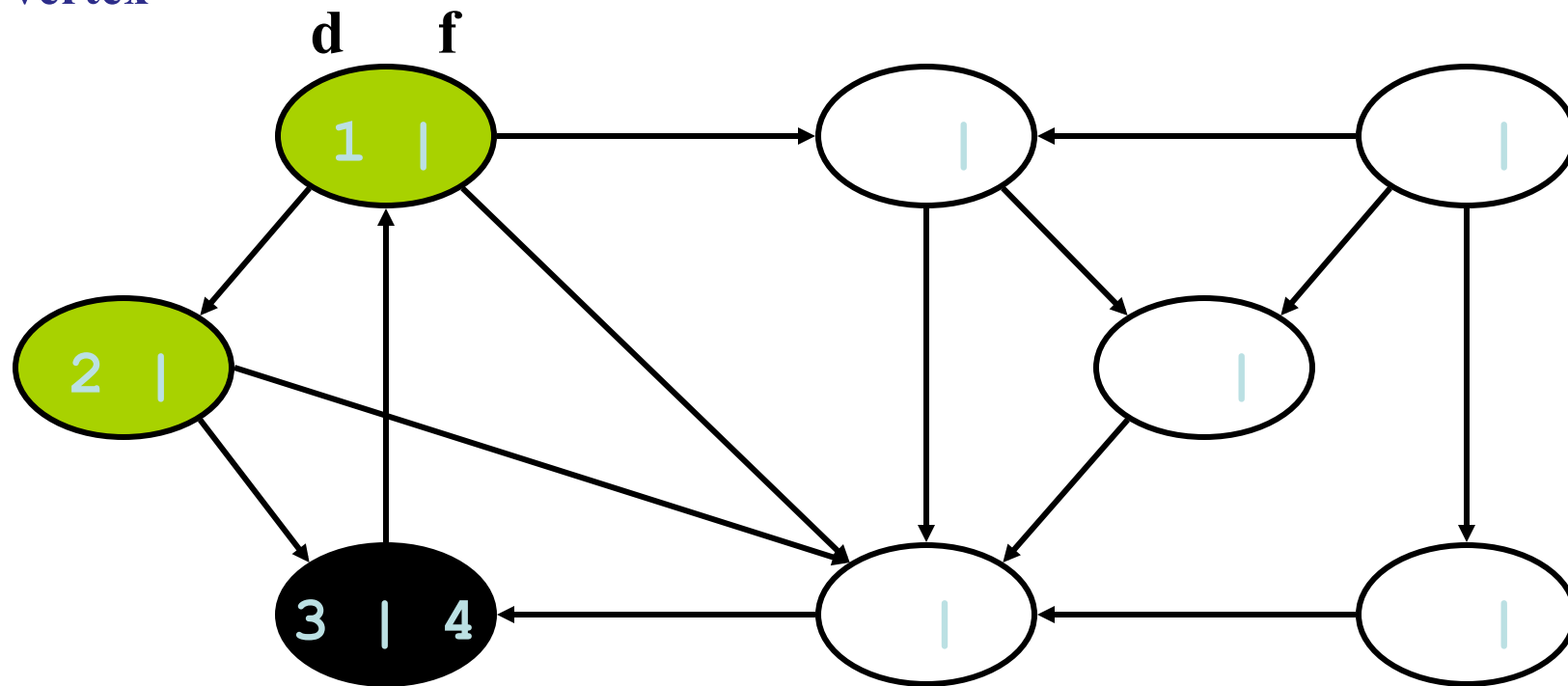
# DFS Example

source  
vertex



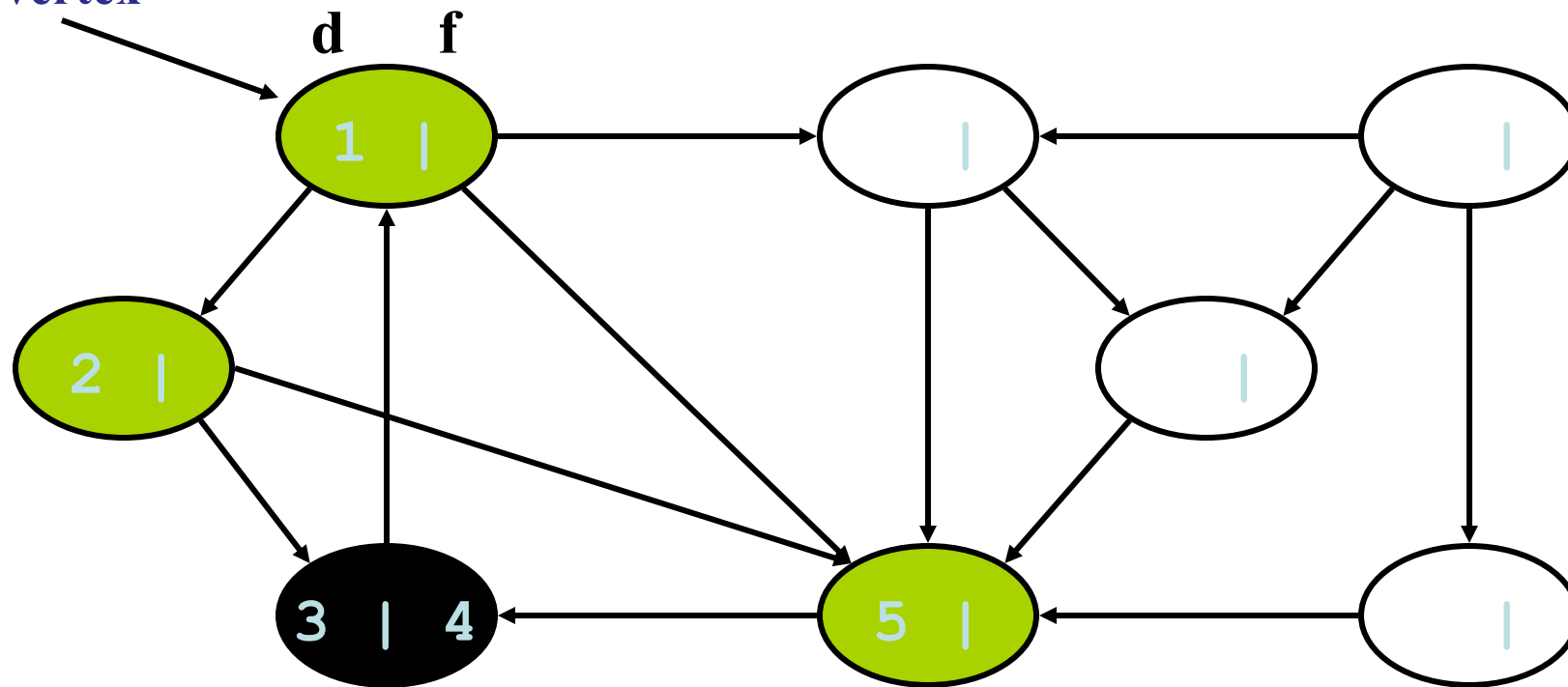
# DFS Example

**source  
vertex**



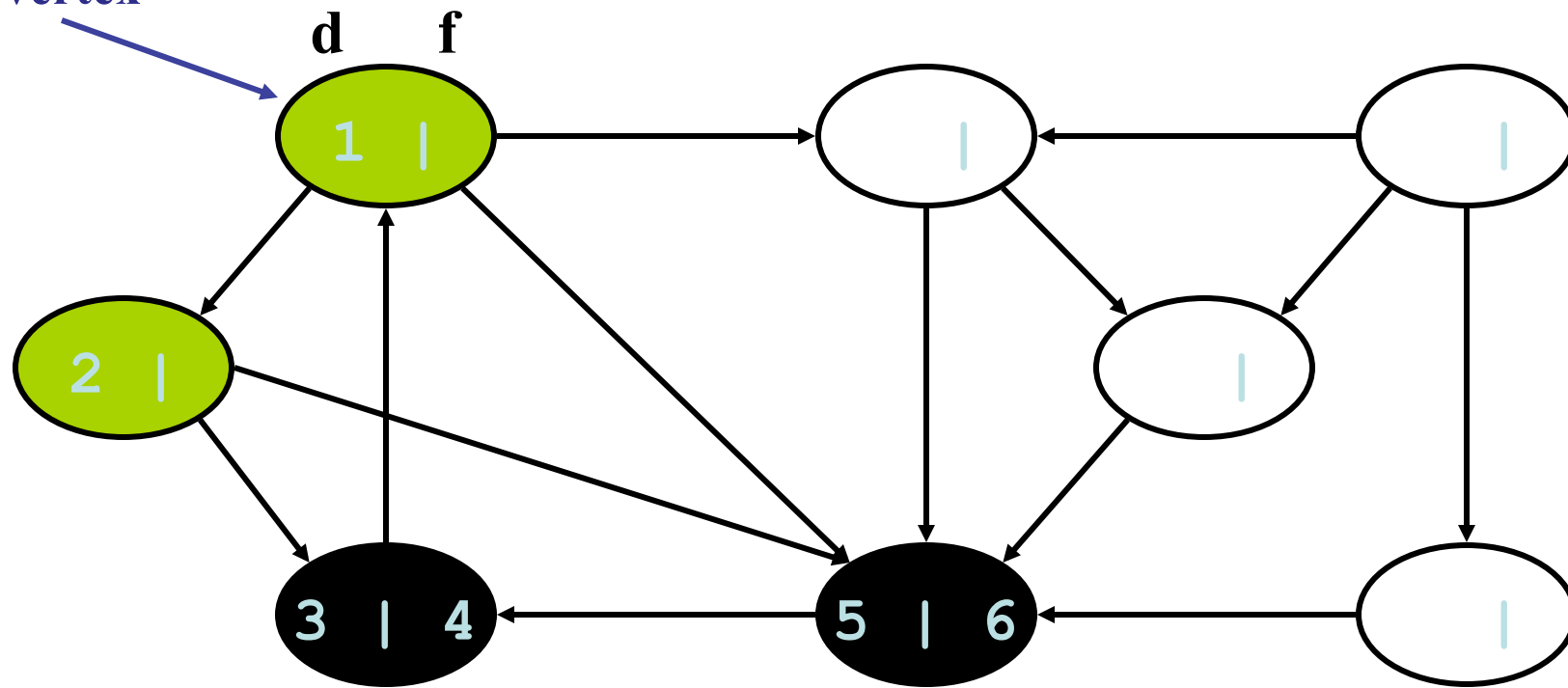
# DFS Example

source  
vertex



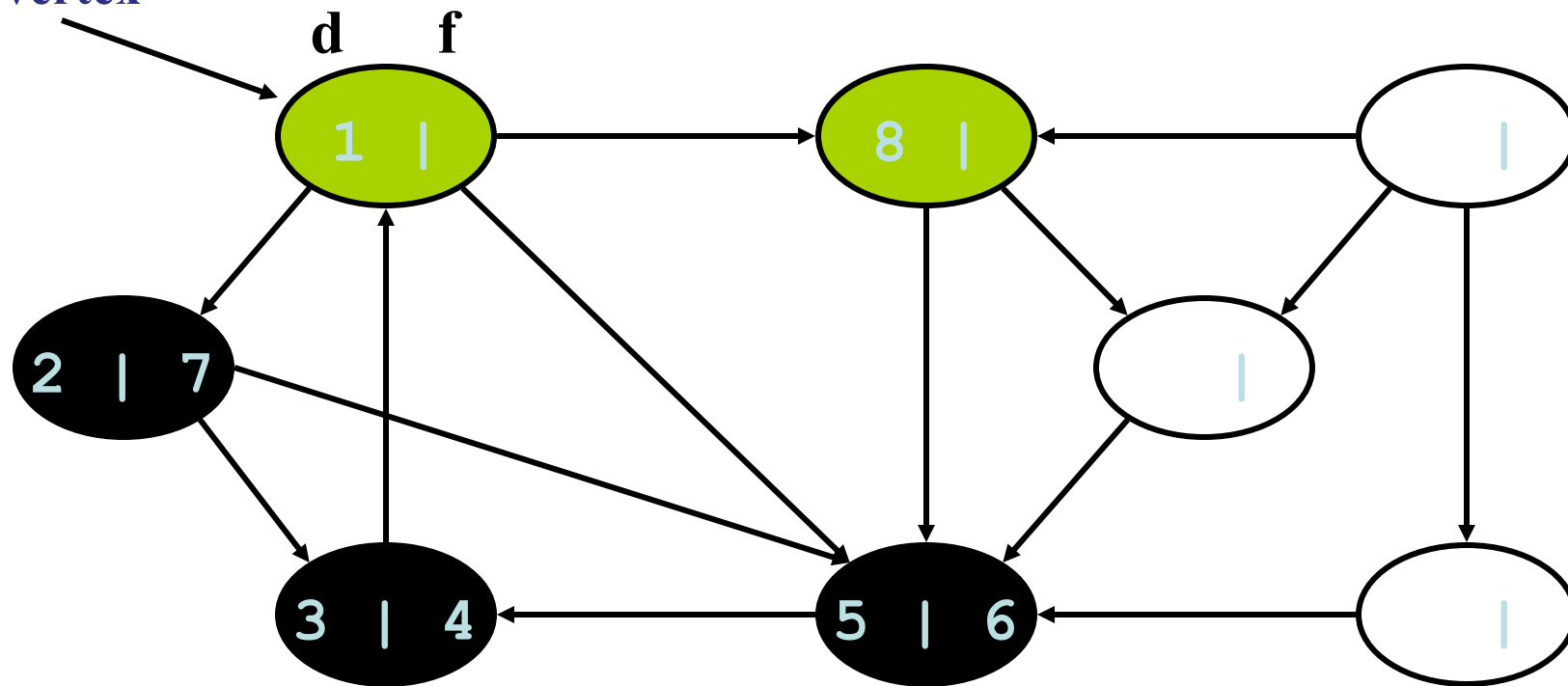
# DFS Example

**source**  
**vertex**



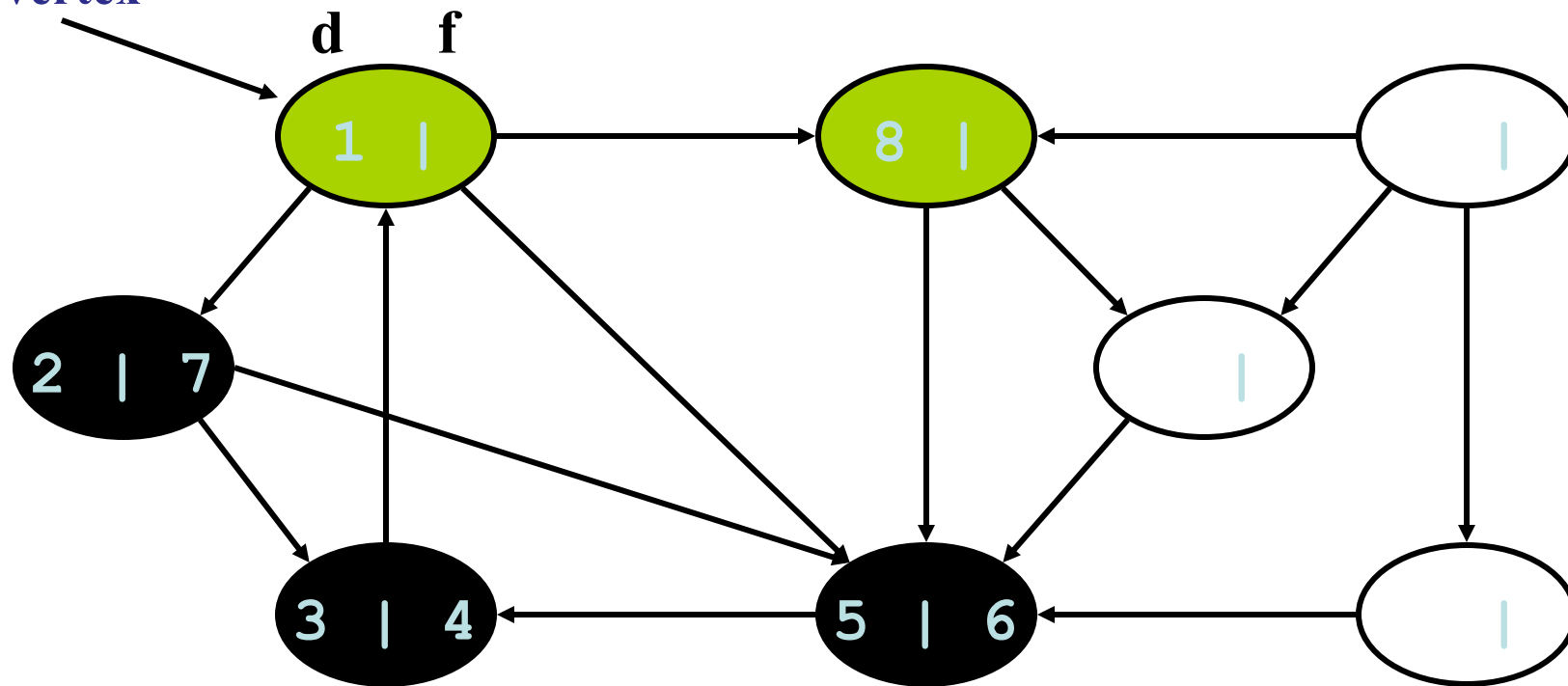
# DFS Example

source  
vertex



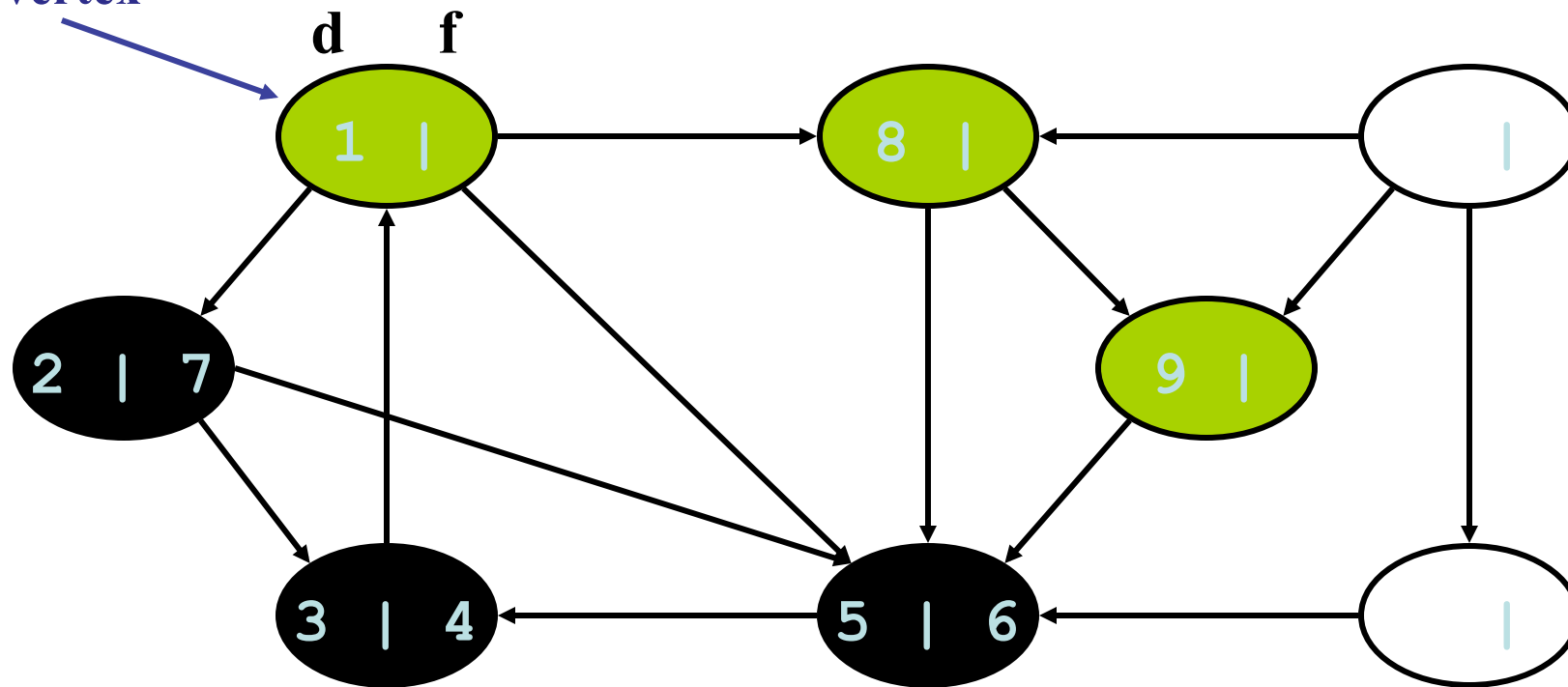
# DFS Example

source  
vertex



# DFS Example

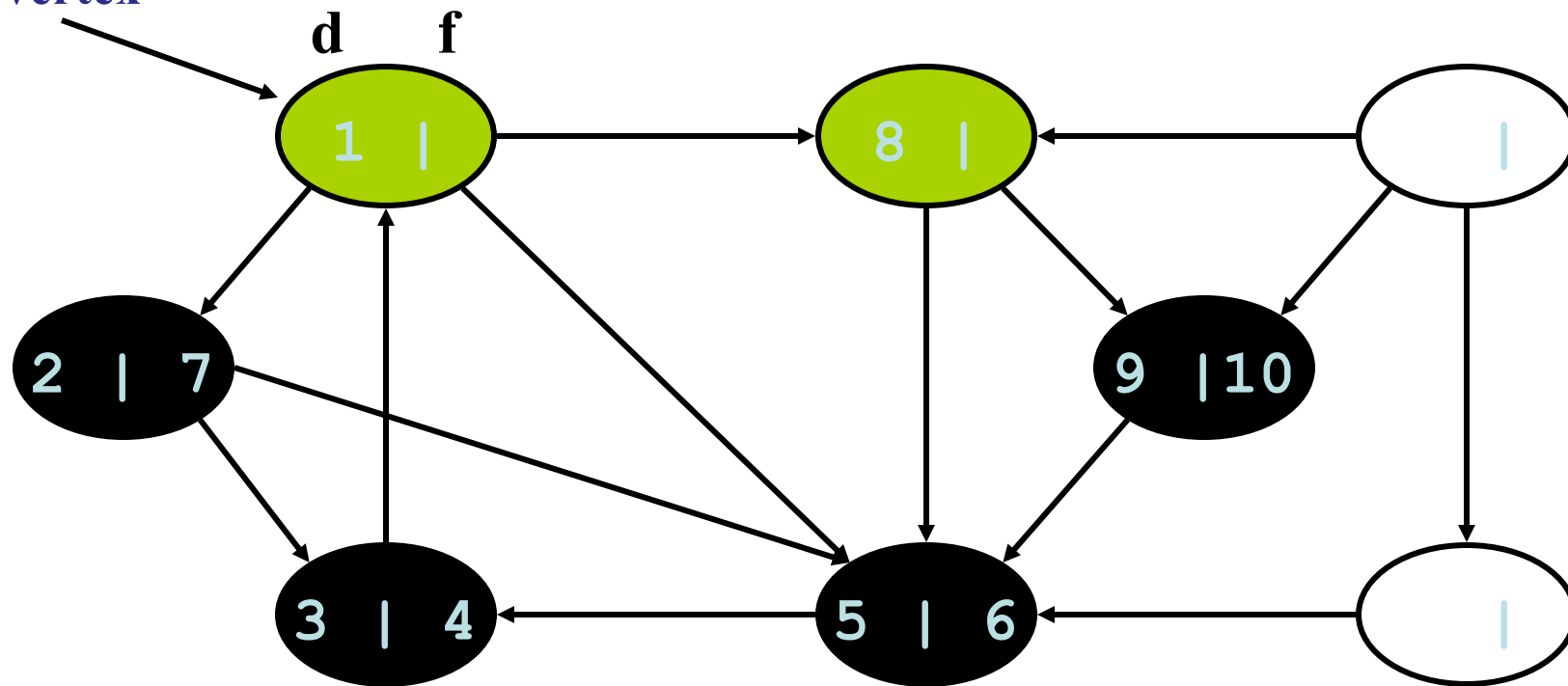
source  
vertex



What is the structure of the green vertices?  
What do they represent?

# DFS Example

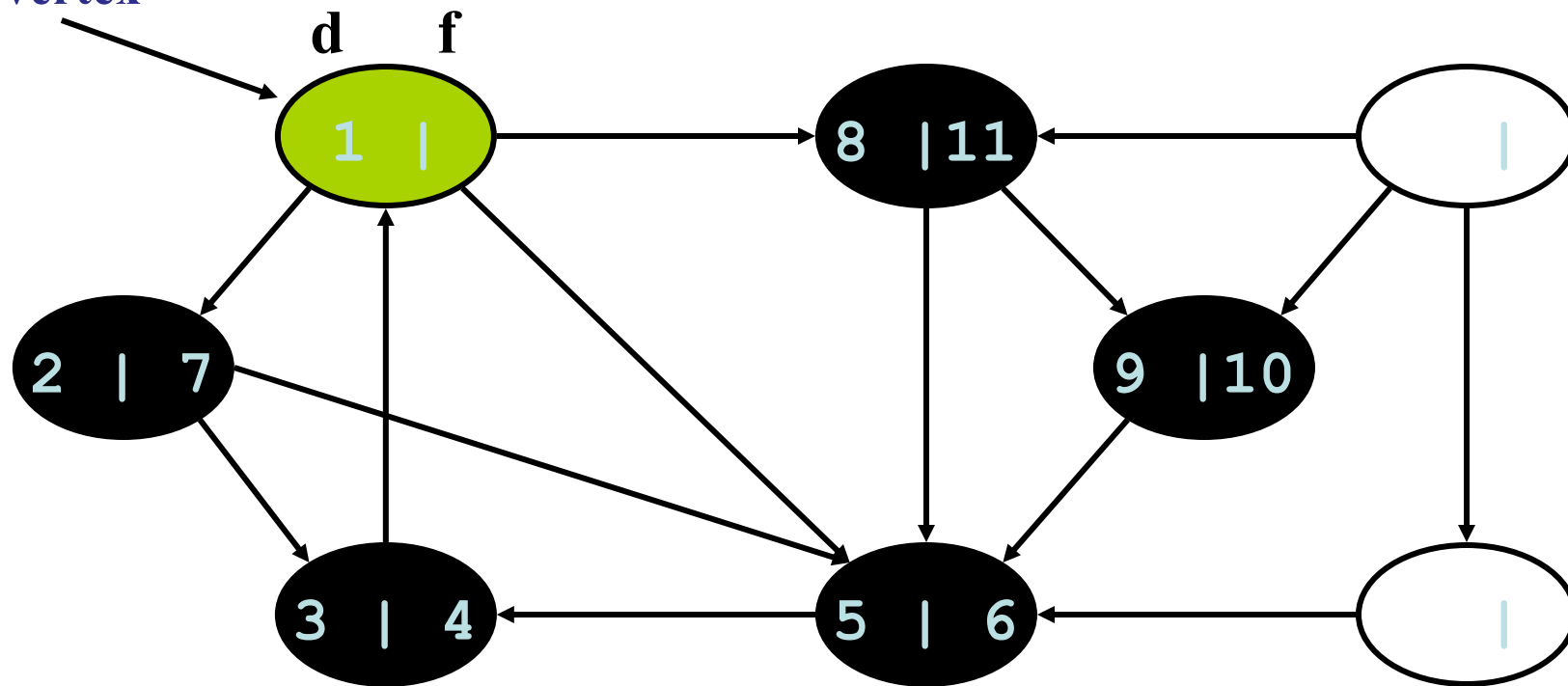
source  
vertex





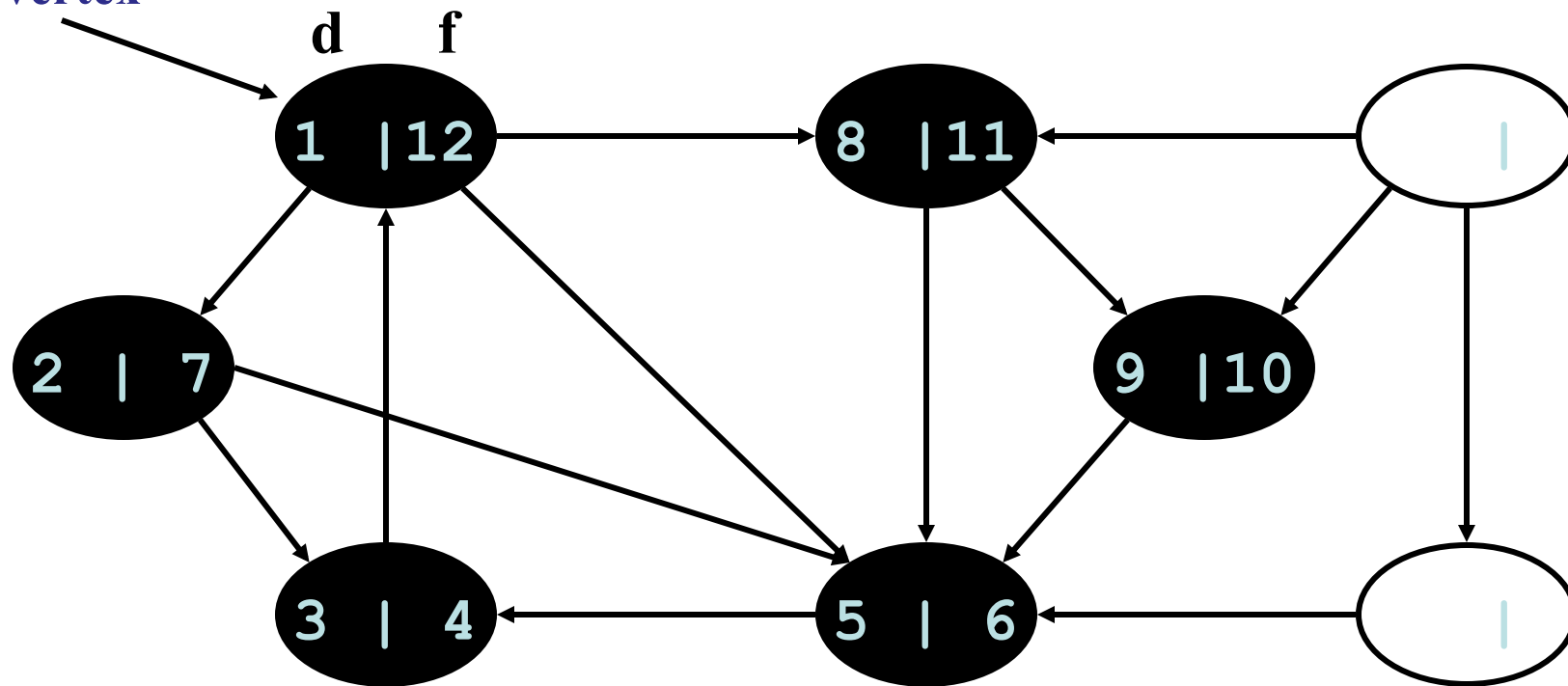
# DFS Example

source  
vertex



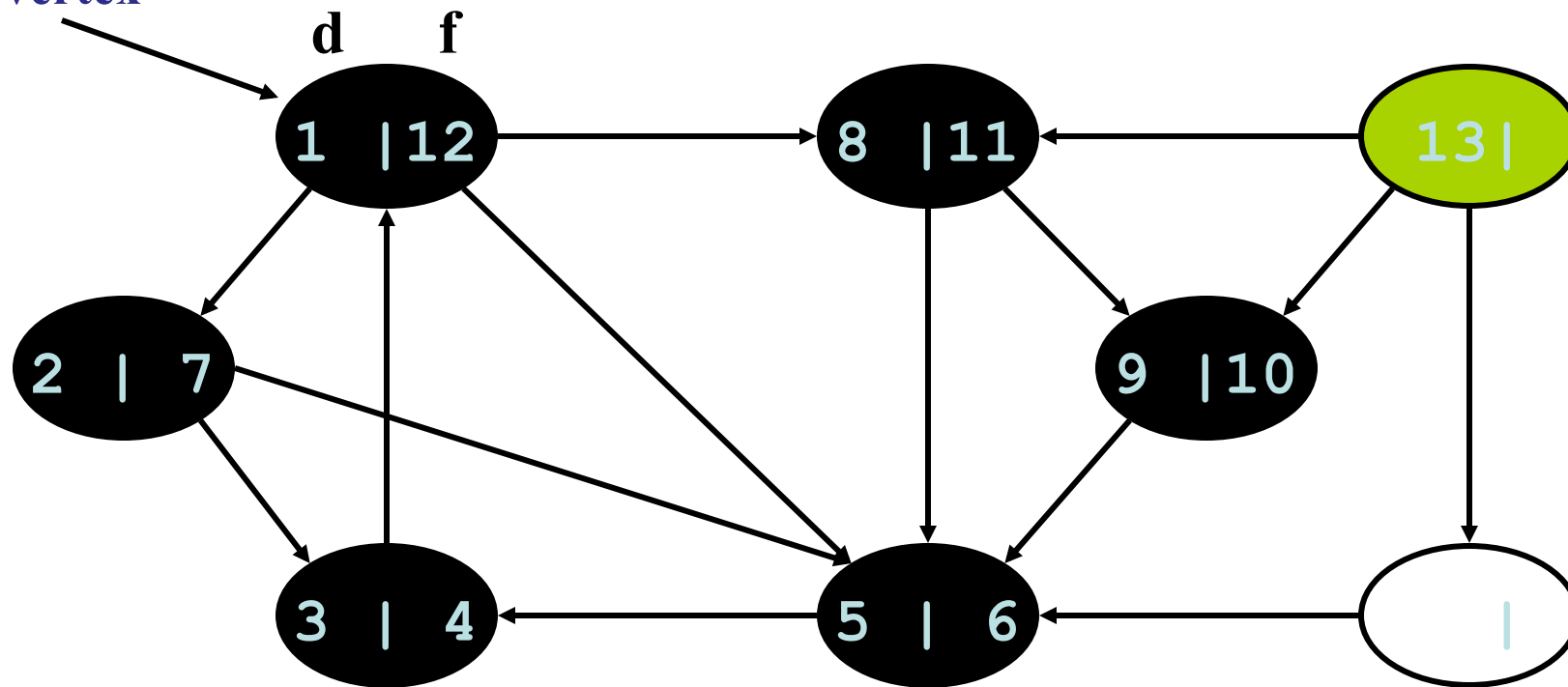
# DFS Example

source  
vertex



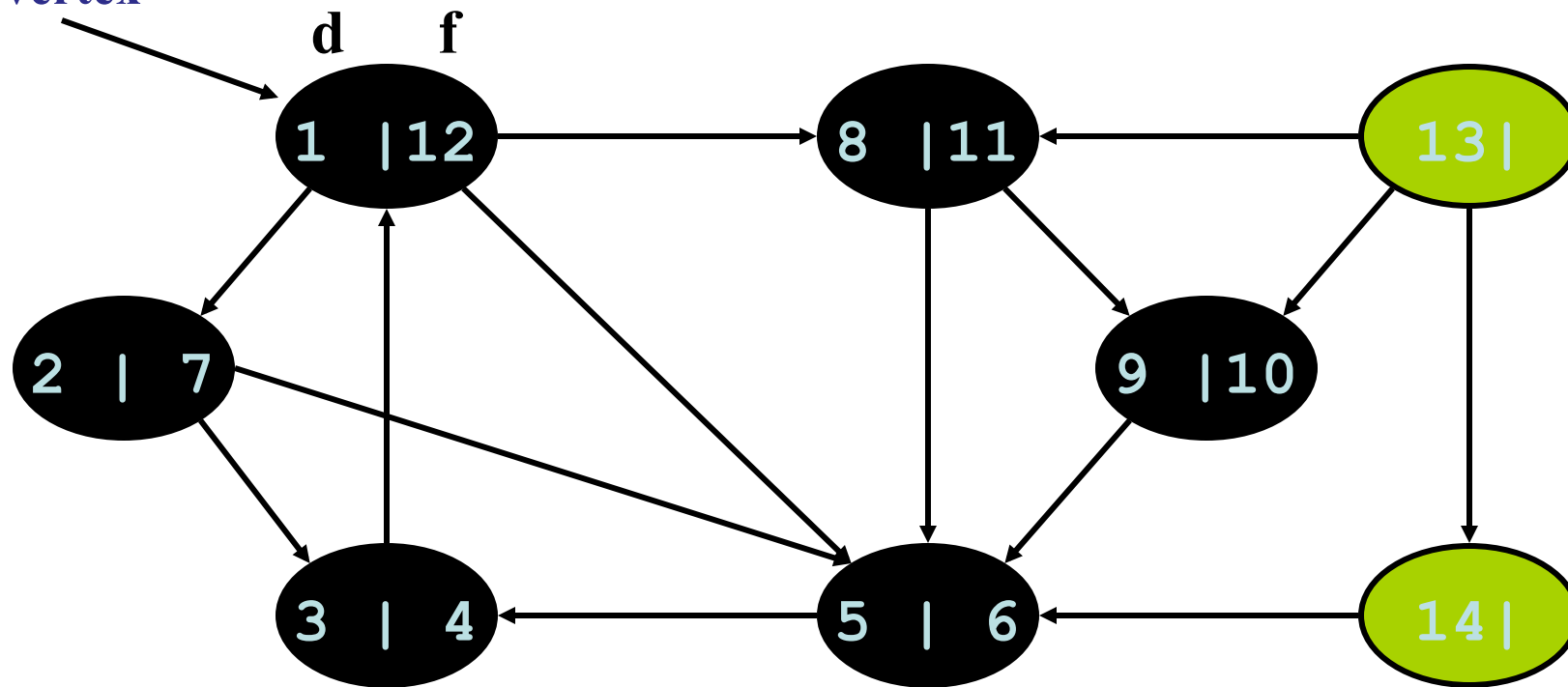
# DFS Example

source  
vertex



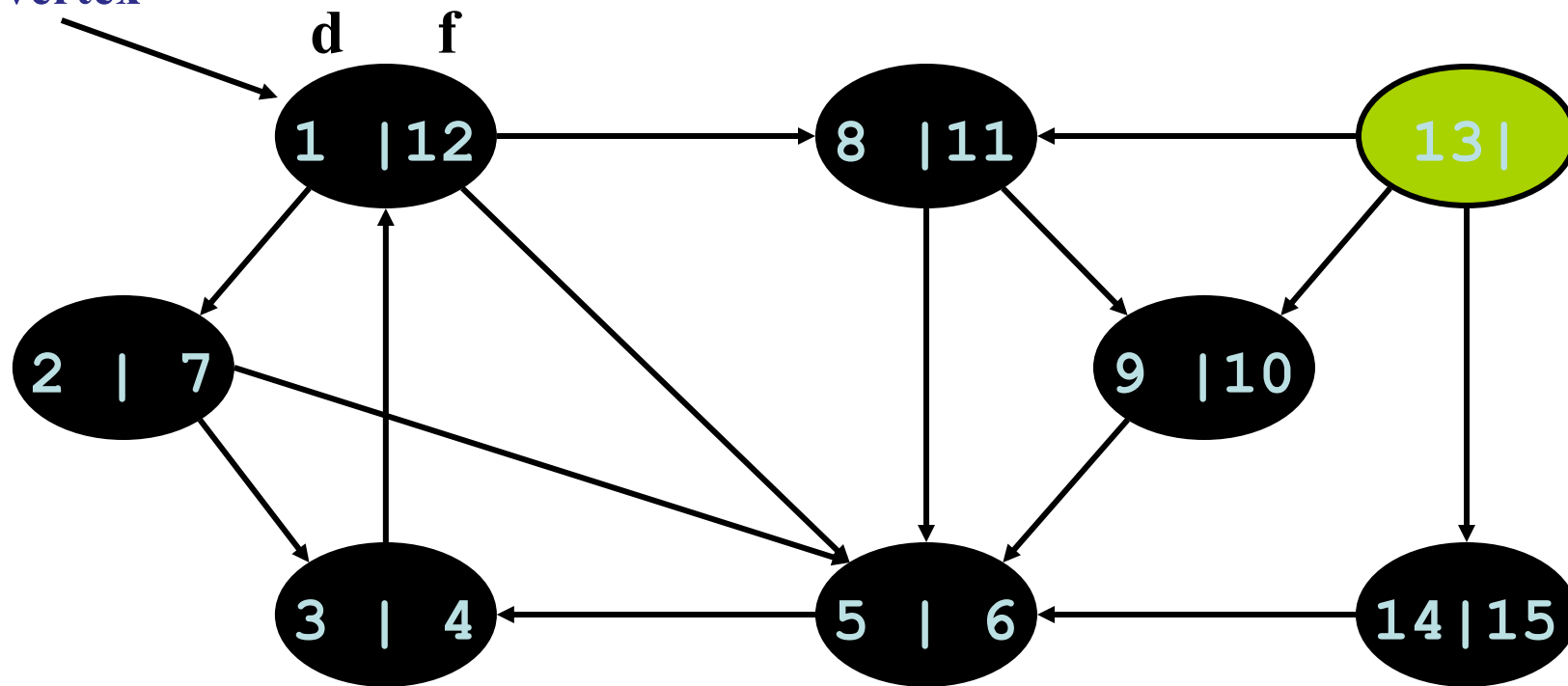
# DFS Example

source  
vertex



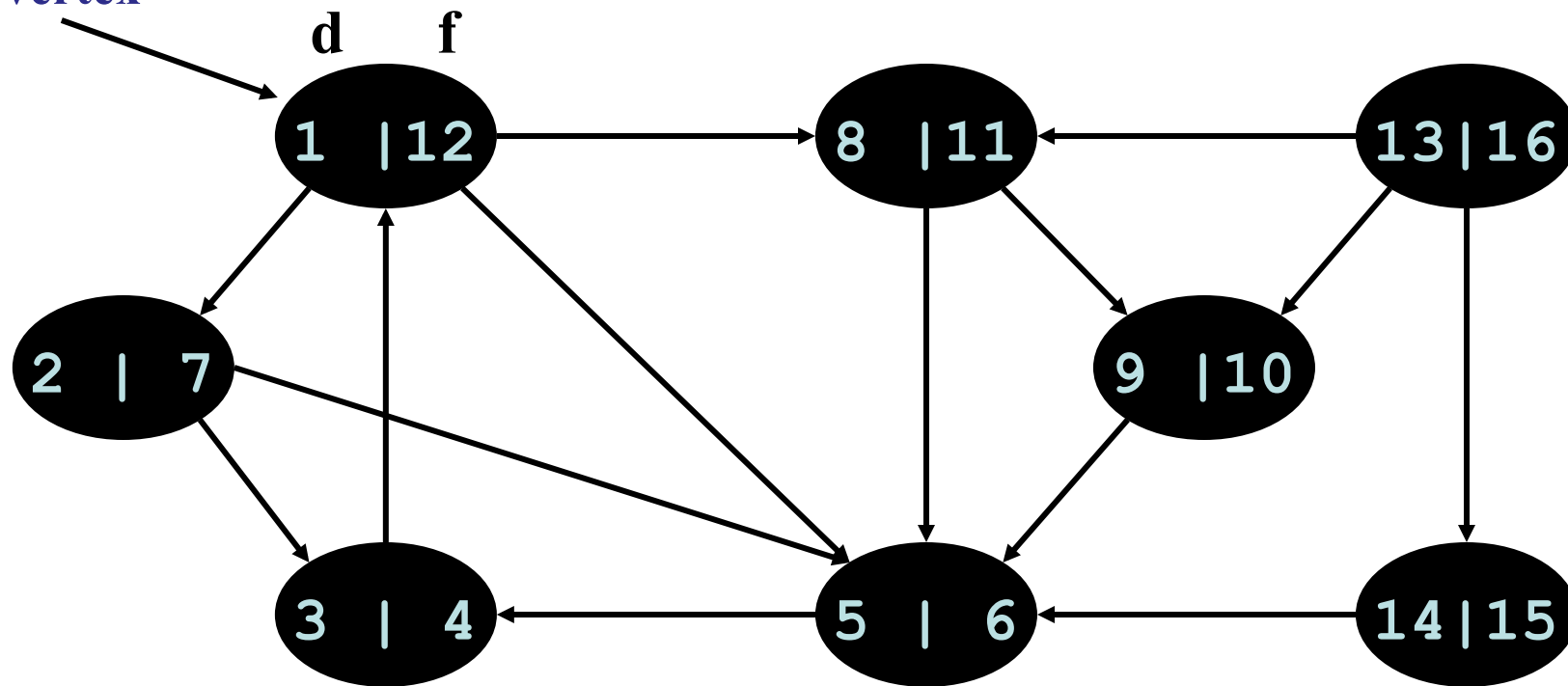
# DFS Example

source  
vertex



# DFS Example

source  
vertex

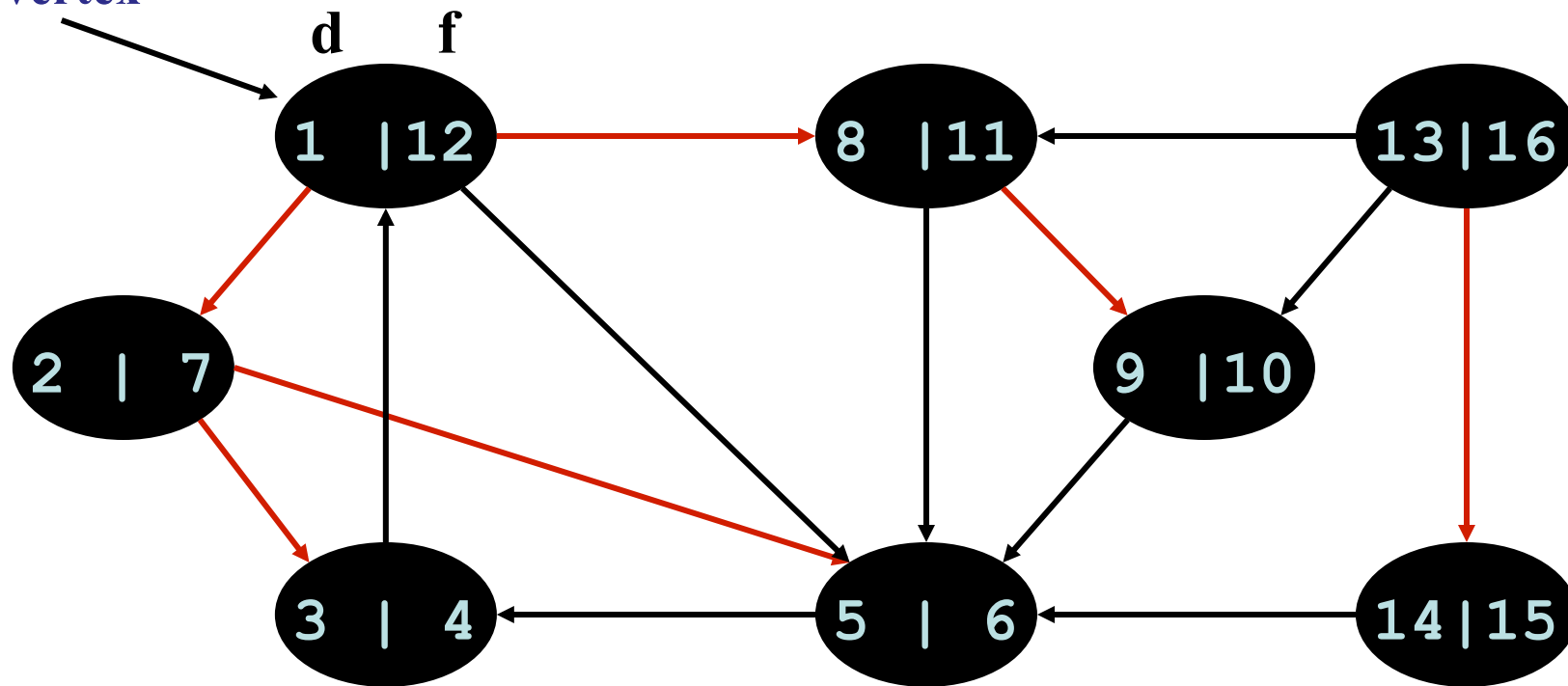


# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
- *Tree edge*: encounter new (white) vertex
- The tree edges form a spanning forest
- *Can tree edges form cycles? Why or why not?*

# DFS Example

source  
vertex



Tree edges

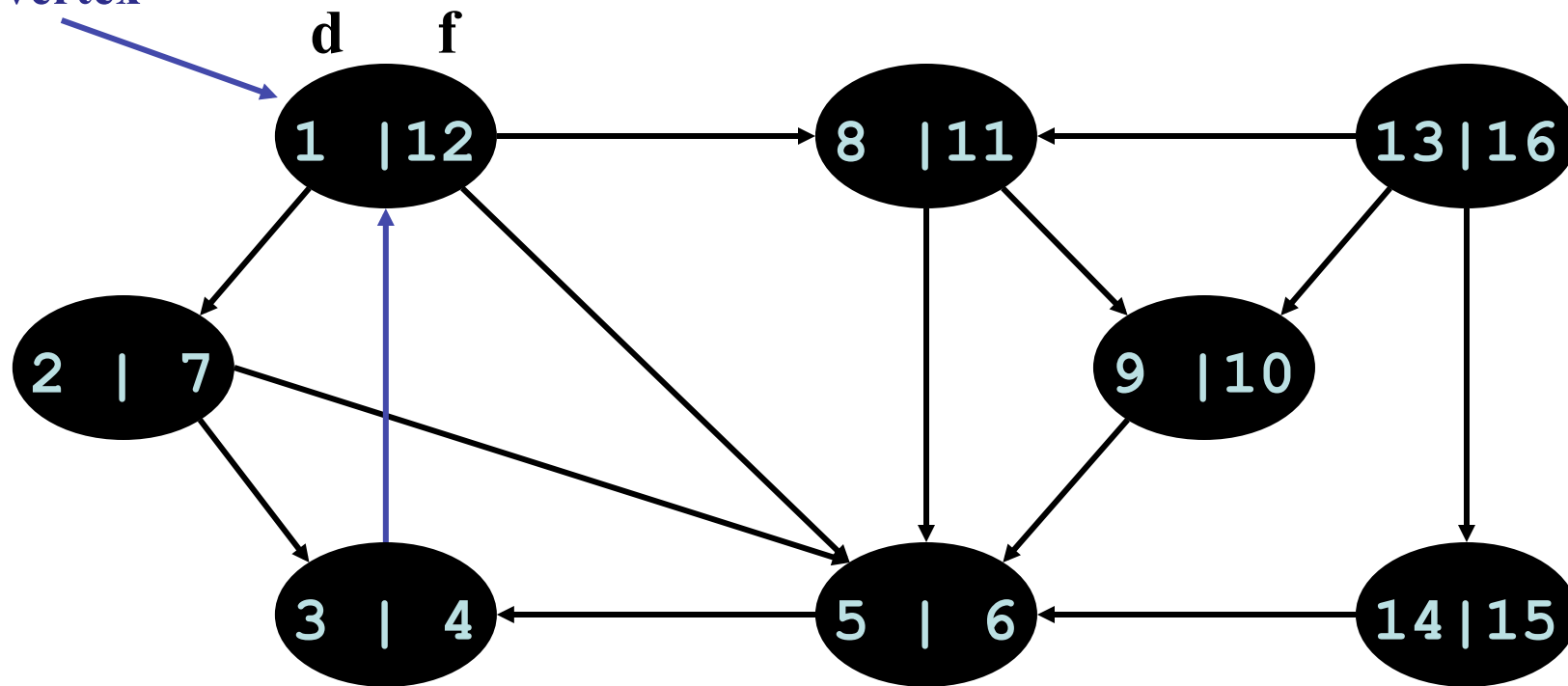


# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
- *Tree edge*: encounter new (white) vertex
- *Back edge*: from descendent to ancestor  
Encounter a grey vertex (grey to grey)

# DFS Example

source  
vertex



Tree edges    Back edges

# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:

*Tree edge*: encounter new (white) vertex

*Back edge*: from descendent to ancestor

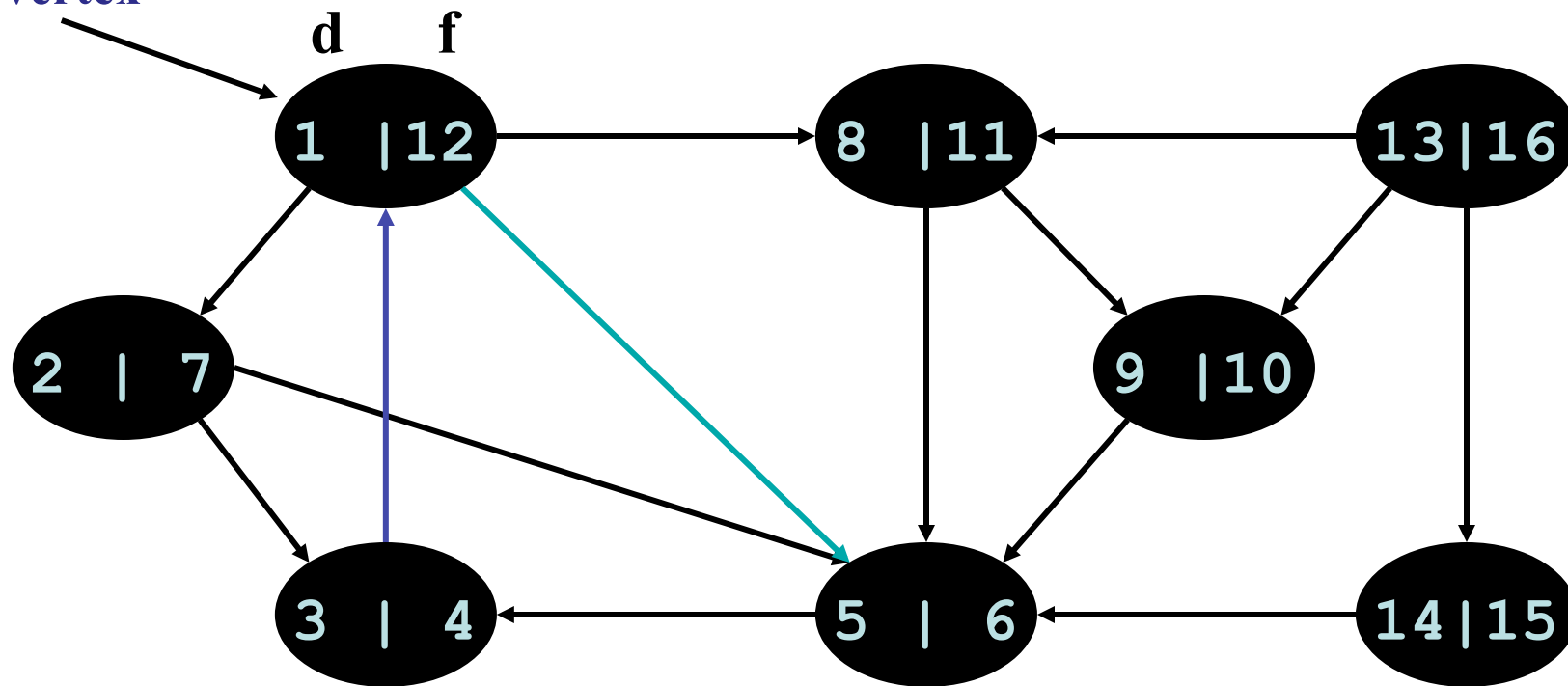
*Forward edge*: from ancestor to descendent

Not a tree edge, though

From grey node to black node

# DFS Example

source  
vertex



Tree edges   Back edges   Forward edges

# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:

*Tree edge*: encounter new (white) vertex

*Back edge*: from descendent to ancestor

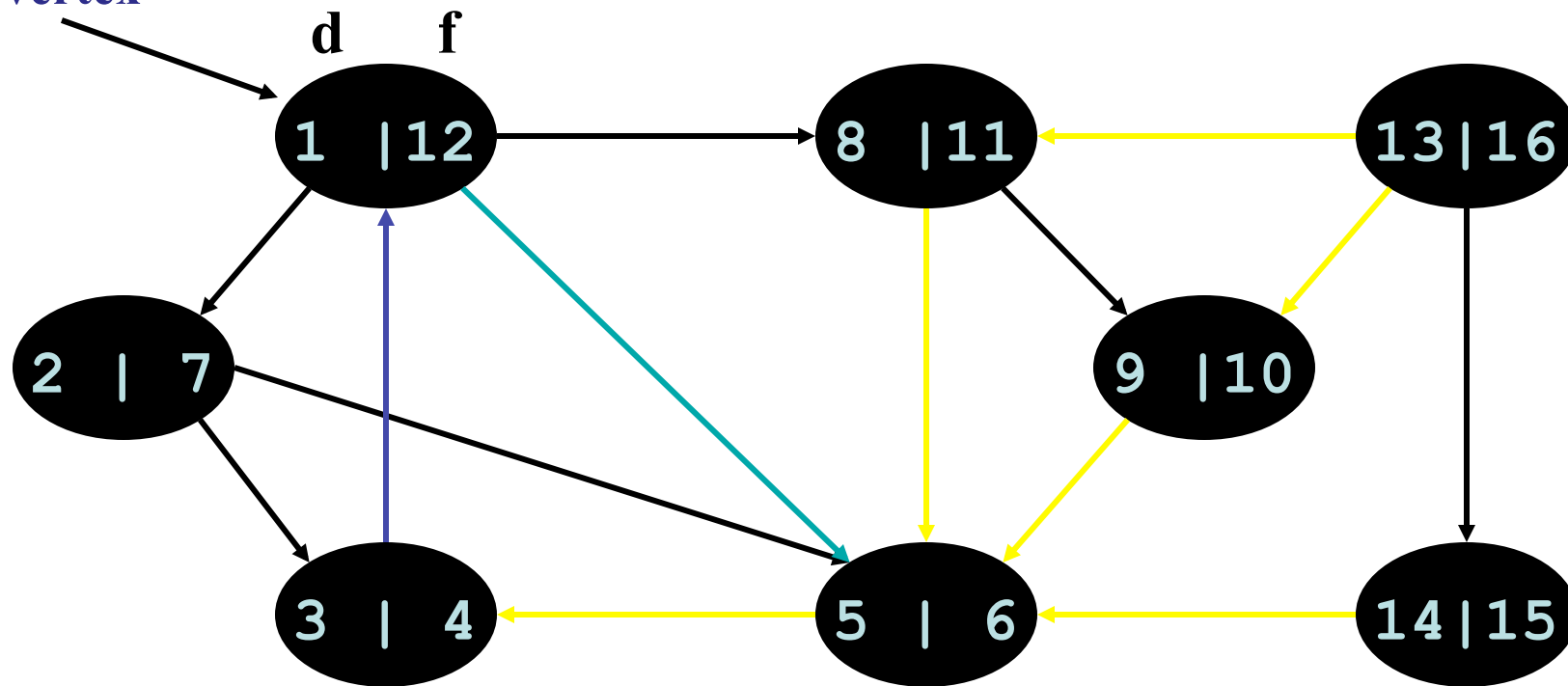
*Forward edge*: from ancestor to descendent

*Cross edge*: between a tree or subtrees

From a grey node to a black node

# DFS Example

source  
vertex



**Tree edges**   **Back edges**   **Forward edges**   **Cross edges**

# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
  - Tree edge*: encounter new (white) vertex
  - Back edge*: from descendent to ancestor
  - Forward edge*: from ancestor to descendent
  - Cross edge*: between a tree or subtrees
- Note: tree & back edges are important; most algorithms don't distinguish forward & cross

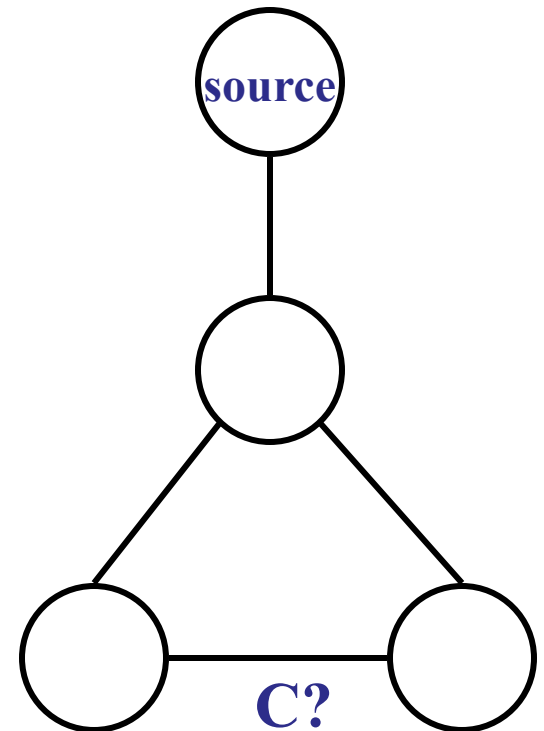
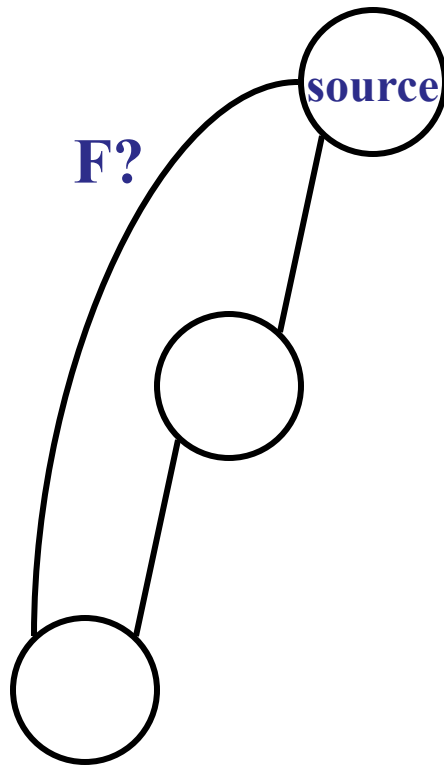
# DFS: Kinds Of Edges

- Thm 23.9 (22.10 – in 3<sup>rd</sup> edition): If  $G$  is undirected, a DFS produces only tree and back edges
- Suppose you have  $u.d < v.d$
- Then search discovered  $u$  before  $v$ , so first time  $v$  is discovered it is white – hence the edge  $(u,v)$  is a tree edge
- Otherwise the search already explored this edge in direction from  $v$  to  $u$
- edge must actually be a back edge since
- $u$  is still gray



# DFS: Kinds Of Edges

- Thm 23.9: If  $G$  is undirected, a DFS produces only tree and back edges – cannot be a forward edge



# DFS And Graph Cycles

- Thm: An undirected graph is *acyclic* iff a DFS yields no back edges
- If acyclic, no back edges (because a back edge implies a cycle)
- If no back edges, acyclic
  - No back edges implies only tree edges (*Why?*)
  - Only tree edges implies we have a tree or a forest
  - Which by definition is acyclic
- Thus, can run DFS to find whether a graph has a cycle

# DFS And Cycles

- *How would you modify the code to detect cycles?*

```
DFS (G)
{
    for each vertex  $u \in G \rightarrow V$ 
    {
         $u \rightarrow \text{color} = \text{WHITE};$ 
    }
    time = 0;
    for each vertex  $u \in G \rightarrow V$ 
    {
        if ( $u \rightarrow \text{color} == \text{WHITE}$ )
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
     $u \rightarrow \text{color} = \text{GREY};$ 
    time = time+1;
     $u \rightarrow d = \text{time};$ 
    for each  $v \in u \rightarrow \text{Adj}[]$ 
    {
        if ( $v \rightarrow \text{color} == \text{WHITE}$ )
            DFS_Visit(v);
    }
     $u \rightarrow \text{color} = \text{BLACK};$ 
    time = time+1;
     $u \rightarrow f = \text{time};$ 
}
```

# DFS And Cycles

- *What will be the running time ?*

DFS (G)

```
{
    for each vertex  $u \in G \rightarrow V$ 
    {
         $u \rightarrow \text{color} = \text{WHITE};$ 
    }
    time = 0;
    for each vertex  $u \in G \rightarrow V$ 
    {
        if ( $u \rightarrow \text{color} == \text{WHITE}$ )
            DFS_Visit(u);
    }
}
```

DFS\_Visit(u)

```
{
     $u \rightarrow \text{color} = \text{GREY};$ 
    time = time+1;
     $u \rightarrow d = \text{time};$ 
    for each  $v \in u \rightarrow \text{Adj}[]$ 
    {
        if ( $v \rightarrow \text{color} == \text{WHITE}$ )
            DFS_Visit(v);
    }
     $u \rightarrow \text{color} = \text{BLACK};$ 
    time = time+1;
     $u \rightarrow f = \text{time};$ 
}
```

# DFS And Cycles

- *What will be the running time?*
- A:  $O(V+E)$
- We can actually determine if cycles exist in  $O(V)$  time:

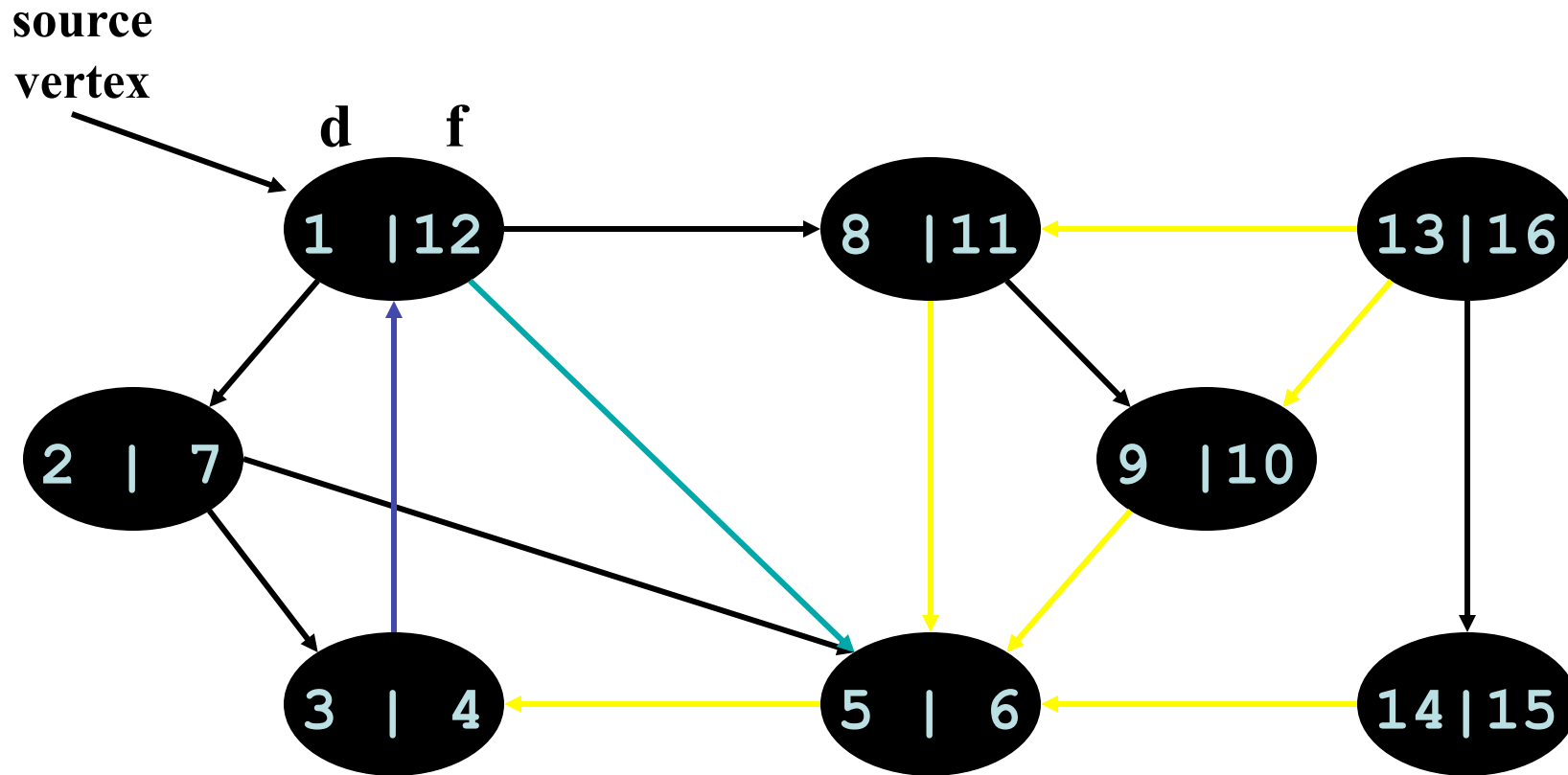
In an undirected acyclic forest,  $|E| \leq |V| - 1$

So count the edges: if ever see  $|V|$  distinct edges, must have seen a back edge along the way

# Review: Kinds Of Edges

- Thm: If  $G$  is undirected, a DFS produces only tree and back edges
- Thm: An undirected graph is *acyclic* iff a DFS yields no back edges
- Thus, can run DFS to find cycles

# Review: Kinds of Edges



Tree edges   Back edges   Forward edges   Cross edges