

# Assignment 1, Due on September 3rd, Wednesday

- ① (2 **points**) Page 8 of DPV, 0.1(c), 0.1(e), 0.1(f) 0.1(g), 0.1(j), 0.1(k), 0.1(m), 0.1(o), 0.1(p), 0.1(q),
- ② (3 **points**) Page 9 of DPV, 0.2

In each of the following situations, indicate whether  $f = O(g)$ , or  $f = \Omega(g)$ , or both (in which case  $f = \Theta(g)$ ).

c.  $f(n) = 100 \cdot n + \log n$  and  $g(n) = n + (\log n)^2$ .

$$\lim_{n \rightarrow +\infty} \frac{100 \cdot n + \log n}{n + (\log n)^2} = \lim_{n \rightarrow +\infty} \frac{100 + 1/n}{1 + 2 \cdot \log n/n} \approx 100 \Rightarrow f(n) = \Theta(g(n)).$$

e.  $f(n) = \log(2 \cdot n)$  and  $g(n) = \log(3 \cdot n)$ .

$$f(n) = \log 2 + \log n, \text{ and } g(n) = \log 3 + \log n \Rightarrow f(n) = \Theta(g(n)).$$

f.  $f(n) = 10 \cdot \log n$  and  $g(n) = \log(n^2)$ .

$$g(n) = 2 \cdot \log n \Rightarrow f(n) = \Theta(g(n)).$$

g.  $f(n) = n^{1.01}$  and  $g(n) = n \log^2 n$ .

$$\begin{aligned} \lim_{n \rightarrow +\infty} \frac{f(n)}{g(n)} &= \frac{n^{0.01}}{(\log n)^2} = \frac{0.01 \cdot n^{-0.99}}{2 \cdot \log n \cdot n^{-1}} \\ &= \frac{0.005 \cdot n^{0.01}}{\log n} = 0.005 \cdot 0.01 \cdot n^{0.01} \Rightarrow f(n) = \Omega(g(n)). \end{aligned}$$

j.  $f(n) = (\log n)^{\log n}$  and  $g(n) = n / \log n$ .

Let  $n = 2^k$ .  $f(n) = k^k$  and  $g(n) = 2^k/k$ . Thus,  $f(n) = \Omega(g(n))$ .

k.  $f(n) = \sqrt{n}$  and  $g(n) = (\log n)^3$ .

Let  $n = 2^k$ .  $f(n) = 2^{k-1}$  and  $g(n) = k^3$ . Thus,  $f(n) = \Omega(g(n))$ .

In each of the following situations, indicate whether  $f = O(g)$ , or  $f = \Omega(g)$ , or both (in which case  $f = \Theta(g)$ ).

m.  $f(n) = n \cdot 2^n$  and  $g(n) = 3^n$ .

$$\lim_{n \rightarrow +\infty} \frac{n \cdot 2^n}{3^n} = \frac{n}{1.5^n} \Rightarrow f(n) = O(g(n)).$$

o.  $f(n) = n!$  and  $g(n) = 2^n$ .

From Stirling formula,  $f(n) \approx \sqrt{2 \cdot \pi \cdot n} \cdot \left(\frac{n}{e}\right)^n$ . Thus,  $f(n) = \Omega(g(n))$ .

p.  $f(n) = (\log n)^{\log n}$  and  $g(n) = 2^{\log n^2}$ .

Let  $\log n = k$ .  $f(n) = k^k$  and  $g(n) = 2^{k^2} = 2^{k \cdot k} = (2^k)^k$ . Thus,  $f(n) = O(g(n))$ .

q.  $f(n) = \sum_{i=1}^n i^k$  and  $g(n) = n^{k+1}$ .  $k$  is a constant.

$$f(n) = 1^k + 2^k + \dots + n^k \leq n^k + n^k + \dots + n^k = n \cdot n^k = n^{k+1} = g(n) \Rightarrow f(n) = O(g(n)).$$

Also,

$$\begin{aligned} f(n) &= 1^k + 2^k + \dots + \left(\frac{n}{2}\right)^k + \left(\frac{n}{2} + 1\right)^k + \dots + n^k \geq \frac{n^k}{2} + \frac{n^k}{2} + \dots + \frac{n^k}{2} \\ &= \frac{n}{2} \cdot \frac{1}{2^k} \cdot n^k = n^{k+1} \cdot \frac{1}{2^{k+1}} \Rightarrow f(n) = \Omega(g(n)). \end{aligned}$$

Thus,  $f(n) = \Theta(g(n))$ .

Show that, if  $c$  is a positive real number, then  $g(n) = 1 + c + c^2 + \dots + c^n$  is

- 1  $\Theta(1)$  if  $c < 1$ .
- 2  $\Theta(n)$  if  $c = 1$ .
- 3  $\Theta(c^n)$  if  $c > 1$ .

Proof.

If  $c = 1$ ,  $g(n) = 1 + 1 + \dots + 1 = n + 1 = \Theta(n)$ . Otherwise,

$$g(n) = \frac{c^{n+1} - 1}{c - 1} = \frac{1 - c^{n+1}}{1 - c}.$$

If  $c < 1$ ,  $1 - c < 1 - c^{n+1} < 1$ . Thus,  $1 < g(n) < \frac{1}{1-c}$ .  $g(n) = \Theta(1)$ .

If  $c > 1$ ,  $c^{n+1} > c^{n+1} - 1 > c^n$ . Thus,  $\frac{c^n}{1-c} < g(n) < \frac{c}{1-c} \cdot c^n$ .  $g(n) = \Theta(c^n)$ . □

## Assignment 2, Due on September 10th, Wednesday

- ① (1 **point**) Page 39 of DPV, 1.11
- ② (2 **points**) Page 40 of DPV, 1.19
- ③ (2 **points**) Page 40 of DPV, 1.20

Is  $4^{1536} - 9^{4824}$  divisible by 35?

Proof.

$$35 = 5 \cdot 7, \quad 5 \text{ and } 7 \text{ are primes.}$$

By Fermat's Little Theorem,

Theorem

*For any prime  $p$  and  $1 \leq a < p$ ,  $a^{p-1} \equiv 1 \pmod{p}$ .*

Thus,  $a^{5-1} \equiv 1 \pmod{5}$  and  $a^{7-1} \equiv 1 \pmod{7}$ . Furthermore, we have  $(a^{5-1})^{7-1} = (a^4)^6 = a^{24} \equiv 1 \pmod{5 \cdot 7}$ . That is  $a^{24} \equiv 1 \pmod{35}$ , for all  $1 \leq a < 35$ . Therefore,  $4^{1536} = 4^{24 \cdot 64} \equiv 1 \pmod{35}$  and  $9^{4824} = 9^{24 \cdot 201} \equiv 1 \pmod{35}$ . We conclude that  $4^{1536} \equiv 9^{4824} \pmod{35}$ . So the difference is divisible by 35. □

The *Fibonacci numbers*  $F_0, F_1, \dots$  are given by the recurrence  $F_{n+1} = F_n + F_{n-1}$ ,  $F_0 = 0$ ,  $F_1 = 1$ . Show that for any  $n \geq 1$ ,  $\gcd(F_{n+1}, F_n) = 1$ .

**Proof.**

We can show this by induction on  $n$ . For  $n = 1$ ,  $\gcd(F_1, F_2) = \gcd(1, 1) = 1$ . Now say that the inductive hypothesis is true for all  $n \leq k$ , this implies that for  $n = k + 1$ ,

$$\gcd(F_{k+1}, F_{k+2}) = \gcd(F_{k+1}, F_{k+2} - F_{k+1}) = \gcd(F_{k+1}, F_k) = 1.$$

Thus, the statement is true for all  $n \geq 1$ . □

Find the inverse of: 20 mod 79, 3 mod 62, 21 mod 91, and 5 mod 23.

- 1  $\gcd(20, 79) = \gcd(20, 19) = \gcd(19, 1) = 1$ . Thus,  
 $1 = 20 - 1 \cdot 19 = 20 - 1 \cdot (79 - 3 \cdot 20) = 20 - 79 + 3 \cdot 20 = 4 \cdot 20 - 1 \cdot 79$ . So,  
 $20^{-1} = 4 \pmod{79}$ .
- 2  $\gcd(3, 62) = \gcd(3, 2) = \gcd(2, 1) = 1$ . Thus,  
 $1 = 3 - 2 = 3 - (62 - 20 \cdot 3) = 3 - 62 + 20 \cdot 3 = 21 \cdot 3 - 62 \cdot 1$ . So,  
 $3^{-1} = 21 \pmod{62}$ .
- 3  $\gcd(21, 91) = \gcd(21, 7) = \gcd(7, 7) \neq 1$ . Thus,  $21^{-1} \pmod{91}$  does not exist.
- 4  $\gcd(5, 23) = \gcd(5, 3) = \gcd(3, 2) = \gcd(2, 1) = 1$ . Thus,  
 $1 = 3 - 2 = 3 - (5 - 3) = 3 \cdot 2 - 5 \cdot 1 = (23 - 4 \cdot 5) \cdot 2 - 5 \cdot 1 = 23 \cdot 2 - 9 \cdot 5$ .  
So,  $5^{-1} = -9 = 14 \pmod{23}$ .



## Assignment 3, Due on September 24th, Wednesday

- ① (1.5 **points.**) Page 40 of DPV, 1.29. You do not need to answer how many bits are needed to choose a function from the family.
- ② (1 **point.**) (CLSR page 98, 5.2-4) Use indicator random variable to solve the following problem, which is known as the **hat-check problem**. Each of  $n$  customers gives a hat to a hat-checker persona at a restaurant. The hat-checker person gives the hats back to the customer in a random order. What is the expected number of customers that get back their own hat?
- ③ (1.5 **points.**) (CLSR page 98, 5.2-5) Let  $A[1, \dots, n]$  be an array of  $n$  distinct numbers. If  $i < j$  and  $A[i] < A[j]$ , then the pair  $(i, j)$  is called an **inversion** of  $A$ .
  - ① (0.5 **point.**) What array with elements from the set  $\{1, 2, \dots, n\}$  has the most inversions? How many does it have?
  - ② (1 **point.**) Suppose that the elements of  $A$  form a uniform random permutation of  $\langle 1, 2, \dots, n \rangle$ . Use indicator random variables to compute the expected number of inversions.
- ④ (1 **point.**) (CLSR page 105, 5.3-5) Prove that in the array  $P$  in procedure *permute-by-sorting*, the probability that all elements are unique is at least  $1 - 1/n$ .

**Page 40 of DPV, 1.29.** You do not need to answer how many bits are needed to choose a function from the family.

- 1 Here  $H$  is the same as in the example in the book, only with 2 coefficients instead of 4. With the same reasoning as the proof of the Property in page 46, we assume that  $x_2 \neq y_2$  and we want to determine the probability that equation  $a_1 \cdot (x_1 - y_1) = a_2 \cdot (y_2 - x_2)$  holds. Assuming we already picked  $a_1$ , that probability is equal to  $1/m$ , since the only way for the equation to hold is to pick  $a_2$  to be  $(y_2 - x_2)^{-1} \cdot a_1 \cdot (x_1 - y_1) \bmod m$ . We can see that, since  $m$  is prime,  $(y_2 - x_2)^{-1}$  is unique. Thus  $H$  is universal. We need  $2 \cdot \lceil \log m \rceil$  bits.
- 2  $H$  is not universal, since according to above analysis, we need a unique inverse of  $(y_2 - x_2) \bmod m$  where  $m = 2^k$ . For this to hold  $m$  has to be prime, which is not true (unless  $k = 1$ ). We need  $2 \cdot k$  bits.
- 3 We calculate  $P = \Pr[f(x) = f(y)]$ , for  $x \neq y$ . We have 
$$P = \sum_{i=1}^{m-1} \frac{1}{(m-1)^2} = \frac{1}{m-1}.$$
 Thus  $H$  is universal. The total number of functions  $f : [m] \rightarrow [m-1]$  is  $(m-1)^m$ , so we need  $m \cdot \log(m-1)$  bits.

(CLSR page 98, 5.2-4) Use indicator random variable to solve the following problem, which is known as the **hat-check problem**. Each of  $n$  customers gives a hat to a hat-checker persona at a restaurant. The hat-checker person gives the hats back to the customer in a random order. What is the expected number of customers that get back their own hats?

Proof.

Let  $I(X = i)$  be the indicator random variable showing whether the customer  $i$  gets his/her hat back.

$$I(X = i) = \begin{cases} 1, & \text{if customer } i \text{ gets his/her hat;} \\ 0, & \text{otherwise.} \end{cases}$$

Let  $S$  be the expected number of customers getting back their own hats;  $S_i$  be the expectation for customer  $i$  gets his/her hat. Then

$$E[S] = E\left[\sum_{i=1}^n S_i\right] = \sum_{i=1}^n E[S_i] = \sum_{i=1}^n \Pr\{I(X = i)\} = \sum_{i=1}^n \frac{1}{n} = 1.$$

□

Let  $A[1, \dots, n]$  be an array of  $n$  distinct numbers. If  $i < j$  and  $A[i] < A[j]$ , then the pair  $(i, j)$  is called an **inversion** of  $A$ .

- 1 What array with elements from the set  $\{1, 2, \dots, n\}$  has the most inversions? How many does it have?
- 2 Suppose that the elements of  $A$  form a uniform random permutation of  $\langle 1, 2, \dots, n \rangle$ . Use indicator random variables to compute the expected number of inversions.

### Proof.

- 1  $[1, 2, \dots, n]$  has the most number of inversions:  
$$\sum_{i=1}^n (i-1) = \frac{[1+(n-1)] \cdot (n-1)}{2} = \frac{n \cdot (n-1)}{2}.$$
- 2 Let  $I(i, j)$  denote the indicator variable that  $(i, j)$  is an inversion pair. Let  $S$  denote the expected total number of inversions. Let  $S_{i,j}$  denote that a pair  $(i, j)$  is inverse.

$$\begin{aligned} E[S] &= E\left[\sum_{i=1}^{n-1} \sum_{j=i+1}^n S(i, j)\right] \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n E[S(i, j)] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \Pr\{I(i, j) = 1\} \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{1}{2} = \frac{n \cdot (n-1)}{4} \end{aligned}$$

Prove that in the array  $P$  in procedure permute-by-sorting, the probability that all elements are unique is at least  $1 - 1/n$ .

Proof.

We choose a range  $[1, n^3]$  to select an element,  $n \geq 2$ . The probability that 2 or more elements are NOT selected from the same number is

$$P = \frac{n^3}{n^3} \cdot \frac{n^3 - 1}{n^3} \cdot \frac{n^3 - 2}{n^3} \cdot \dots \cdot \frac{n^3 - (n - 1)}{n^3}.$$

Since  $n \geq 2$ ,  $n^3 - i \geq n^3 - n = n \cdot (n^2 - 1) \geq n \cdot n = n^2$ , for all  $0 \leq i < n$ .  
Thus,

$$\begin{aligned} P &\geq \left(1 - \frac{1}{n^2}\right) \cdot \left(1 - \frac{1}{n^2}\right) \cdot \dots \cdot \left(1 - \frac{1}{n^2}\right) = \left(1 - \frac{1}{n^2}\right)^n = \sum_{k=0}^n \binom{n}{k} 1^k \left(-\frac{1}{n^2}\right)^{n-k} \\ &= \sum_{k=0}^n \binom{n}{k} \left(-\frac{1}{n^2}\right)^{n-k} \\ &= 1 - n \cdot \frac{1}{n^2} + \dots, \quad \text{Note } \binom{n}{k+1} \cdot \left(\frac{1}{n^2}\right)^{n-(k+1)} \geq \binom{n}{k} \cdot \left(\frac{1}{n^2}\right)^{n-k} \\ &\quad \text{(That is, the remaining items are positive, if you pick two by two).} \\ &\geq 1 - \frac{1}{n}. \end{aligned}$$

