

# CS483 Design and Analysis of Algorithms

## Lectures 2-3 Algorithms with Numbers

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appointments

Course web-site:

[http://www.cs.gmu.edu/~lifei/teaching/cs483\\_fall108/](http://www.cs.gmu.edu/~lifei/teaching/cs483_fall108/)

Figures unclaimed are from books “Algorithms” and “Introduction  
to Algorithms”.

# Chapter 1 of DPV — Algorithms with Numbers

## ▶ Foundations

1. Basic Arithmetic
2. Modular Arithmetic
3. Primality Testing

## ▶ Applications

1. Cryptography
2. Universal Hashing

# Basic Arithmetic — Addition

## Theorem

*The sum of any three single-digit numbers is at most two digits long, no matter what the base is*

$$9 + 9 + 9 = 27, \text{ in decimal}$$

$$1 + 1 + 1 = 11, \text{ in binary}$$

Proof.

?



## Basic Arithmetic — Addition

### Remark

*Each individual sum is a two-digit number, the carry is always a single digit, and so at any given step, three single-digit numbers are added*

53 + 35 in binary.

$$\begin{array}{rcccccccc} \text{Carry:} & 1 & & & 1 & 1 & 1 & & \\ & & 1 & 1 & 0 & 1 & 0 & 1 & (53) \\ & & 1 & 0 & 0 & 0 & 1 & 1 & (35) \\ \hline & 1 & 0 & 1 & 1 & 0 & 0 & 0 & (88) \end{array}$$

Addition runs in *linear*  $O(n)$ , when two  $n$ -bits numbers are added

# Basic Arithmetic — Multiplication

$x = 1101$  and  $y = 1011$ . The multiplication would proceed thus.

$$\begin{array}{r} \phantom{+} \phantom{1} \phantom{0} \phantom{0} \phantom{0} \phantom{1} \phantom{1} \phantom{1} \phantom{1} \\ \phantom{+} \phantom{1} \phantom{0} \phantom{0} \phantom{0} \phantom{1} \phantom{1} \phantom{1} \phantom{1} \\ \phantom{+} \phantom{1} \phantom{0} \phantom{0} \phantom{0} \phantom{1} \phantom{1} \phantom{1} \phantom{1} \\ \phantom{+} \phantom{1} \phantom{0} \phantom{0} \phantom{0} \phantom{1} \phantom{1} \phantom{1} \phantom{1} \\ + \phantom{1} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{1} \phantom{1} \phantom{1} \phantom{1} \\ \hline 1 \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{1} \phantom{1} \phantom{1} \phantom{1} \phantom{1} \end{array}$$

(1101 times 1)  
(1101 times 1, shifted once)  
(1101 times 0, shifted twice)  
(1101 times 1, shifted thrice)

(binary 143)

1. Is it correct?
2. Running time?

$$\underbrace{O(n) + O(n) + \cdots + O(n)}_{n-1 \text{ times}}$$

3. Can we do *better*?

- ▶ Divide-and-Conquer:  
 $\approx O(n^{1.59})$  (in Chapter 2)

## Basic Arithmetic — Division

- ▶ Input: Two  $n$ -bit integers  $x$  and  $y$ , where  $y \geq 1$
- ▶ Output: The quotient and remainder of  $x$  divided by  $y$

```
function divide(x, y)

    if (x = 0)
        return (q, r) = (0, 0);

    (q, r) = divide([ x / 2 ], y);
    q = 2 × q; r = 2 × r;

    if (x is odd)
        r = r + 1;

    if (r ≥ y)
        r = r - y; q = q + 1;

    return (q, r);
```

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2. **Modular Arithmetic**
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2. Universal Hashing

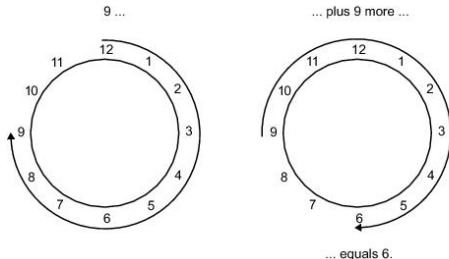
# Modular Arithmetic

## Definition

**Modular arithmetic** is a system limiting numbers to a predefined range  $[0, 1, \dots, N - 1]$

$x$  and  $y$  are **congruent modulo  $N$**   $\Leftrightarrow N$  divides  $(x - y)$

$x$  modulo  $N$  is  $r \Leftrightarrow x = q \cdot N + r \Leftrightarrow x \equiv r \pmod{N}$ , with  $0 \leq r < N$





# Modular Arithmetic

Modular arithmetic deals with all integers and divide them into  $N$  **equivalence classes**, each of the form  $\{i + k \cdot N, k \in \mathbb{Z}\}$  for some  $i$  between 0 and  $N - 1$

For each class,  $i$  is the **representative**

## Remark

**Substitution rule.** *If  $x \equiv x' \pmod{N}$  and  $y \equiv y' \pmod{N}$ , then,*

$$x + y \equiv x' + y' \pmod{N} \text{ and } x \cdot y \equiv x' \cdot y' \pmod{N}$$

$$x + (y + z) \equiv (x + y) + z \pmod{N}, \quad \text{Associativity}$$

$$x \cdot y \equiv y \cdot x \pmod{N}, \quad \text{Commutativity}$$

$$x \cdot (y + z) \equiv x \cdot y + y \cdot z \pmod{N}, \quad \text{Distributivity}$$

$$2^{345} \equiv? \pmod{31}$$

# Modular Addition and Multiplication

# Modular Addition and Multiplication

## 1. Modular addition

- ▶ A regular addition ( $0 \leq x + y \leq 2 \cdot (N - 1)$ ) and possibly a subtraction
- ▶ Running time  $O(n)$ , where  $n = \lceil \log N \rceil$

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## 3. Modular exponentiation

- ▶ Algorithms for  $x^y \pmod{N}$ ?
- ▶ Running time?

## 4. Modular division

- ▶ Algorithms for  $a \cdot ? \equiv 1 \pmod{N}$ ?
- ▶ Running time?

# Module Exponentiation ( $x^y \bmod N = ?$ )

## 1. Worst approach

- ▶ Calculate  $x^y$ , then calculate  $x^y \bmod N$
- ▶  $(2^{19})^{2^{19}} = 2^{19 \cdot 524288}$

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## 2. Bad approach

- ▶ Calculate  $x^y \bmod N$  by repeatedly multiplying by  $x$  modulo  $N$

$$x \bmod N \rightarrow x^2 \bmod N \rightarrow x^3 \bmod N \rightarrow \dots, \rightarrow x^y \bmod N$$

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## 3. Best approach (geometrically calculate the product)

$$x \bmod N \rightarrow x^2 \bmod N \rightarrow x^4 \bmod N \rightarrow \dots, \rightarrow x^{2^{\lfloor \log y \rfloor}} \bmod N.$$

$$x^y = \begin{cases} (x^{\lfloor y/2 \rfloor})^2 & \text{if } y \text{ is even} \\ x \cdot (x^{\lfloor y/2 \rfloor})^2 & \text{if } y \text{ is odd.} \end{cases}$$

$$x^{25} = x^{11001_2} = x^{10000_2} \cdot x^{1000_2} \cdot x^{1_2} = x^{16} \cdot x^8 \cdot x^1.$$



# Euclid Algorithm ( $\gcd(a, b)$ )

Given two integers  $a$  and  $b$ , what is the *largest integer* that divides both — greatest common divisor?



Euclid of Alexandria  
BC 325–265

## Theorem

Let  $a \geq b$ .  $\gcd(a, b) = \gcd(b, a \bmod b) = \gcd(a - b, b)$ .

## Proof.

?



$\gcd(25, 11) = ?$

# Extension of Euclid's Algorithm

Assume  $d$  is the greatest common divisor of  $a$  and  $b$ , how can we check this?

## Lemma

*If  $d$  divides both  $a$  and  $b$ , and  $d = a \cdot x + b \cdot y$  for some integers of  $x$  and  $y$ , then necessarily  $d = \gcd(a, b)$ .*

## Proof.

?



1.  $\gcd(65, 40) = ?$
2.  $65 \cdot x + 40 \cdot y = \gcd(65, 40)$
3.  $\gcd(1239, 735) = ?$
4.  $1239 \cdot x + 735 \cdot y = \gcd(65, 40)$

# Modular Division — $a \cdot x \equiv 1 \pmod{N}$

## Definition

$x$  is the *multiplicative inverse* of  $a$  modulo  $N$  if  $a \cdot x \equiv 1 \pmod{N}$

## Lemma

$x$ , if it exists, is unique.

## Proof.

? □

## Lemma

If  $\gcd(a, N) = 1$ ,  $x$  must exist.

## Proof.

? □

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# Primality Testing

Tell whether a number is a prime without factoring it.

## Theorem

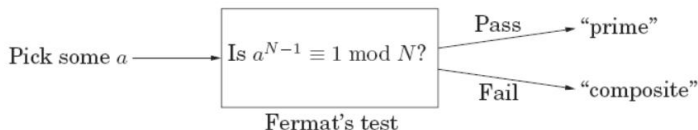
**Fermat's little theorem.** *If  $p$  is prime, then for every  $1 \leq a < p$ ,*

$$a^{p-1} \equiv 1 \pmod{p}$$

## Lemma

$$(S = \{1, 2, \dots, p-1\} \cdot a) \pmod{p} = S$$

$$(p-1)! \equiv a^{p-1} \cdot (p-1)! \pmod{p}$$



# Fermat's Last Theorem



Figure:

<http://jeff560.tripod.com>



Figure:

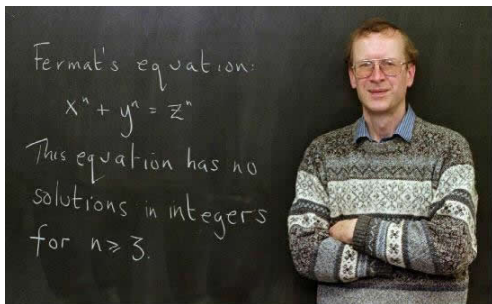


Figure: <http://www.fafamonge.com/images>

# Generate Random Primes

## Theorem

**Langange's prime number theorem.** *Let  $\pi(x)$  be the number of primes  $\leq x$ . Then  $\pi(x) \approx \frac{x}{\ln x}$ , or more precisely,*

$$\lim_{x \rightarrow +\infty} \frac{\pi(x)}{(x/\ln x)} = 1$$

```
function random-prime(n)

    while()
        Pick a random n-bit number N;

        Run a primality test on N;

        if (test is passed)
            return N;
```

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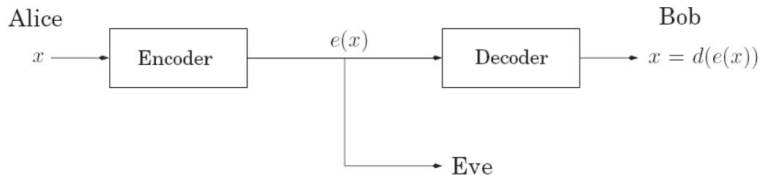
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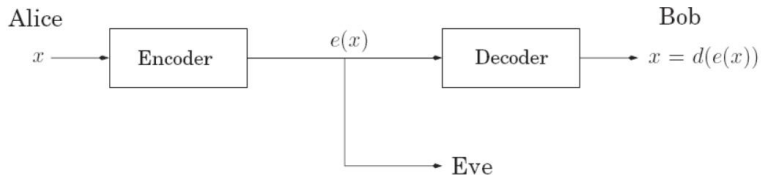


# Rivest-Shamir-Adelman (RSA) — Public Key System



1. Anybody can send a message to anybody else using publicly available information
2. Each person has a public key known to the whole world and a secret key known only to him- or herself
3. When Alice wants to send message  $x$  to Bob, she encodes it using Bobs **public key**. Bob decrypts it using his **secret key**

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4. **Approach**  
Think of messages from Alice to Bob as numbers (mod  $N$ )

# Public Key Cryptography

Pick any 2 primes  $p$  and  $q$ . Let  $N = p \cdot q$

For any  $e \equiv 1 \pmod{(p-1) \cdot (q-1)}$ :

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1. The mapping  $x \rightarrow x^e \pmod N$  is a bijection on  $\{0, 1, \dots, N-1\}$ .
  - ▶ A reasonable way to encode  $x$

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1. The mapping  $x \rightarrow x^e \pmod N$  is a bijection on  $\{0, 1, \dots, N-1\}$ .
  - ▶ A reasonable way to encode  $x$
2. Let  $d$  be the inverse of  $e \pmod{(p-1) \cdot (q-1)}$ . Then,  
 $\forall x \in \{0, 1, \dots, N-1\}$ :

$$(x^e)^d \equiv x \pmod N$$

- ▶ A reasonable way to decode  $x$

# Proof of RSA

1. (2.) implies (1.) since the mapping is invertible
2.  $e$  is invertible module  $(p - 1) \cdot (q - 1)$  because  $e$  is relatively prime to this number
3.  $e \cdot d \equiv 1 \pmod{(p - 1) \cdot (q - 1)}$ , then,  
 $e \cdot d = 1 + k \cdot (p - 1) \cdot (q - 1)$  for some  $k$ . Show

$$x^{e \cdot d} - x = x^{1+k \cdot (p-1) \cdot (q-1)} - x$$

is always 0 (mod  $N$ )

# RSA: R Rivest, A. Shamir and L. Adleman (MIT)



<http://www.usc.edu/dept/molecular-science/pictures>

1. Bob picks up 2 large prime numbers  $p$  and  $q$ . His public key is  $(N = p \cdot q, e)$ .

$$e \equiv 1 \pmod{(p-1) \cdot (q-1)}$$

Bob's secret key is  $d$ ,

$$d \cdot e \equiv 1 \pmod{(p-1) \cdot (q-1)}$$

2. Alice sends Bob  $y = x^e \pmod N$
3. Bob decodes  $x$  by computing  $y^d \pmod N$

1. Given  $N$ ,  $e$ , and  $y = x^e \pmod N$ , it is computational intractable to determine  $x$

2. **FACTORING is HARD**

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# Hashing Table

- ▶ **Dictionary**

Given a universe  $U$  of possible elements, maintain a subset  $S \subseteq U$  so that **inserting**, deleting, and **searching** in  $S$  is efficient

- ▶ **Challenge**

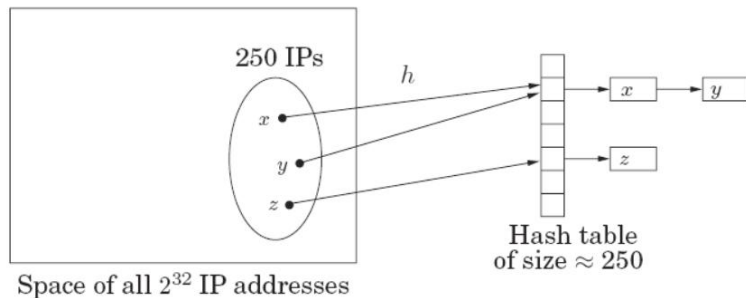
Universe  $U$  can be extremely large so defining an array of size  $|U|$  is infeasible

- ▶ **Applications**

File systems, databases, Google, compilers, checksums P2P networks, associative arrays, cryptography, web caching, etc

# Hashing Table

fast access + efficient storage  
random function + consistent function  
distribution is unknown



# Hashing

## 1. Hash function

$$h : U \rightarrow \{0, 1, \dots, n - 1\}$$

## 2. Hashing

Create an array  $H$  of size  $n$ . When processing element  $u \in U$ , access array element  $H[h(u)]$

## 3. Collision

When  $h(u) = h(v)$  but  $u \neq v$

- ▶ A *collision* is expected after  $\Omega(\sqrt{n})$  random insertions  
Why? *birthday paradox* — next Lecture
- ▶ *Separate chaining*  
 $H[i]$  stores linked list of elements  $u$  with  $h(u) = i$

# Hashing Performance

## 1. Idealistic hash function

Maps  $m$  elements uniformly at random to  $n$  hash slots

- Running time depends on length of chains
- Average length of chain =  $m/n$
- Choose  $n \approx m \Rightarrow$  on average  $O(1)$  per insert, lookup, or delete

## 2. Universal hashing

- For any pair of elements  $u, v \in U$

$$\Pr_{h \in H}[h(u) = h(v)] \leq \frac{1}{n}$$

- Can select random  $h$  efficiently
- Can compute  $h(u)$  efficiently

# Universal Hashing

## Theorem

**Universal hashing property.** Assume  $H$  be a universal class of hash functions. Let  $h \in H$  be chosen uniformly at random from  $H$ ; and let  $u \in U$ .

Then, for any subset  $S \subseteq U$  of size at most  $n$ , the expected number of items in  $S$  that collide with  $u$  is at most 1

Proof.

?



## A Universal Hashing

For any 4 coefficients  $a_1, a_2, a_3, a_4 \in \{0, 1, \dots, n-1\}$ , write  $a = (a_1, a_2, a_3, a_4)$  and define

$$h_a(x_1, x_2, x_3, x_4) = \sum_{i=1}^4 (a_i \cdot x_i \bmod n)$$

### Theorem

*Consider any pair of distinct IP addresses  $x = (x_1, x_2, x_3, x_4)$  and  $y = (y_1, y_2, y_3, y_4)$ . If the coefficients  $a = (a_1, a_2, a_3, a_4)$  are chosen uniformly at random from  $\{0, 1, \dots, n-1\}$ , then*

$$\Pr\{h_a(x_1, x_2, x_3, x_4) = h_a(y_1, y_2, y_3, y_4)\} = \frac{1}{n}$$

Proof.

?

