Multiway Cut, k-Cut, and k-Center<sup>1</sup>

<sup>1</sup>Chapter 4 of Approximation Algorithm, Vijay V. Vazirani, 2003  $\square \rightarrow \langle \square \rangle \rightarrow \langle \square \rightarrow \langle \square \rangle \rightarrow \langle \square \rightarrow \langle \square \rangle \rightarrow \langle \square \rightarrow ( \square \rightarrow ($ 

# Definition (Cut)

Given a connected, undirected graph G = (V, E) with an assignment of weights to edges,  $w : E \to \mathcal{R}^+$ , a *cut* is defined by a partition of V into two sets, say V' and V - V', and consists of all edges that have one endpoint in each partition.

Clearly, the removal of the cut from G disconnects G.

## Definition (s-t cut)

Given terminals  $s, t \in V$ , consider a partition of V that separates s and t. The cut defined by such a partition will be called an s-t cut.

The problems of finding a minimum weight cut and a minimum weight *st* cut can be efficiently solved using a maximum flow algorithm.

## Problem (Multiway cut)

Given a set of terminals  $S = \{s_1, s_2, \ldots, s_k\} \subseteq V$ , a multiway cut is a set of edges whose removal disconnects the terminals from each other. The multiway cut problem asks for the minimum weight such set.

## Problem (Minimum k-cut)

A set of edges whose removal leaves k connected components is called a k-cut. The k-cut problem asks for a minimum weight k-cut.

# Multiway Cut and k-Cut

## Problem (Multiway cut)

Given a set of terminals  $S = \{s_1, s_2, \ldots, s_k\} \subseteq V$ , a multiway cut is a set of edges whose removal disconnects the terminals from each other. The multiway cut problem asks for the minimum weight such set.

### Remark

The problem of finding a minimum weight multiway cut is NP-hard for any fixed  $k \ge 3$ . Observe that the case k = 2 is precisely the minimum *s*-*t* cut problem. The minimum *k*-cut problem is polynomial time solvable for fixed *k*; however, it is NP-hard if *k* is specified as part of the input.

In this following, we will obtain factor  $2 - \frac{2}{k}$  approximation algorithms for both problems.

## Definition (Isolating cut)

Define an *isolating cut* for  $s_i$  to be a set of edges whose removal disconnects  $s_i$  from the rest of the terminals.

## Algorithm 0.1: MULTIWAY CUT(G)

```
for i = 1, ..., k
{compute a minimum weight isolating cut for s_i, say C_i.
Discard the heaviest of these cuts, and output the union of the rest, say C.
```

Each computation in step 1 can be accomplished by identifying the terminals in  $S - \{s_i\}$  into a single node, and finding a minimum cut separating this node from  $s_i$ ; this takes one max-flow computation. Clearly, removing C from the graph disconnects every pair of terminals, and so is a multiway cut.

#### Theorem

The above algorithm achieves an approximation guarantee of  $2 - \frac{2}{k}$ .

### Proof.

Let A be an optimal multiway cut in G. We can view A as the union of k cuts as follows:

► The removal of A from G will create k connected components, each having one terminal (since A is a minimum weight multiway cut, no more than k components will be created). Let A<sub>i</sub> be the cut separating the component containing s<sub>i</sub> from the rest of the graph. Then A = ⋃\_{i=1}<sup>k</sup> A<sub>i</sub>.

Since each edge of A is incident at two of these components, each edge will be in two of the cuts  $A_i$ . Hence,

$$\sum_{i=1}^k w(A_i) = 2w(A).$$

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Clearly,  $A_i$  is an isolating cut for  $s_i$ . Since  $C_i$  is a minimum weight isolating cut for  $s_i$ ,  $w(C_i) \le w(A_i)$ . Notice that this already gives a factor 2 algorithm, by taking the union of all k cuts  $C_i$ . Finally, since C is obtained by discarding the heaviest of the cuts  $C_i$ ,

$$w(C) \leq \left(1-\frac{1}{k}\right)\sum_{i=1}^{k}w(C_i) \leq \left(1-\frac{1}{k}\right)\sum_{i=1}^{k}w(A_i) = 2\left(1-\frac{1}{k}\right)w(A).$$

# Example

A tight example for this algorithm is given by a graph on 2k vertices consisting of a k-cycle and a distinct terminal attached to each vertex of the cycle. The edges of the cycle have weight 1 and edges attaching terminals to the cycle have weight  $2 - \epsilon$  for a small fraction  $\epsilon > 0$ . For example, the graph corresponding to k = 4 is:



For each terminal  $s_i$ , the minimum weight isolating cuts for  $s_i$  is given by the edge incident to  $s_i$ . So, the cut C returned by the algorithm has weight  $(k-1)(2-\epsilon)$ . On the other hand, the optimal multiway cut is given by the cycle edges, and has weight k.

## Problem (Minimum k-cut)

A set of edges whose removal leaves k connected components is called a k-cut. The k-cut problem asks for a minimum weight k-cut.

A natural algorithm for finding a k-cut is as follows.

Algorithm 0.2: GREEDY APPROACH FOR k-CUT(G)

```
repeat
```

```
for i = 1, ..., k

compute a minimum cut in each connected component,

remove the lightest one.

until until there are k connected components.
```

This algorithm does achieve a guarantee of  $2 - \frac{2}{k}$ .

#### Remark

We will use the Gomory-Hu tree representation of minimum cuts to give a simpler algorithm achieving the same guarantee.

### Definition (Gomory-Hu tree)

Let T be a tree on vertex set V; the edges of T need not be in E. Let e be an edge in T. Its removal from T creates two connected components. Let S and  $\overline{S}$  be the vertex sets of these components. The cut defined in graph G by the partition  $(S, \overline{S})$  is the *cut associated with e in G*. Define a weight function w' on the edges of T. Tree T will be said to be a Gomory-Hu tree for G if

- 1. for each pair of vertices  $u, v \in V$ , the weight of a minimum u-v cut in G is the same as that in T.
- 2. for each edge  $e \in T$ , w'(e) is the weight of the cut associated with e in G.

A Gomory-Hu tree encodes, in a succinct manner, a minimum u-v cut in G, for each pair of vertices  $u, v \in V$  as follows. A minimum u-v cut in T is given by a minimum weight edge on the unique path from u to v in T, say e. By the properties stated above, the cut associated with e in G is a minimum u-v cut, and has weight w'(e). So, for the  $\binom{n}{2}$  pairs of vertices  $u, v \in V$ , we need only n-1 cuts, those encoded by the edges of a Gomory-Hu tree, to give minimum u-v cuts in G.

Example



#### Lemma

Let S be the union of cuts in G associated with I edges of T. Then, the removal of S from G leaves a graph with at least I + 1 components.

#### Proof.

Removing the corresponding *I* edges from *T* leaves exactly I + 1 connected components, say with vertex sets  $V_1, V_2, \ldots, V_l + 1$ . Clearly, removing *S* from *G* will disconnect each pair  $V_i$  and  $V_j$ . Hence we must get at least I + 1 connected components.

To construct a Gomory-Hu tree for an undirected graph, we use only n-1 max-flow computations.

Algorithm 0.3: GOMORY-HU-TREE APPROACH FOR k-CUT(G)

Compute a Gomory-Hu tree T for G. Output the union of the lightest k - 1 cuts of the n - 1 cuts associated with edges of T in G. Let C be this union.

#### Theorem

The above algorithm achieves an approximation ratio  $2 - \frac{2}{k}$ .

#### Proof.

Similar proof..

### Example

The tight example given above for multiway cuts on 2k vertices also serves as a tight example for the *k*-cut algorithm (of course, there is no need to mark vertices as terminals). Below we give the example for k = 4, together with its Gomory-Hu tree.



The lightest k - 1 cuts in the Gomory-Hu tree have weight  $2 - \epsilon$  each, corresponding to picking edges of weight  $2 - \epsilon$  of G. So, the k-cut returned by the algorithm has weight  $(k - 1)(2 - \epsilon)$ . On the other hand, the optimal k-cut picks all edges of weight 1, and has weight k.