

# Behavior-Based Motion Planning for Group Control

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**Abstract**—Despite the large body of work in both motion planning and multi-agent simulation, little work has focused on the problem of planning motion for groups of robots using external “controller” agents. We call this problem the *group control problem*. This problem is complex because it is highly underactuated, dynamic, and requires multi-agent cooperation.

In this paper, we present a variety of new motion planning algorithms based on EST, RRT, and PRM methods for shepherds to guide flocks of robots through obstacle-filled environments. We show using simulation on several environments that under certain circumstances, motion planning can find paths that are too complicated for naïve “simulation only” approaches. However, inconsistent results indicate that this problem is still in need of additional study.

## I. INTRODUCTION

An interesting yet mostly unexplored instance of multi-robot motion planning is the *group control* (or at a larger scale, the *swarm control*) problem. The objective of this problem is to control and navigate a group of agents (such as a crowd of people or a flock of sheep) using external “controller” agents (such as police officers or shepherd dogs). The solution provided by the planner is a sequence of actions for the controller agents that will guide the group to a goal. The group control problem is challenging because it is highly underactuated, involves complex dynamics, and requires multi-agent cooperation.

The group control problem has many important applications in security (e.g., simulation of disaster scenarios and responses [1], [2], [3]); in civil crowd control (e.g., planning evacuation routes for sporting or spectator events [4]); in pollution control (e.g., collecting oil spills [5]); in agriculture (e.g., sheep herding [6]); in transportation safety (e.g., preventing bird strikes [7]); in education and training (e.g., providing immersive museum exhibits and training systems); and entertainment (e.g., interactive games, virtual crowds in cinema).

Despite the importance of the group control problem, methods for creating group control strategies are still poorly understood. A recent study [8] has highlighted the lack of research into effective strategies for crowd control and the catastrophic consequences that may result from flawed strategies. Riots and fatalities due to poorly controlled crowds are a frequent reminder of the need for better strategies. In late 2008, 249 people died and more than 425 were injured at a Hindu temple in India when a bomb threat caused a stampede. Also in 2008, a man in a New York Wal-Mart was trampled to death by a crowd of eager holiday shoppers.

Motion planning algorithms and data structures such as Rapidly Exploring Random Trees (RRTs), Expansive-Spaces Trees (ESTs), and Probabilistic Roadmaps (PRMs) have proven to be elegant and powerful ways to find paths in high-dimensional configuration spaces. They have already been applied successfully to a wide variety of complex scenarios including robots with various kinematic, dynamic, or non-holonomic constraints [9]; manipulation planning [10], [11]; and multi-agent planning [12], [13].

The track record of these probabilistic methods, particularly on high-dimensional configuration spaces, makes them attractive for planning in the group control problem. In this paper, we propose several new motion planners for the group control problem based on RRT, EST, and PRM methods. We test these algorithms on a variety of obstacle-filled environments, and compare them to a “simulation-only” approach which uses only simple behaviors with the help of the medial axis of the workspace. In general, we find that the exploration offered by motion planning sometimes improves the success rate, particularly in environments where the the medial axis passes through narrow passages. However, in cases where there are many viable paths, our planning methods do not as yet show enough improvement to justify the additional computation time required and in some cases are less likely to reach the goal.

## II. RELATED WORK

### A. Related Work: Swarm Control

The group motion control problem is one that merges the simulation and modeling of multi-agent dynamics with cooperation, planning, and control. There is a large body of work on the dynamics and modeling of human crowds, but there is still very little work on swarm motion control via agent interactions, and no work has been proposed to systematically study group motion control as a whole.

Works that explore the *crowd control problem* have used a variety of simple approaches such as introducing new objects or agents into the environment. For example, the effect of adding barriers into disaster scenario environments was studied in [2]. Other works have attempted to model the effect of adding agents with various social forces. The effect of adding robots with attractive social forces was examined in [14], and crowd dynamics in the presence of “leader” individuals was modeled in [15].

There are several works in robotics and computer animation related to modeling behaviors such as herding. For example, Schultz et al. [16] applied a genetic algorithm to learn rules for a shepherd robot. Its objective was to control the movement of other robots (sheep) that react by moving

away from the shepherd. Vaughan et al. [17] simulated and constructed a robot that shepherds a flock of geese in a circular environment. In computer animation, Funge et al. [18] simulated an interesting shepherding behavior in which a T. rex chases raptors out of its territory. Potter et al. [19] studied a herding behavior using three shepherds and a single sheep in a simple environment. None of the aforementioned methods have shown the ability to guide flocks in environments with obstacles.

Swarm control can also be viewed as a cooperative problem. The survey from Parker [20] provides an overview of multi-robot systems. From the perspective of multi robot systems, the task of crowd control requires *inherent cooperation*, in which the success of a robot in the team depends on the actions of other robots. Inherent tasks (such as crowd control) are distinguished from non-inherent tasks (such as covering) in that they cannot easily be decomposed into independent sub-tasks and thus are generally more difficult. Multiple robots may also move in formation [21] to accomplish a given task. In our previous research on shepherding behaviors [22], we observe that formations can be used effectively to control the motion of the flock. Similar observations have also been found in sociological studies of crowd control [23]. Recently, Shell and Mataric [1] have used multiple robots to deploy and assist with the evacuation of pedestrians.

Swarm control is also related to competitive activities such as pursuit and evasion behaviors, and sports such as soccer. A simplified version of the pursuit and evasion problem which considers only one pursuer and one evader has been studied for decades using methods such as game theory [24], co-evolutionary algorithms [25], and neural networks [26] (see survey [27] for detail).

Controlling groups of agents may also be viewed as a type of robotic manipulation problem. Several researchers have attempted to use multiple robots to cooperatively manipulate or move passive objects, for example pushing a disc [28], or a box [29], or kicking a ball [30]. A passive object will move only if external force is applied to it. On the other hand, crowd control attempts to manipulate the motion of active objects which have the ability to move on their own. This usually makes active objects more difficult to control. So far, no methods have been proposed to manipulate multiple active objects using multiple robots.

### B. Related Work: Simulation and Scalability

There has been extensive work to simulate behaviors of large groups of humans or animals. A wide variety of models have been explored, including cellular automata [31], behavior-based modeling [32], [33], [34], [18] and flow-dynamics-based simulation [3], [35], [36], [37]. While some of these approaches have crowd control in mind, the existing simulations [38], [39], [40] have only considered simple scenarios.

Scalable group simulation is an important application of computer graphics, particularly in simulating crowds and pedestrians [31], [41], [42], [43]. A critical requirement of a natural looking simulation is to populate the environment

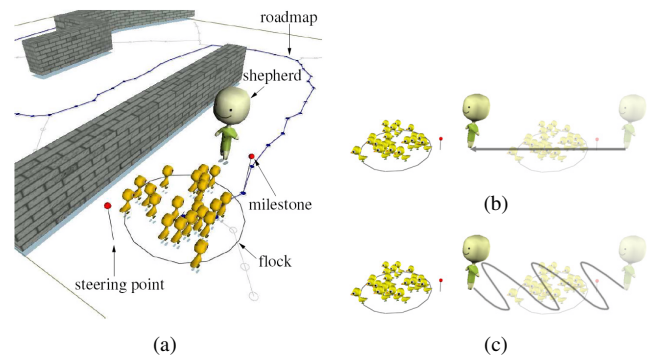


Fig. 1. (a) An environment and associated terms. Steering the flock using (b) a straight line (c) a side-to-side motion.

with large sets of interacting agents. This requirement raises several scalability issues in behavior computation, trajectory control, rendering, and authoring. Several methods have been proposed to deal with the computational scalability issues using topological data structures [44], hierarchical structures [45], k-d trees, quad-trees [46], and the concept of “region of interest” [12]. Computational scalability can also be tackled using top-down approaches based on the observation that the dynamics of a crowd share many common properties with flow dynamics. Rather than simulating individual agents, the flow of a crowd is computed [3], [35], [36], [37].

In multi-robot systems, much work on group behaviors has focused on formation-keeping e.g., [47], coordination, task allocation, mapping and localization. We refer the reviewers to the surveys on recent work on multi-robot systems by Schultz et al. [48], [49], [50].

Computational scalability is also an important issue when a large crowd of robots is involved. Many systems address this issue by using a multiple layer or architecture or using simpler behaviors. For example, Goldenstein et al. [51] dealt with scalability by using a three-layer system. Parker et al. [52], [53] handled this issue by adopting a heterogeneous approach i.e., by partitioning different tasks to three distinct classes of robots. Research in *swarm robotics* (such as I-Swarm and Swarm-bots; see surveys in [54]) have focused on using the emergent behaviors of a large number of cheap homogeneous robots to accomplish tasks such as covering and foraging.

There is also a significant amount of work in planning for multi-robot (or multi-agent) navigation [55], [56], [13]. More recently, Sung et al. [57] proposed a system that simulates goal-directed crowds using motion graph and constraint solver for several types of constraints. Lau and Kuffner [58] proposed a behavior planning algorithm that performs a global search of the Finite State Machine. Sud et al [59] used first- and second-order Voronoi diagrams and van den Berg et al. [60] used the idea of a “reciprocal velocity obstacle” for real-time multi-agent planning.

### III. PRELIMINARIES

#### A. Shepherd Behaviors

In this section, we define some of the terms and concepts that are used later in the paper. A *shepherd* is an external agent that influences the movement of the flock. A *flock* is a collection of agents that try to steer away from the shepherd. The shepherd’s task is to steer the flock to desired locations. In addition to steering the flock, the shepherd attempts to keep the flock in a cohesive *group*, where each member is in visible range of at least one other member of the group. Separation of the flock into multiple groups may be caused by repulsive forces exerted by obstacles, or by shepherds.

A *configuration* is the full specification of the position and velocity of flock members and shepherds. Therefore, a group control problem with  $n$  flock members and  $m$  shepherds in a 2-d workspace will have a configuration space in  $4(n + m)$  dimensions. A *roadmap* is an abstract representation of the feasible configuration space in a given environment, given as a directed graph  $G = (V, E)$ , where each node in  $V$  represents a valid configuration, and each directed edge  $(p, q) \in E$  denotes that it is possible for the flock to travel from configuration  $p$  to configuration  $q$ . We use the term *milestone* to denote any intermediate position that the shepherd attempts to *steer* the flock towards, and we use the term *steering point* to denote any position to which the shepherd moves himself in order to influence the movement of the flock; see Figure 1(a).

We define a *shepherd’s locomotion* as the manner in which a shepherd moves to control the movement of a flock. The shepherd’s locomotion remains an invariant in different shepherding behaviors and dramatically affects the quality of simulation. We divide the shepherd’s locomotion into two sub-problems: *approaching* and *steering*. In approaching locomotion, the shepherd attempts to get close to the flock. In steering locomotion, the shepherd attempts to push to flock forward. Two examples of the shepherd’s steering locomotion can be seen in Figures 1(b) and (c). We will use the shepherd’s locomotion to move the flock forward in the local planner discussed next.

#### B. Local Planning

In traditional probabilistic motion planning methods, a *local planner* is used to connect pairs of nearby configurations. Examples of traditional local planners include: the straight-line planner (which simply connects configurations through direct interpolation); the rotate-at- $s$  planner [61]; and the  $A^*$  planner. The local planner used in our planning method is a simulation defined by a set of behavior rules for how each of the agents will move.

More specifically, our local planner first finds a path in workspace from the *center* of the flock to the goal position. In our implementation, the path is extracted from the medial axis of the free workspace. (Examples of these workspace medial axes can be found in Fig. 3.) Then, the shepherd pushes the flock along the path by performing a sequence of locomotions determined by on the current state of the

flock. As with most traditional local planners, this local planner may fail to lead the flock to a given milestone. For example, the shepherds may not have enough room to push the flock, or a passage may be too narrow for the flock to pass through. The SIMULATE procedure in Algorithm 1 sketches an overview of the local roadmap.

### IV. OUR METHODS

In our motion planning method, the input is a non-toroidal workspace  $W$ , an initial configuration  $q_{init}$ , and a goal configuration  $q_{goal}$ . If a solution is found, it is given by the planner as a path through the feasible configuration space  $\mathcal{C}$ -free of valid configurations that guides the flock from  $q_{init}$  to  $q_{goal}$ . These planners incrementally build the roadmap. The connectivity of nodes of the roadmap is determined by the local planner described in III-B.

#### A. RRT-based planners

Our RRT-based planner (see Algorithm 1) constructs the roadmap  $G$  by repeatedly attempting to extend  $G$  towards new randomly generated configurations in  $W$ . For RRT, the procedure SELECT-INTERMEDIATE GOAL chooses a random configuration  $g$  in the workspace, and the procedure SELECT-NODE-TO-EXPAND chooses the node already in  $G$  that is closest to  $g$ .

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#### Algorithm 1 Tree-Based Planner

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**procedure** TREE-BUILD( $q_{init}$ )

$G.init(q_{init})$

**for**  $k = 1$  to  $K$  **do**

$g \leftarrow$  SELECT-INTERMEDIATE-GOAL

$p \leftarrow$  SELECT-NODE-TO-EXPAND

$r \leftarrow$  SIMULATE( $G, p, g, simsteps$ )

**if**  $r = Success$  **then**

$G.add\_edge(p, g)$

**return**  $G$

**procedure** SIMULATE( $G, p, g, simsteps$ )

**for**  $i = 1$  to  $simsteps$  **do**

**for** shepherd  $s$  in  $S$  **do**

Use workspace roadmap to find a path to  $g$

Select a milestone  $m$  on the path.

Calculate new target  $t_{new}$  for  $s$

Move  $s$  towards  $t_{new}$

**if** flock is close to  $g$  **then**

**return**  $Success$

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#### B. EST-based planners

Our EST planner is similar to the RRT planner presented in Algorithm 1, with a few key differences. Instead of expanding the tree towards a randomly generated configuration, EST operates by first choosing an existing node  $p$  in  $G$  to expand based on a probability distribution  $\pi_G$  (in the procedure SELECT-NODE-TO-EXPAND). Then, within a *neighborhood* of  $p$ , it selects an intermediate milestone  $g$  to expand to (SELECT-INTERMEDIATE-GOAL). A key design decision in

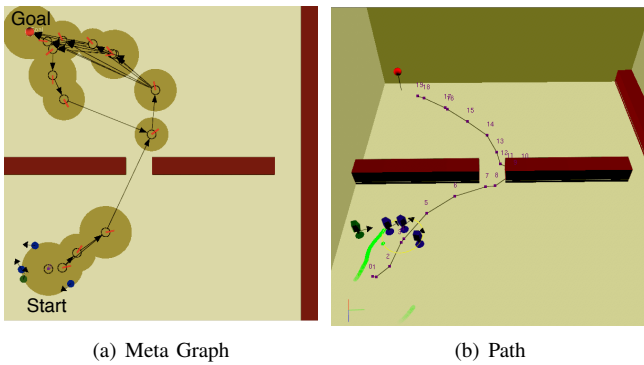


Fig. 2. (a) Broken T environment with a meta graph. The dark areas in the figure are obstacles. Each node in a meta graph is shown as an oriented disc. (b) A path found by the fuzzy meta-graph planner.

the implementation of EST algorithms is the choice of  $\pi_G$  to prevent excessively dense sampling of configurations in the workspace. We have implemented several variations of the EST method which vary only in the selection of  $\pi_G$ :

- BASICEST – The node to expand is selected randomly; that is,  $\pi_G$  is uniformly distributed.
- NAIVEEST – The distribution  $\pi_G$  is weighted so that nodes with fewer neighbors are more likely to be selected over nodes with more neighbors.
- MINEST – The node with the fewest neighbors is chosen every time.

### C. PRM-based planner

In our PRM based method, we build a *meta graph* containing the set of possible navigation routes in a *fuzzy* way. Each node in a meta graph defines a set of flock configurations (excluding the shepherd positions) that are conforming to the properties of the node. As in the other methods, edges in the meta graph approach are directed. The weight of an edge in a meta graph presents the probability of successful herding from the start node to the end node of the directed edge. An visual depiction of a meta graph is shown in Figure 2. In a similar fashion to fuzzy or lazy PRM approaches [62], [63], paths from the meta graph are extracted and evaluated until a path is found, or until no path can be found to connect the start and the goal configurations in the meta graph.

Each node in a meta graph represents a *meta configuration*. Each meta configuration  $C$  is as an oriented disc in a four dimensional space, and is this composed of four values: position ( $p_C = (x_C, y_C)$ ), orientation ( $\theta_C$ ) and radius ( $r_C$ ). Each meta configuration intuitively defines a set of *conforming flocks*. We say a flock is conforming to a meta configuration  $C$  if the minimum enclosing circle of the flock is enclosed by  $C$  and the angle between the mean velocity of the flock and  $\theta_C$  is less than a user defined threshold.

We say that a meta configuration is *free* if the disc does not intersect an obstacle. To generate one free meta configuration, we first assign a random position and orientation, whose ranges are fixed.

To assign a random radius, we compute the smallest enclosing disc as follows: First, we consider a group con-

taining  $N_f$  flock members where each individual member is enclosed in a radius  $R_f$  circle. The compact area of a group is the smallest circle that one can put all group members into. Ideally, shepherds should control the flock so that all members are inside its compact area.

This is an inverse version of the computationally difficult *packing circles in a circle problem* [64]. The objective of this problem is to find the maximum radius of  $K$  equally-sized smaller circles that can be packed into a unit circle. Since we do not have to compute the exact value of the radius  $R_c$  of the compact area, we approximate it by considering a bounding square of  $N_f$  flock members. In this case, the compact area will be the minimum circle enclosing that square. That is, we approximate  $R_c$  as:

$$R_c = R_f \sqrt{2N_f} \quad (1)$$

The radius of our meta configuration is set to be a random value between  $R_c$  and  $3R_c$ .

The weight of an edge that connects meta configurations  $C_1$  and  $C_2$  represents the probability that a flock conforming to  $C_1$  can be guided by the shepherds so that the flock is conforming to  $C_2$ . This can be estimated in several ways. For example, we can estimate this probability by generating a set of random flocks conforming to  $C_1$ , and, for each flock, we find a path to  $p_{C_2}$  using one of the tree-based planners described above. While this approach is accurate, it is inefficient due to the requirement of running many (usually thousands or even tens of thousands) of simulations. The approach we use estimates the probability by using the differences in position and orientation between  $C_1$  and  $C_2$ . The distance is defined as the follows:

$$\text{dist}(C_1, C_2) = \begin{cases} \infty & \text{if } \theta_{C_1, V} \geq \tau, \\ \infty & \text{if } \theta_{C_2, V} \geq \tau, \\ \frac{|\theta_{C_1} - \theta_{C_2}|}{\pi} + \frac{\text{dist}(p_{C_1}, p_{C_2})}{D} & \text{otherwise,} \end{cases}$$

where  $\theta_{C_i, V}$  is the angle between  $V$  and  $C_i$ 's facing direction,  $V = p_{C_2} - p_{C_1}$ ,  $\text{dist}(p_{C_1}, p_{C_2})$  is the Euclidean distance between  $p_{C_1}$  and  $p_{C_2}$  and  $D$  is the diagonal distance of the bounding box. In each iteration of the query phase, a path is extracted from the meta graph. To check the feasibility of a consecutive pair of meta configurations in the path, we use simulation to determine if the final configuration of the flock conforms to the end meta configuration.

Note that this meta-graph planner is not probabilistically complete. That is, there are some situations where our planner cannot find the answer even if the path exists – such as in problems that require the flock to form a long line. This is because we confine our radius of our meta configurations and disallow the discs from the meta configurations from intersecting workspace obstacles.

## V. EXPERIMENTAL RESULTS

We tested the proposed motion planners on the five environments shown in Fig. 2 and Fig 3. In the Spiral environment (Fig. 2), the start configuration is at the center and the goal is outside the outer most wall of a spiral-shaped

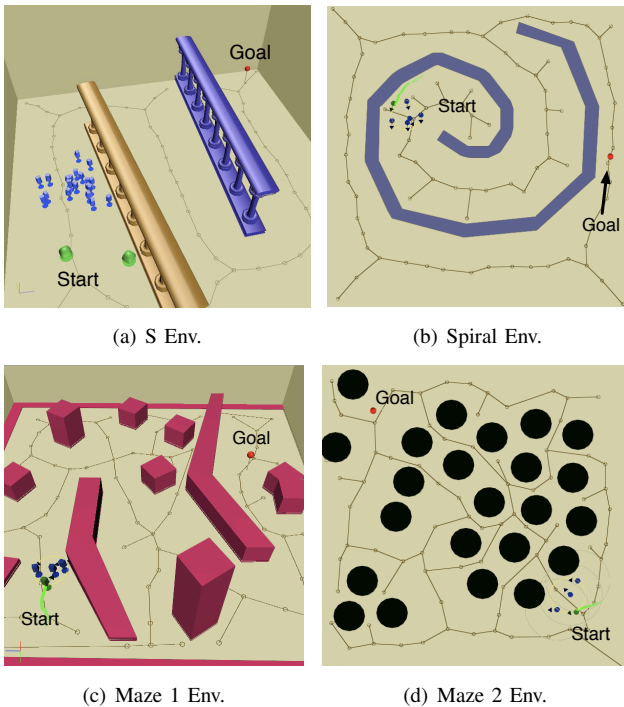


Fig. 3. Environments used for experiments. The workspace medial axes are shown in all figures. We use these medial axes as the workspace roadmap for local planning.

obstacle. In the S environment (Fig. 3(a)), the start and end configurations are at the ends of an S-shaped passage. In the Broken T environment (Fig. 3(b)), the free space is separated by three bars, with the middle bar equidistant to the bars on the side. We also tested the planners on two maze environments. The first maze (Fig. 3(c)) is similar to the S environment with additional road blocks in the S-shaped passage. The second maze (Fig. 3(d)) is composed of several cylinders – a larger cluster at the upper-right corner and a smaller cluster at the lower-left corner.

We compared our planners to a *simulation-only* approach, which only uses the simulator to steer the flock with the help from the medial axis of the workspace. This simulation-only approach is the same method used in [22], [65]. In our test suite, we have 12 variants of EST-based planners: MINEST, NAIVEEST, and BASICEST with neighborhood sizes of 3, 5, 7 and 9. In our test suite we also have one RRT-based planner, and one fuzzy meta-graph planner.

In Table I, we show success rates for each of the algorithms. Each success rate in the table is an average over 50 runs, and all comparisons are statistically significant at the 95% confidence level.

We find that planners do well in the Broken T, S and Spiral environments. We also find that planners do poorly on the maze environments. We believe the reason for this is that we do not allow the planners to search for long enough. For example, the fuzzy meta-graph planner will need to exhaustively check all the shorter but more difficult paths before it can try the longer path with larger clearance. This is clearly shown in the S and maze 1 environments. The

TABLE I  
PROPORTION OF SUCCESSFUL RUNS.

| Method             | S           | Broken T    | Spiral      | Maze 1     | Maze 2      |
|--------------------|-------------|-------------|-------------|------------|-------------|
| Simulation Only    | 0.72        | 0.58        | 0.16        | <b>0.6</b> | <b>0.92</b> |
| MinEST (nhood=3)   | 0.25        | 0.5         | 0.5         | 0.45       | 0.6         |
| MinEST (nhood=5)   | 0.5         | 0.65        | 0.25        | 0.3        | 0.35        |
| MinEST (nhood=7)   | 0.55        | 0.45        | 0           | 0.35       | 0.35        |
| MinEST (nhood=9)   | 0.5         | 0.35        | 0           | 0.15       | 0.25        |
| NaiveEST (nhood=3) | 0.15        | 0.25        | 0           | 0          | 0.25        |
| NaiveEST (nhood=5) | 0.25        | 0.75        | 0           | 0.05       | 0.2         |
| NaiveEST (nhood=7) | 0.6         | <b>0.85</b> | 0           | 0.1        | 0.25        |
| NaiveEST (nhood=9) | 0.65        | 0.75        | 0           | 0.05       | 0.25        |
| BasicEST (nhood=3) | 0.25        | 0.3         | 0           | 0          | 0.15        |
| BasicEST (nhood=5) | 0.4         | 0.6         | 0           | 0          | 0.3         |
| BasicEST (nhood=7) | 0.5         | 0.8         | 0           | 0          | 0.45        |
| BasicEST (nhood=9) | 0.7         | 0.8         | 0           | 0.05       | 0.15        |
| rbmRRT             | 0.4         | 0.25        | 0           | 0          | 0.2         |
| dbmRRT             | 0.8         | 0.45        | 0           | 0.15       | 0.3         |
| Fuzzy Meta-Graph   | <b>0.96</b> | 0.58        | <b>0.62</b> | 0.08       | 0.28        |

Note: Simulation Only and Fuzzy Meta-Graph were run 50 times each; the rest were run 20 times each.

main difference between maze 1 and S environment are the introduction of islands in the S shaped passage.

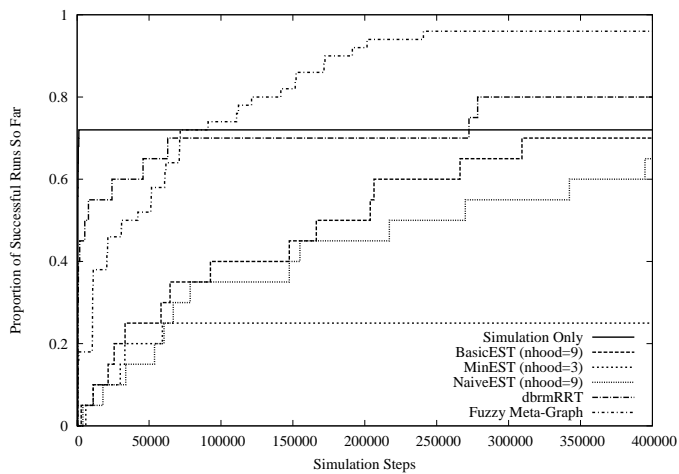
In the S environment, the fuzzy meta-graph planner clearly out-performs simulation-only. In the maze 1 environment, the performance of simulation methods degraded only slightly, whereas the success rate of the fuzzy meta-graph planner drops significantly.

One important observation we had is that motion planning helps improve the success rate, albeit slowly. In Fig. 4, we show the success rate of the planning approaches on a sample of initial configurations. This plot confirms the hypothesis that simulation-only approaches may often get stuck, whereas many of the planning approaches eventually find solutions given a larger simstep budget. This is particularly clear in the S and spiral environments as shown in Fig. 4(a) and Fig. 4(b). The planners have lower success rates before 80K simulation steps but end at much a higher success rate (60% vs. 18%). Even in the maze environments, in which the planners perform poorly, in Fig. 4(c), we can still see the continuing improvement throughout the entire experiment.

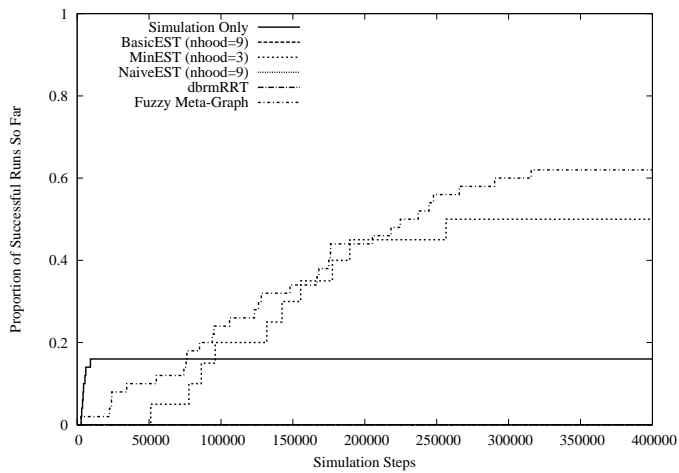
## VI. CONCLUSION

The combination of motion planning techniques and behavior-based simulation allows us to study the effects of planning on domains such as group and swarm control. Using simple extensions to existing EST and RRT methods, we have demonstrated that planning can potentially be beneficial to explore more solutions in scenarios where simulation alone is not adequate. However, there are still cases (such as environments cluttered with islands) where our planning techniques perform poorly, and more research is needed to understand and address those issues.

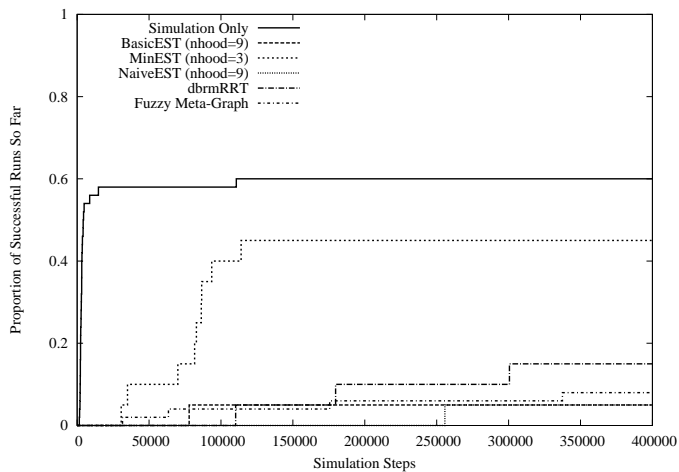
One avenue for future study would be to apply different metrics for evaluating the quality of a planned path. These metrics may include the number of times the flock separates, or the amount of time spent shepherding.



(a) S Env.



(b) Spiral env.



(c) Maze I env.

Fig. 4. Plots showing the proportion of successful runs so far versus the number of simulation steps. Note the plateau for the simulation-only method (the bold black line) which shows that for many of the samples, simulation-only gets stuck and does not make progress.

#### ACKNOWLEDGMENT

This work has benefited from the contributions of Stephen Donnelly, who created the experimental environments in our

test suite. We also thank Brian Hrolenok, Sean Luke, and Keith Sullivan for their valuable input and discussion.

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