

# Software Architecture

## Lecture 2 Data Flow Systems

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### last class

- Software Architecture is about representing the **structure** of a system from different angles: **views**
- **views** help manage the complexity of describing the architecture
- **viewtypes**  
determine the kinds of things a view talks about
  - three primary viewtypes: **module**, **C&C**, **allocation**
- some **styles** occur frequently within each viewtype
  - **module**: **decomposition**, **generalization**, **layered**, ...
  - **C&C**: **pipe & filter**, **client-server**, **pub-sub**...
  - **allocation**: **deployment**, **work assignment**...

## today's outline

- data flow styles
  - process control
  - batch-sequential
  - pipe & filter
    - case study: Tektronix
- lab 1
- pipe & filter sub-styles & implementation

### Acknowledgment

some of the material presented in this course is adapted from 17655,  
taught to the MSE at CMU by David Garlan and Tony Lattanze

## in data flow styles data flow dominates structure and control

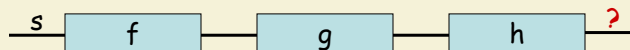
- a data flow system is one in which:
  - the structure of the design is determined by the motion of data from component to component
  - the availability of data controls the computation
  - the pattern of data flow is explicit
  - this is the **only** form of communication between components
- variations on this theme
  - how control is exerted (e.g., push versus pull)
  - degree of concurrency between processes
  - granularity of computation
  - topological restrictions (e.g., pipeline)

## data flow styles assume:

- **connectors** are data streams
  - interfaces are reader and writer roles
  - transport data from writer roles to reader roles
  - unidirectional (usually asynchronous, buffered)
- **components** do not know the identity of upstream/downstream producers/consumers
  - read data from input ports
  - compute
  - write data to output ports

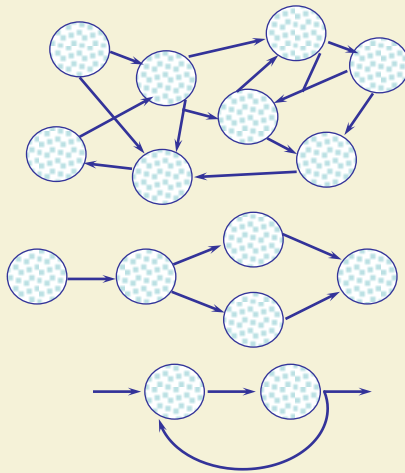
## in data flow styles availability of data controls the computation

- any component that has input may process it
- overall data transformation is the "functional composition" of individual transformations



$$h(g(f(s)))$$

## data flow styles structure is an arbitrary graph

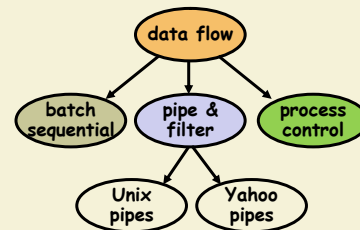


in general,  
data can flow in  
arbitrary patterns

however, frequently  
data flows in "stages"

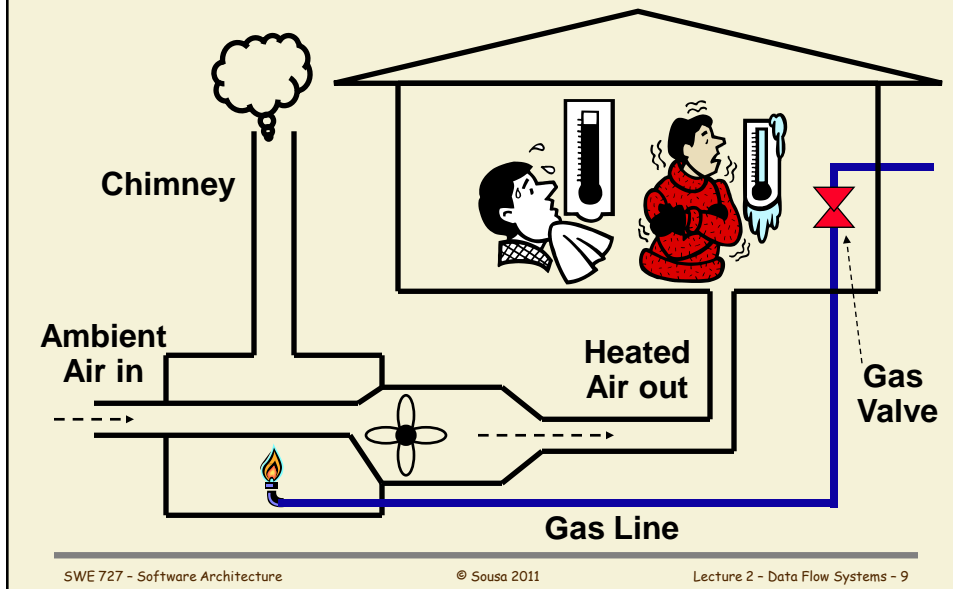
or in simple, highly  
constrained cyclic  
structures

## three major data flow styles

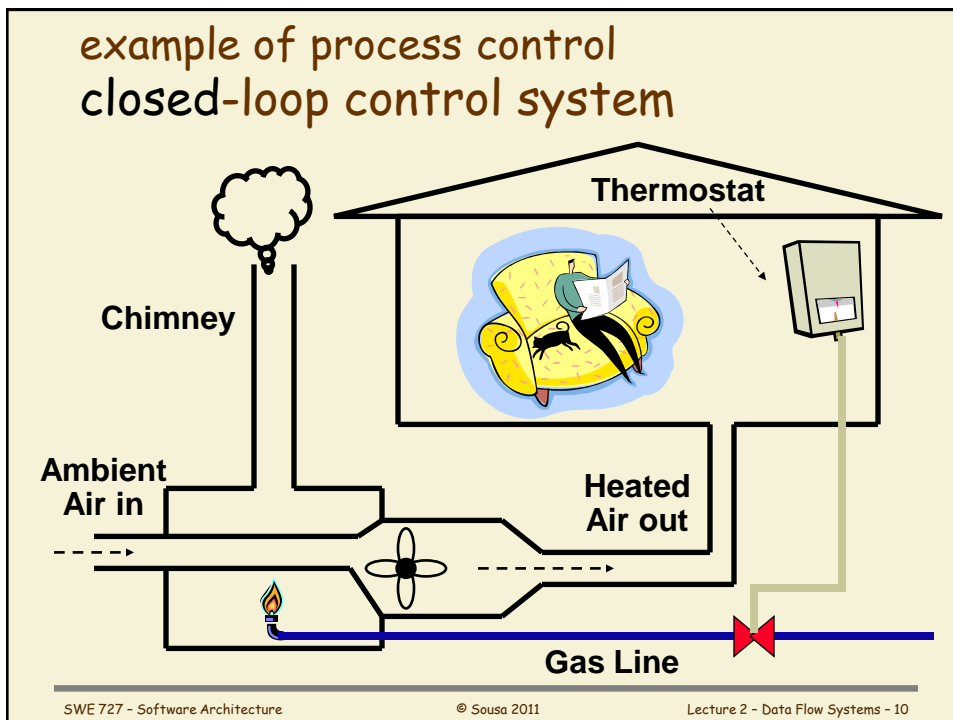


- **process control**
  - looping structure to control environment variables
- **batch sequential**
  - sequential processing steps, run to completion
  - typical of early MIS applications
- **pipe & filter**
  - incremental transformation of streams
  - Unix pipes and Yahoo pipes are special cases (sub-styles)

## example of process control open-loop control system



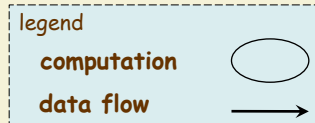
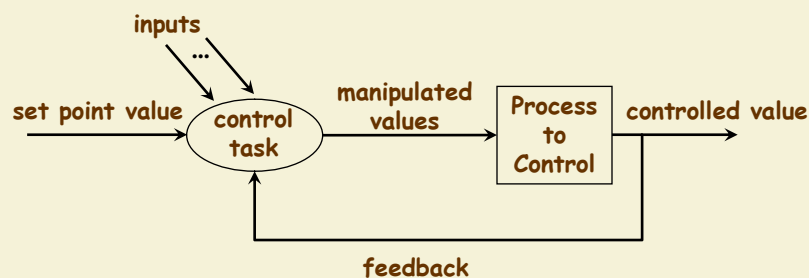
## example of process control closed-loop control system



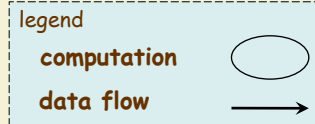
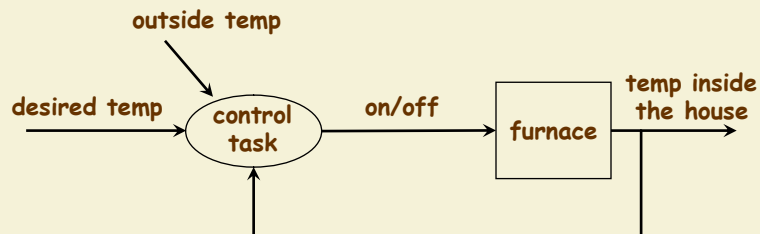
## process control notions

- open-loop system:  
process variables not used to control the system
- closed-loop system:  
process variables used to control the system
  - **controlled variable**: goal  
(ex: air temperature inside the house)
  - **set Point**: value for the controlled variable
  - **input variable**: what the system can measure  
(ex: temperature of the outside air coming into the furnace)
  - **manipulated variables**: what the system can affect  
(ex: turning the furnace on or off)
- **feedback control**  
controlled variable is measured and taken into account
- **feed-forward control**  
process variables other than c.v. are taken into account

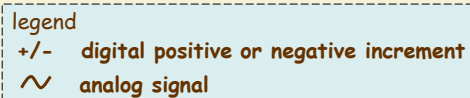
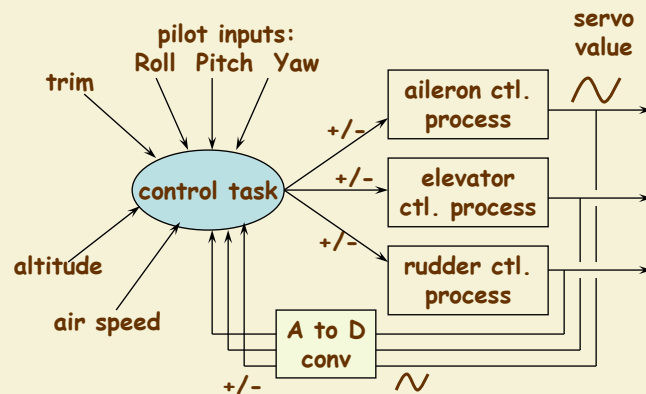
## architecture of closed-loop process control



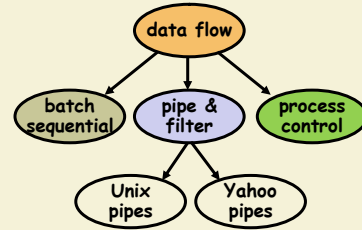
## example architecture heating closed-loop control



## example architecture very simplified avionics



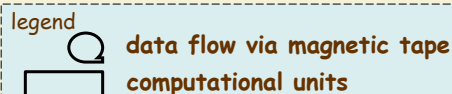
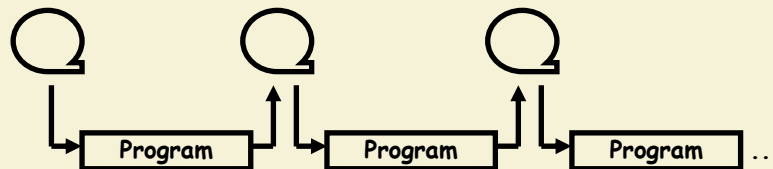
## three major data flow styles



- process control
  - looping structure to control environment variables
- batch sequential
  - sequential processing steps, run to completion
  - typical of early MIS applications
- pipe & filter
  - incremental transformation of streams
  - Unix pipes and Yahoo pipes are special cases (sub-styles)

## batch sequential assumes:

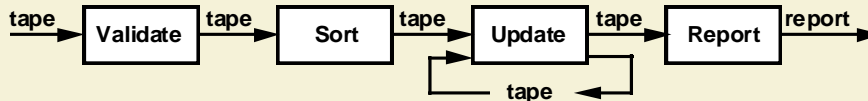
- connectors
  - data is transmitted as a whole between steps
- components
  - processing steps are independent programs
  - each step runs to completion before the next step starts



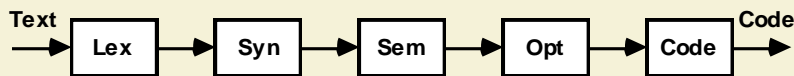


## examples of batch sequential systems

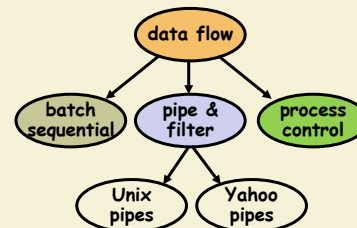
- classical data processing
  - payroll computations
  - IRS tax return computations



- early software development environments



## three major data flow styles

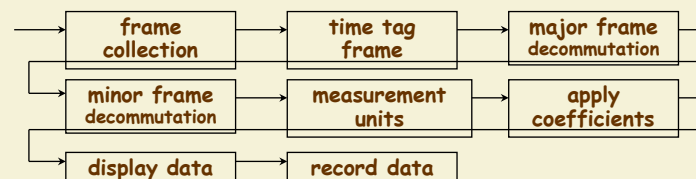
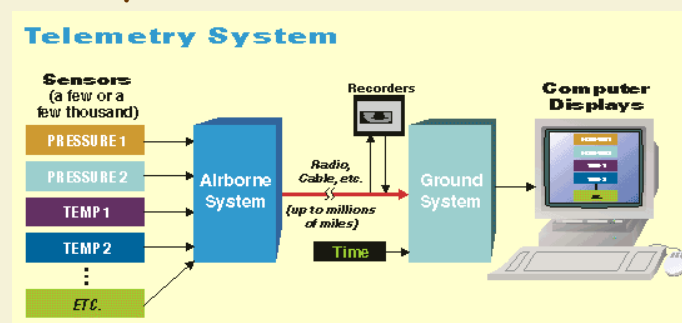


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## pipe & filter assumes:

- connectors, called pipes:
  - move data from a filter output to a filter input
  - one-way, order-preserving, data-preserving
  - system action is mediated by data delivery
- components, called filters:
  - **incrementally** transform the input data to output data
    - **enrich** data by computation and adding information
    - **refine** by distilling data or removing irrelevant data
    - **transform** data by changing its representation
  - operate independently/**concurrently** among each other
    - no external context in processing streams
    - no state preservation between instantiations
    - no knowledge of upstream/downstream filters
    - topology determines the overall computation, not relative speed/CPU allocation of filters

## example of pipe & filter system telemetry data collection



## example P&F autonomic vehicle

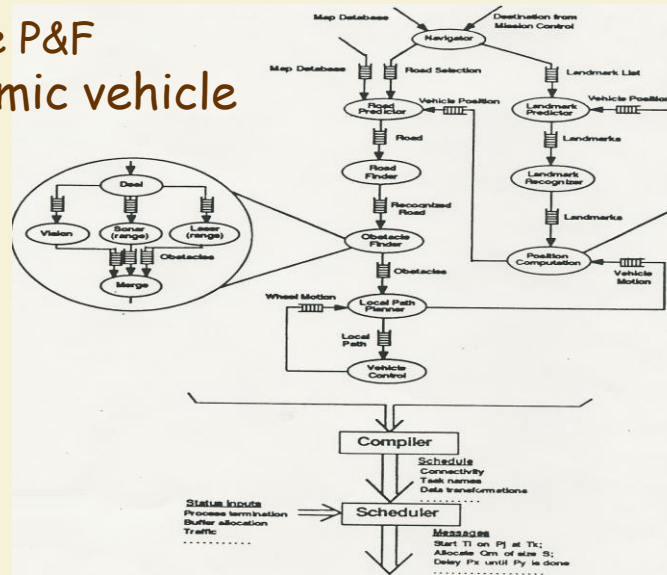


Figure 1-2: Compilation of a PMS-Level Program Graph

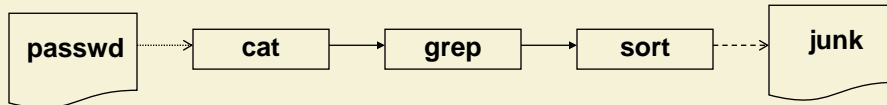
Programming at the Processor-Memory-Switch Level. M.E. Barbacci, G.B. Weinstein, and J.H. Wing, Proceedings of the 10th International Conference on Software Engineering, IEEE, Singapore, April 11-13, P. 21

## special case of the pipe & filter style Unix pipes

- pipes: buffered streams supported by OS
  - assume ASCII character streams
  - can treat files as well as filters as data sources and sinks
    - the good news: anything can connect to anything
    - the bad news: everything must be encoded in ASCII, if not, it must be "translated" before piping
- filters: Unix processes
  - built-in ports: `stdin`, `stdout`, `stderr`
  - filters by default transform `stdin` to `stdout`

## example of Unix pipes system

```
cat /etc/passwd | grep "joe" | sort > junk
```



does this stretch any assumption  
of the "pure" pipe & filter style?

## special case of the pipe & filter style Yahoo pipes

- web application for authoring *Yahoo pipes*
- *Yahoo pipe* is a data mashup
  - application hosted by Yahoo
  - combine, filter, and present data from different web sources
- <http://pipes.yahoo.com/pipes/>

## outline

- data flow styles
  - process control
  - batch-sequential
  - pipe & filter
    - case study: Tektronix
- lab 1
- pipe & filter sub-styles & implementation

## major manufacturer of oscilloscopes Tektronix



signals



oscilloscope



traces and  
measurements

## Tektronix had a problem (real)

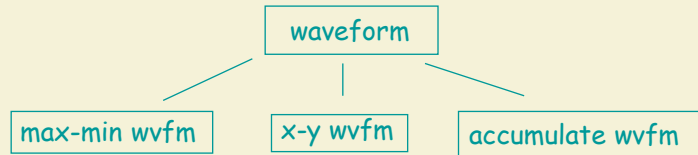
- increasing complexity of instrumentation systems
  - increasing role of software in products that were once largely hardware
  - raised expectations of users
- separate development cultures
  - similar products developed by different divisions
  - little sharing
- build-from-scratch methods
  - new hardware or new UI => new software
- products hard to develop and evolve
  - increasingly serious bugs due to concurrency
- excessive time-to-market (~ 4-5 years)

## Tektronix challenge

- build next generation instrumentation systems
- allow reuse between product divisions
- sophisticated products in response to user desires
- consistent user interface across multiple hardware platforms
- multiple user interfaces for same platform (vertical markets)
- reduce time to market

## Tektronix tried O-O approach with mixed results

created class hierarchy



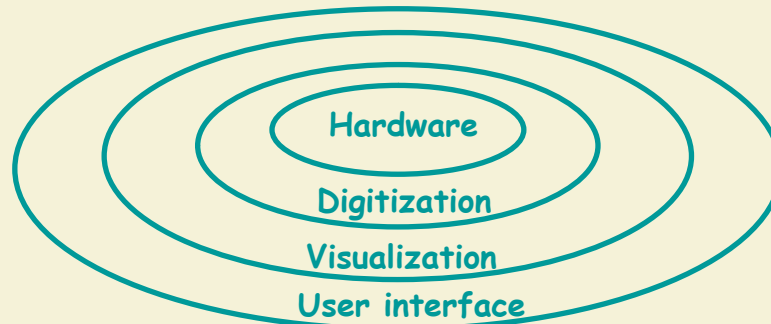
```

waveform
  w: time-> voltage
  max: -> voltage
  min: -> voltage
  invert: ...
  add: ...
  
```

result:

- hundreds of classes
- hard to organize hierarchy
- doesn't relate to structure of system (oscilloscope)

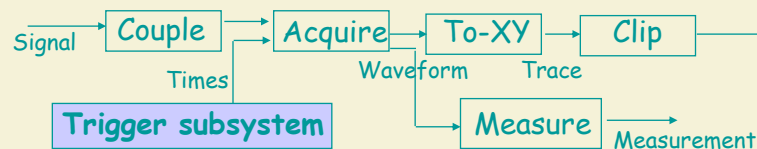
## next, Tektronix tried layered approach also with mixed results



result:

- designers found layer boundaries hard to enforce and unrealistic

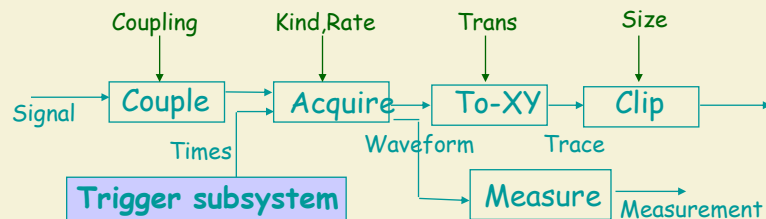
third, Tektronix tried  
pipe & filter with better results



result:

- clearly reflects design organization and features
- not clear how to model user input

fourth, Tektronix tried  
extending p & f with parameterized filters

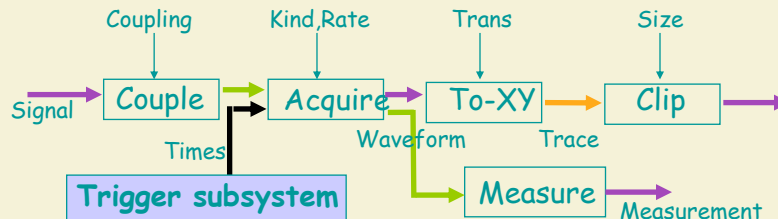


result:

- clearly reflects design organization and features
- models user input
- not directly useful for implementors



## fifth, Tektronix extended p & f with colored pipes



result:

- clearly reflects design organization and features
- models user input
- describes the nature of data and performance constraints on each pipe

## software architecture had an impact on Tektronix business

- architectural style was used as basis for the next generation of oscilloscope products
- led to highly successful framework, in which time-to-market was cut substantially
- reliability of products was improved
- user interface was extensible
- new thrust of research/development collaborations
- led to new frameworks beyond oscilloscopes

# take 5

# outline

- data flow styles
  - process control
  - batch-sequential
  - pipe & filter
    - case study: Tektronix
- lab 1
  - recover views from the code: module, C&C
- pipe & filter sub-styles & implementation

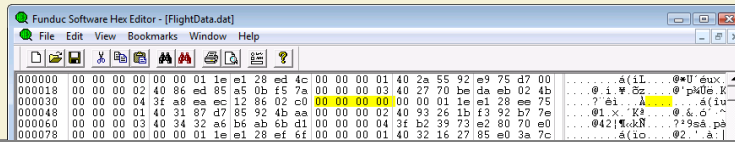
# lab 1: pipe & filter system build avionics instrumentation systems

- data comes in from airplane sensors

ID	Data Descriptions and Units	Type	Number of Bytes
00	Time: number of milliseconds since the Epoch (00:00:00 GMT on January 1, 1970)	long int	8
01	Velocity: airspeed of the vehicle, measured in knots per hour	double	8
02	Altitude: vehicle's distance from the average surface of oceans, measured in feet	double	8
03	Pressure: atmospheric pressure external to the vehicle, measured in PSI	double	8
04	Temperature: temperature of the vehicle's hull, measured in degrees Fahrenheit	double	8
05	Pitch: angle of the nose of the vehicle, if positive, the vehicle is climbing	double	8

- framed as

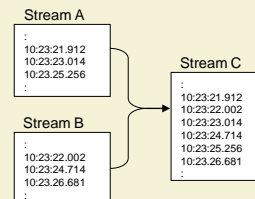
0000	Time	0001	Velocity	...	n	data
0000	Time	0001	Velocity	...	n	data
...						



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# lab 1: pipe & filter system build avionics instrumentation systems

- system A: reads flight data and
  - converts Temp to Celsius
  - converts altitude to meters
  - removes other fields
- system B: same plus
  - includes pressure data
  - removes pressure outliers > 80psi or <50 psi and replaces them by interpolated values (avg of previous and next)
- system C: merges streams from two sets of sensors
  - output frames are sorted by time

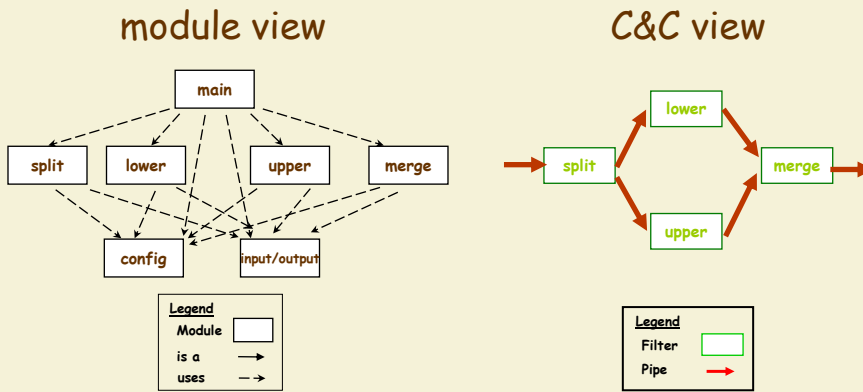


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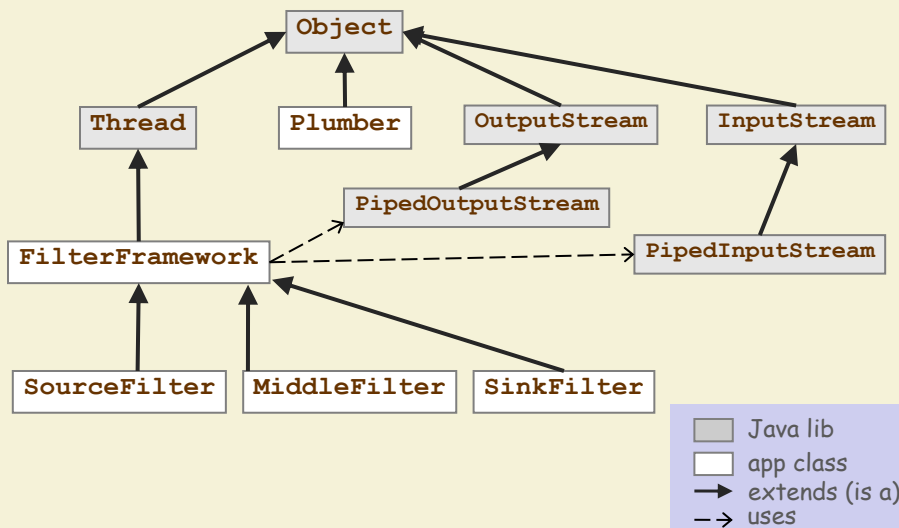
# lab 1: pipe & filter system based on a code framework

remember:

- module and C&C viewtypes show different aspects



# lab 1 module view



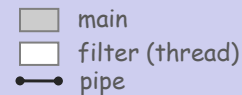
## lab 1

### C&C view



Plumber

- creates and connects the filters
- doesn't intervene during system operation
  - therefore not normally represented



## why is the code written like this?

### ...a little history

- the Greeks had the following number system:
  - separate letters for numbers between 1 and 9 (for example,  $\upsilon$  was nine)
  - separate letters for multiples of ten between 10 and 90 (for example,  $\pi$  was sixty)
  - separate letters for multiples of one hundred between 100 and 900 (for example,  $\tau$  was 300)
  - so 309 was written ' $\tau\upsilon$ '
- the positional system arrived in 500 AD
  - imagine someone used to the Greek system being annoyed with having to write extra digits

why is the positional system successful?

## outline

- data flow styles
  - process control
  - batch-sequential
  - pipe & filter
    - case study: Tektronix
- lab 1
- pipe & filter sub-styles & implementation

## pipe & filter sub-styles & implementation system level

- topological constraints
  - some styles insist on a pipeline, or no cycles  
that is, some styles are hierarchical, some aren't
- strategies for creation of elements
  - centralized versus distributed
  - static versus dynamic
  - declarative versus operational  
(some systems have both)

## pipe & filter sub-styles & implementation components

- concurrency
  - separate processes or single address space
- constraints on ports
  - e.g., Unix components typically have 3 ports: stdin, stdout, stderr
  - e.g., some styles require single input and single output port
- computational model
  - e.g., how do you deal with multiple inputs?

## pipe & filter sub-styles & implementation connectors

- finite versus infinite buffering
- dealing with end of data
  - can a writer terminate the flow of data?
  - can a reader choose not to consume data on a pipe?
  - what can a reader or a writer do after data has been terminated?
- does data have different types?
- how many kinds of pipes are there?  
(colored pipes)

## pipe & filter sub-styles & implementation example: Unix pipes

- two kinds of specification
  - shell (ex:)  
infile > capitalize | rem\_white\_space | compress > outfile
  - programmatically:  
use stdio library to create pipes and hook them up
- topology
  - linear if from shell
  - may have cycles if done programmatically
- creation
  - static if from shell
  - dynamic if done programmatically

## pipe & filter sub-styles & implementation example: Unix pipes

- filters
  - concurrency: separate processes
  - ports: three for shell filters
  - computational model:  
filter chooses which ports to read and write  
(if you guess wrong deadlock may result)
- pipes
  - supported by operating system
  - buffered (e.g., 2K bytes)
  - special end-of-file marker
  - reader can close its end of a pipe at any time
  - must be aware of whether input is coming from a file or a filter
  - data has one type only: raw bytes



## references for implementation strategies

- book on Java threads
  - *Concurrent Programming in Java: Design Principles and Patterns* (2nd Edition) by Doug Lea
- online tutorial on Java threads
  - <http://www.javaworld.com/jw-04-1996/jw-04-threads.html>
- Design Patterns
  - book by Erich Gamma, Richard Helm, Ralph Johnson, John Vlissides

## in summary select a data flow style when:

- task is dominated by the availability of data
- data can be moved predictably from process to process

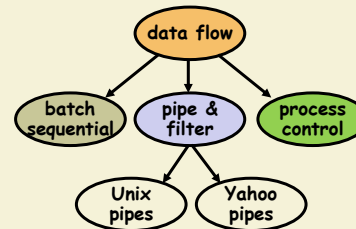
pipe-and-filter architectures are good choices for many data flow applications because

- they permit reuse and reconfiguration of filters
- generally easy to reason about
- reduce system testing
- may allow incremental AND parallel processing

there may be a performance penalty when implementing data flow styles over a single process

## in summary styles are rarely usable in simple pure form

- one technique is to specialize styles
  - styles become more constrained, domain-specific
  - trade generality (expressiveness) for power (analytic capability)
  - we saw this today in the examples of data flow styles



## in summary there are many points on the spectrum of specialization

- we looked at a few example today (stars) but will be looking at lots more during the course

