## Measuring Performance

Measurement tools and techniques

- Fundamental strategies
- Interval timers \& cycle counters
$\square$ Indirect measurement


## Events

$\square$ Most measurement tools based on events
> Some predefined change to system state
$\square$ Definition depends on metric being measured
> Memory reference
> Disk access
> Change in a register's state

- Network message
> Processor interrupt


## Event Classification

- Count metrics
> The number of times event $X$ occurs
- Number of cache misses
> Number of I/O operations


## Event Classification

$\square$ Secondary-event metrics
> Record a value when triggered by some event
> Record block size for each I/O operation
> Count number of operations
> Find average I/O transfer size

## Event Classification

## - Profiles

> Characterization of overall behavior
> Aggregate/big picture view of an application program
> Time spent in each function

## Event-Driven Strategies

$\square$ Record necessary information only when selected event occurs

- Modify system to record event
$\square$ Dump data when program terminates
> May need intermediate dumps also
$\square$ E.g. simple counter in page fault routine


## Event-Driven Strategies

$\square$ System overhead
> Only when the event of interest actually occurs

- Infrequent events $\rightarrow$ little perturbation
$>$ Frequent events $\rightarrow$ high perturbation
$\square$ No longer "typical" behavior?
> Perturbation changes system being measured


## Event-Driven Strategies

$\square$ Inter-event time is unpredictable
> Depends on when events actually occur
> Makes it hard to estimate perturbation
> How long to measure?
$\square$ Event-driven measurement tools
$>\rightarrow$ Good for low-frequency events

## Event-Driven Strategies



- Counts 8 events exactly


## Tracing

Similar to event-driven

- But record additional system state
> Event has occurred - count
> Additional information to uniquely identify event
- E.g. addresses that cause page faults
$\square$ Overhead
- Additional memory or disk storage
> Time to save state
$\square$ Relatively large system perturbation



## Sampling

$\square$ Record necessary state at fixed time intervals

- Overhead
> Independent of specific event frequency
> Depends on sampling frequency
$\square$ Misses some events
$\square$ Produces statistical summary
> May miss infrequent events
- Each replication will produce different results


## Sampling



- Counts 3 events out of 5 samples


## Comparisons

|  | Event <br> count | Tracing | Sampling |
| :--- | :---: | :---: | :---: |
| Resolution | Exact <br> count | Detailed <br> info | Statistical <br> summary |
| Overhead | Low | High | Constant |
| Perturbation | $\sim$ \#events | High | Fixed |

## Comparison

$\square$ Event counting
> Best for low frequency events
> Required if exact counts needed
$\square$ Sampling
> Best for high frequency events
> If statistical summary is adequate
$\square$ Tracing
> When additional detail is required

## Indirect Measurements

$\square$ Used when desired metric is not directly accessible

- Measure one thing directly
> Derive or deduce desired metric
- Highly dependent on creativity of performance analyst


## Time Measurement

Based on Ch 9 of Computer Systems: A Programmer's Perspective Bryant \& O'Halloran

## Computer Time Scales


-Two Fundamental Time Scales
> Processor: $\quad \sim 10^{-9} \mathrm{sec}$.
> External events: ~10-2 sec.

- Keyboard input
- Disk seek
- Screen refresh

Implication
> Can execute many instructions while waiting for external event to occur

- Can alternate among processes without anyone noticing


## Measurement Challenge

- How Much Time Does Program $X$ Require?
- CPU time
- How many total seconds are used when executing $X$ ?
- Measure used for most applications
- Small dependence on other system activities
> Actual ("Wall") Time
- How many seconds elapse between the start and the completion of $X$ ?
- Depends on system load, I/O times, etc.
- Confounding Factors
> How does time get measured?
> Many processes share computing resources
- Transient effects when switching from one process to another
- Suddenly, the effects of alternating among processes become noticeable


## "Time" on a Computer System


real (wall clock) time
$\square$ = user time (time executing instructions in the user process)

= system time (time executing instructions in kernel on behalf of user process)

= some other user's time (time executing instructions in
different user's process)


We will use the word "time" to refer to user time.


## Activity Periods: Light Load



## Activity Periods: Heavy Load


> Sharing processor with one other active process
> From perspective of this process, system appears to be "inactive" for $\sim 50 \%$ of the time

- Other process is executing


## Interval Counting

-OS Measures Runtimes Using Interval Timer
>Maintain 2 counts per process

- User time
- System time
> Each time get timer interrupt, increment counter for executing process
- User time if running in user mode
- System time if running in kernel mode


## Interval Counting Example

(a) Interval Timings


A $110 u+40 s$
B $70 u+30 s$
(b) Actual Times


## Unix time Command

```
time make osevent
gcc -02 -Wall -g -march=i486 -c clock.c
gcc -02 -Wall -g -march=i486 -c options.c
gcc -O2 -Wall -g -march=i486 -c load.c
gcc -02 -Wall -g -march=i486 -o osevent osevent.c . . .
0.820u 0.300s 0:01.32 84.8% 0+0k 0+Oio 4049pf+0w
> 0.82 seconds user time
    - }82\mathrm{ timer intervals
> 0.30 seconds system time
    - 30 timer intervals
> 1.32 seconds wall time
> 84.8% of total was used running these processes
    - (.82+0.3)/1.32 = . }84
```


## Accuracy of Interval Counting



Minimum - Computed time $=\mathbf{7 0 m s}$
Maximum - Min Actual $=60+\varepsilon$

- Max Actual $=80-\varepsilon$
$\begin{array}{lllllllllllll}0 & 10 & 20 & 30 & 40 & 50 & 60 & 70 & 80\end{array}$
- Worst Case Analysis
> Timer Interval $=\delta$
> Single process segment measurement can be off by $\pm \delta$
> No bound on error for multiple segments
- Could consistently underestimate, or consistently overestimate


## Accuracy of Int. Cntg. (cont.)



Minimum - Computed time $=\mathbf{7 0 m s}$
Maximum - Min Actual $=60+\varepsilon$
Maximum Max Actual $=80-\varepsilon$
$\begin{array}{lllllllll}0 & 10 & 20 & 30 & 40 & 50 & 60 & 70 & 80\end{array}$

Average Case Analysis
> Over/underestimates tend to balance out
> As long as total run time is sufficiently large

- Min run time $\sim 1$ second
- 100 timer intervals
> Consistently miss 4\% overhead due to timer interrupts


## Cycle Counters

- Most modern systems have built in registers that are incremented every clock cycle
- Very fine grained
- Maintained as part of process state

In Linux, counts elapsed global time
> Special assembly code instruction to access
> On (recent model) Intel machines:

- 64 bit counter.
- RDTSC instruction sets \%edx to high order 32bits, \%eax to low order 32-bits


## Cycle Counter Period

- Wrap Around Times for 550 MHz machine
- Low order 32 bits wrap around every $232 /\left(550\right.$ * $\left.10^{6}\right)=$ 7.8 seconds
> High order 64 bits wrap around every $264 /(550$ * 106) $=$ 33539534679 seconds
- 1065 years
- For 2 GHz machine
> Low order 32-bits every 2.1 seconds
> High order 64 bits every 293 years


## Measuring with Cycle Counter

- Idea
> Get current value of cycle counter
- store as pair of unsigned's cyc_hi and cyc_lo
- Compute something
> Get new value of cycle counter
> Perform double precision subtraction to get elapsed cycles

```
/* Keep track of most recent reading of cycle counter */
static unsigned cyc_hi = 0;
static unsigned cyc_lo = 0;
void start_counter()
{
    /* Get current value of cycle counter */
    access_counter(&cyc_hi, &cyc_lo);
}
```


## Accessing the Cycle Cntr.

> GCC allows inline assembly code with mechanism for matching registers with program variables
> Code only works on $x 86$ machine compiling with GCC
void access_counter (unsigned *hi, unsigned *lo)
\{
/* Get cycle counter */
asm("rdtsc; movl \%\%edx, \%O; movl \%\%eax, \%1"
: "=r" (*hi), "=r" (*lo)
: /* No input */ : "\%edx", "\%eax");
\}

- Emit assembly with rdtsc and two movl instructions


## Completing Measurement

- Get new value of cycle counter
> Perform double precision subtraction to get elapsed cycles
> Express as double to avoid overflow problems

```
double get_counter()
{
    unsigned ncyc_hi, ncyc_lo
    unsigned hi, lo, borrow;
    /* Get cycle counter */
    access_counter(&ncyc_hi, &ncyc_lo);
    /* Do double precisiōn subtrac\overline{tion */}
    lo = ncyc_lo - cyc_lo;
    borrow = lo > ncyc_lo;
    hi = ncyc_hi - cyc_hi - borrow;
    return (double) hi * (1 << 30) * 4 + lo;
}
```


## Timing With Cycle Counter

- Determine Clock Rate of Processor
> Count number of cycles required for some fixed number of seconds

```
double MHZ;
int sleep_time = 10;
start_counter();
sleep(sleep_time);
MHZ = get_counter()/(sleep_time * 1e6);
```

- Time Function $P$
- First attempt: Simply count cycles for one execution of $P$

```
double tsecs;
```

start_counter();
P();
tsecs $=$ get_counter () / (MHZ * 1e6);

## Measurement Pitfalls

- Overhead
> Calling get_counter () incurs small amount of overhead
> Want to measure long enough code sequence to compensate - Unexpected Cache Effects
> artificial hits or misses
> e.g., these measurements were taken with the Alpha cycle counter:
foo1 (array1, array2, array3); /* 68,829 cycles */ foo2 (array1, array2, array3); /* 23,337 cycles */
vs.
foo2 (array1, array2, array3); /* 70,513 cycles */ foo1 (array1, array2, array3); /* 23,203 cycles */


## Dealing with Overhead \& Cache Effects

> Always execute function once to "warm up" cache

- Keep doubling number of times execute $P()$ until reach some threshold
- Used CMIN = 50000

```
int cnt = 1;
double cmeas = 0;
double cycles;
do {
        int c = cnt;
        P(); /* Warm up cache */
        get counter();
        while (c-- > 0)
        P();
    cmeas = get_counter();
        cycles = cmeas / cnt;
        cnt += cnt;
    } while (cmeas < CMIN); /* Make sure have enough */
    return cycles / (le6 * MHZ);
```


## Multitasking Effects

- Cycle Counter Measures Elapsed Time
> Keeps accumulating during periods of inactivity
- System activity
- Running other processes
- Key Observation
- Cycle counter never underestimates program run time
> Possibly overestimates by large amount
- K-Best Measurement Scheme
> Perform up to N (e.g., 20) measurements of function
> See if fastest K (e.g., 3) within some relative factor $\varepsilon$ (e.g., 0.001)




## How are "actual" run times of programs determined?

- Write a procedure that repeatedly writes values to an array of 2048 integers and then reads them back
Let $r$ be the number of repetitions
$\square$ Determine expected run time $T(r)$ of procedure as a function of $r$ by timing it for $r=1$... 10 and performing a least squares fit to $T(r)=m r+b$
> Linear regression (will discuss later this semester)




## Time of Day Clock

> Unix gettimeofday() function
> Return elapsed time since reference time (Jan 1, 1970)
> Implementation

- Uses interval counting on some machines
- Coarse grained
- Uses cycle counter on others
- Fine grained, but significant overhead and only 1 microsecond resolution

```
#include <sys/time.h>
#include <unistd.h>
    struct timeval tstart, tfinish;
    double tsecs;
    gettimeofday(&tstart, NULL);
    P();
    gettimeofday(&tfinish, NULL);
    tsecs = (tfinish.tv_sec - tstart.tv_sec) +
    1e6 * (tfinish.tv_usec - tstart.tv_usec);
```

K-Best Using gettimeofday


- Linux
> As good as using cycle counter
> For times > 10 microseconds
- Windows
> Implemented by interval counting
- Too coarse-grained


## Measurement Summary

- Timing is highly case and system dependent
- What is overall duration being measured?
" > 1 second: interval counting is OK
- << 1 second: must use cycle counters
> On what hardware / OS / OS version?
- Accessing counters

How gettimeofday is implemented

- Timer interrupt overhead
- Scheduling policy
- Devising a Measurement Method
> Long durations: use Unix timing functions
> Short durations
" If possible, use gettimeofday
- Otherwise must work with cycle counters
- K-best scheme most successful


## Approximate Measures of Short

 Intervals$\square$ Suppose no access to cycle counters - How to measure an event that is shorter than the resolution of the clock?

- Cannot directly measure events with $T_{e}<T_{c}$
$\square$ Overhead makes it hard to measure even when $T_{e}>n T_{c}$
$\Rightarrow n$ is small integer

Approximate Measures of Short Intervals


Case 2:
Count+0

## Approximate Measures of Short

Intervals
$\square$ Bernoulli experiment
> Outcome $=+1$ with probability $p$
> Outcome $=+0$ with probability (1-p)
> Equivalent to flipping a biased coin
$\square$ Repeat $n$ times
> Approximates a binomial distribution
> Only approximate since each measurement cannot be guaranteed to be independent

- Usually close enough in practice


## Approximate Measures of Short

## Intervals

$\square m=$ number of times Case 1 occurs > Count+1
$\square n=$ total number of measurements
$\square$ Average duration is ratio of $\mathrm{m} / \mathrm{n}$
$\square$ Use confidence interval for proportions

$$
T_{e}=\frac{m}{n} T_{c}
$$

## Example

- Clock resolution $=10$ us
- $n=8764$ measurements
a $m=467$ clock ticks counted
$\square 95 \%$ confidence interval


Case 1:
467


Case 2:

## Example

$$
\begin{aligned}
\left(c_{1}, c_{2}\right) & =\frac{467}{8764} \mp 1.96 \sqrt{\frac{\frac{467}{8764}\left(1-\frac{467}{8764}\right)}{8764}} \\
& =(0.0486,0.0580)
\end{aligned}
$$

- Scale by clock period = 10 us
$\square 95 \%$ chance that measured event is > $(0.49,0.58)$ us


## Important Aside

- Confidence interval calculation for proportions discussed in last class (and textbooks) is controversial
> Recently, statisticians have shown that it is problematic
- The approach used on the previous slide + in the textbooks (Lilja, Jain, others) is somewhat discredited
> Link on class web page


## Indirect Ad Hoc Techniques

$\square$ Sometimes the desired metric cannot be measured directly
$\square$ Use your creativity to measure one thing and then derive/infer the desired value

## Example 1 - System Load

$\square$ What is system load?
> Number of jobs in run queue?
> Number of jobs actively time-sharing?
> Fraction of time processor is not in idle loop?
> Others?
$\square$ How to measure it?
> Modify OS
> PC sampling
> Indirect?

## Example



Let system run for fixed time $T$
$\square$ Note value of counter

## Example



- Let system run for fixed time $T$
$\square$ Compare value of loaded system monitor counter to unloaded system count value


## Example



Let system run for fixed time $T$
$\square$ Compare value of loaded system monitor counter to unloaded system count value

## Example 2: The Memory Mountain

- Read throughput (read bandwidth)
$>$ Number of bytes read from memory per second ( $\mathrm{MB} / \mathrm{s}$ )
Memory mountain
> Measured read throughput as a function of spatial and temporal locality.
> Compact way to characterize memory system performance.


## Memory Mountain Test Function

```
/* The test function */
void test(int elems, int stride) {
    int i, result = 0;
    volatile int sink;
    for (i = 0; i < elems; i += stride)
        result += data[i];
    sink = result; /* So compiler doesn't optimize away the loop */
}
/* Run test(elems, stride) and return read throughput (MB/s) */
double run(int size, int stride, double Mhz)
{
    double cycles;
    int elems = size / sizeof(int);
    test(elems, stride); /* warm up the cache */
    cycles = fcyc2(test, elems, stride, 0); /* call test(elems,stride) */
    return (size / stride) / (cycles / Mhz); /* convert cycles to MB/s */
}
```


## Memory Mountain Main Routine

```
/* mountain.c - Generate the memory mountain. */
#define mINBYTES (1 << 10) /* Working set size ranges from 1 KB */
#define mAXBYTES (1 << 23) /* ... up to 8 MB */
#define MAXSTRIDE 16 /* Strides range from 1 to 16 */
#define MAXELEMS MAXBYTES/sizeof(int)
int data[MAXELEMS]; /* The array we'll be traversing */
int main()
{
    int size; /* Working set size (in bytes) */
    int stride; /* Stride (in array elements) */
    double Mhz; /* Clock frequency */
    init_data(data, MAXELEMS); /* Initialize each element in data to 1 */
    Mhz =mhz(0); /* Estimate the clock frequency */
    for (size = MAXBYTES; size >= MINBYTES; size >>= 1) {
        for (stride = 1; stride <= MAXSTRIDE; stride++)
            printf("o.1f\t", run(size, stride, Mhz));
        printf("\n");
    }
    exit(0);
}
```


## The Memory Mountain



## Ridges of Temporal Locality

- Slice through the memory mountain with stride=1
> illuminates read throughputs of different caches and memory



## A Slope of Spatial Locality

$\square$ Slice through memory mountain with size $=256 \mathrm{~KB}$ > shows cache block size.


## Perturbation

- To obtain more information (higher resolution)
$>\rightarrow$ Use more instrumentation points
- More instrumentation points
$>\rightarrow$ Greater perturbation


## Perturbation

- Computer performance measurement uncertainty principle
> Accuracy is inversely proportional to resolution.



## Perturbation

- Superposition does not work here
> Non-linear
> Non-additive
- Double instrumentation $\neq$ double impact on performance
> Some instrumentation cancels out
> Some multiplies impact
$\square$ No way to predict!


## Instrumentation Code

- Changes memory access patterns
> Affects memory banking optimizations
$\square$ Generates additional load/store instructions
> More frequent cache flushes and replacements
> But may reduce set associativity conflicts
- Generates more I/O operations
$\square$ Will increase overall execution time
> More time-sharing context switches
$\square$ Alters virtual memory paging behavior


## Summary

- Measurement strategies
> Event-driven
> Tracing
> Sampling
- Measuring program time
- Profiling
- Trace generation
- Indirect measurements when all else fails
- System load example
- Perturbations
> Have to be careful to minimize perturbations due to instrumentation

