

## TCP, UDP revisited

Distributed Software Systems

## Network Programming with sockets

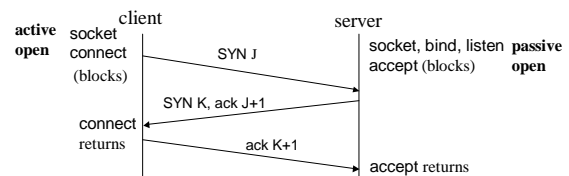
- Need to understand how TCP and UDP work in order to design “good” application-level protocols
  - critical for designing protocols that will be *scalable*
    - HTTP 1.0 does not scale well
  - when to use UDP instead of TCP
  - need to understand TCP while debugging as well as *performance* debugging

## TCP

- Connection establishment
- Flow control
- Congestion control
- Connection termination

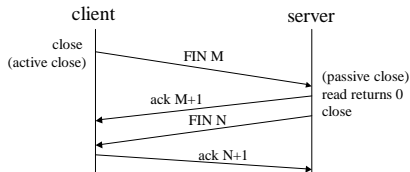
## TCP Connection Establishment

- Three way handshake

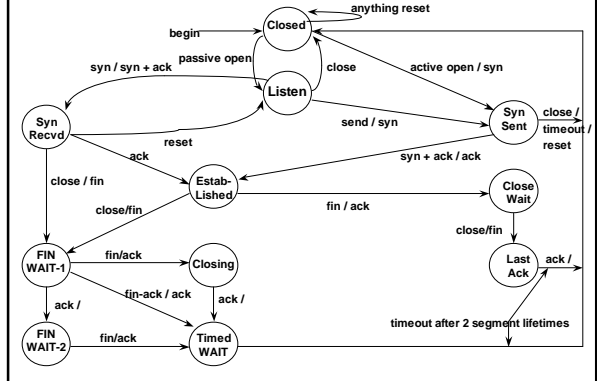


## TCP Connection termination

- Four segments needed for terminating connection



## TCP State Transition Diagram



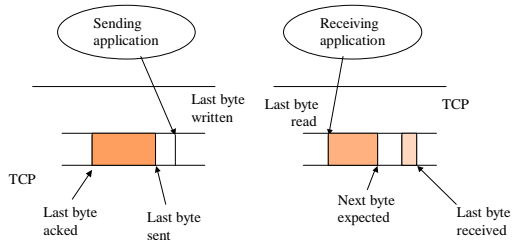
## Observations

- If only purpose of connection is to send a one-segment request and get a one-segment reply there are 8 segments of overhead
  - UDP only two packets but no reliability
- TIME\_WAIT state needed
  - for reliable connection termination
    - suppose last ACK lost
  - to allow duplicate segments to expire in the network
    - prevent new incarnations of connection that is in TIME\_WAIT state)

## TCP Flow Control & Congestion Control

- TCP uses sliding window/selective retransmit protocol for flow control
- Congestion control
  - congestion window has additive increase/multiplicative decrease
  - "slow start" algorithm

## TCP Sliding Window



**Receiver:** Adversised Window =  $\text{MaxRevBuffer} - (\text{LastByteRcvd} - \text{LastByteRead})$

**Sender:** Effective Window =  $\text{Adversised Window} - (\text{LastByteSent} - \text{LastByteAcked})$

## TCP congestion control

- TCP maintains a new state variable for each connection called Congestion Window

$\text{MaxWindow} = \text{MIN}(\text{Congestion Window}, \text{Adversised Window})$

$\text{Effective Window} = \text{MaxWindow} - (\text{LastByteSent} - \text{LastByteAcked})$

## Slow Start

- Objective: determine the available capacity in the first place
- begin with **CongestionWindow** = 1 packet
- double **CongestionWindow** each RTT (increment by 1 packet for each ACK)



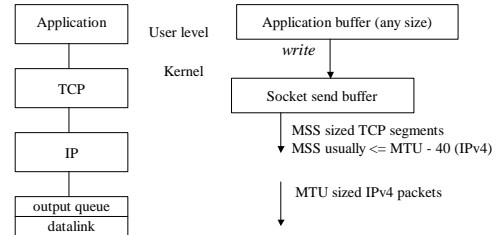
## IP Datagrams and Fragmentation

- Maximum IPv4 datagram is 65535 bytes
- network MTU (maximum transmission unit) dictated by hardware
  - Ethernet 1500 bytes
- smallest MTU on path between two hosts is path MTU
- IP fragments datagram if it exceeds link MTU; reassembly done at final destination

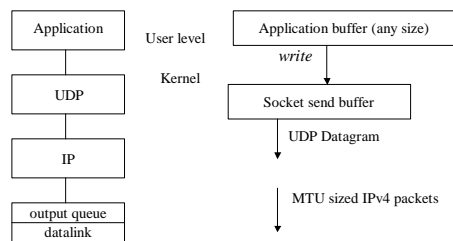
## TCP MSS

- Minimum buffer reassembly size
  - IPv4: 576 bytes; IPv6: 1500 bytes
- TCP MSS (maximum segment size) announced during connection establishment
- MSS usually set to MTU - sizes of IP & TCP headers to avoid fragmentation

## TCP Output



## UDP Output



## HTTP 1.0 revisited

- Separate connection for every document transferred
  - large overhead
  - web servers have to maintain state for every connection in **TIME\_WAIT** state
    - can be large for busy web servers
- Slow start
  - if HTTP headers longer than MSS, client TCP needs to send two segments
  - client has to wait for first segment to be acked before it sends second segment

## HTTP 1.0 revisited cont'd

- Slow start (cont'd)
  - On server side, initial congestion window = 2, so server can send 2 segments but has to wait for ack before sending any other segments
  - For files larger than two segments, slow start adds one RTT to total transaction time

## When to use UDP instead of TCP

- UDP *must* be used if the application uses multicasting or broadcasting
- UDP *can* be used for simple request-reply applications but error recovery must be built into the application
- UDP *should not* be used for bulk data transfer (e.g., file transfer)