## ANTONIS ANASTASOPOULOS CS499 INTRODUCTION TO NLP

## VECTOR SEMANTICS


https://cs.gmu.edu/~antonis/course/cs499-spring21/
With adapted slides by David Mortensen and Alan Black

## HOMEWORK 1

Note: Use a cost of 1 for substitutions in the base edit-distance implementation

Other questions?

## STRUCTURE OF THIS LECTURE

Why vectors?

Neural
Embeddings

## WHY VECTOR MODELS?

## COMPUTING THE SIMILARITY BETWEEN WORDS

"fast" is similar to "rapid"
"tall" is similar to "height"
Question: "How tall is Mt. Everest?"
Potential Answer: "The official height of Mount Everest is 29029 feet."

## SIMILARITY FOR PLAGIARISM DETECTION

```
MAINFRAMES
Mainframes are primarily referred to large
    computers with rapid, advanced
    processing capabilities that can
    execute and perform tasks equivalent
    to many Personal Computers (PCs)
    machines networked together. It is
    characterized with high quantity
    Random Access Memory (RAM), very
    large secondary storage devices, and
    high-speed processors to cater for the needs of the computers under its service.
Consisting of advanced components, mainframes have the capability of running multiple large applications required by many and most enterprises and organizations. This is one of its advantages. Mainframes are also suitable to cater for those applications (programs) or files that are of very high
```


## MAINFRAMES

Mainframes usually are referred those computers with fast, advanced processing capabilities that could perform by itself tasks that may require a lot of Personal Computers (PC)
Machines. Usually mainframes would have lots of RAMs, very large secondary storage devices, and very fast processors to cater for the needs of those computers under its service.
Due to the advanced components mainframes have, these computers have the capability of running multiple large applications required by most enterprises, which is one of its advantage. Mainframes are also suitable to cater for those applications or files that are of very large demand

## (DIACHRONIC) SEMANTIC CHANGE OF WORDS



Kulkarni, Al-Rfou, Perozzi, Skiena 2015


## PROBLEMS WITH THESAURUS-BASED MEANING

We don't have a thesaurus for every language
We can't have a thesaurus for every year
(For historical linguistics, we need to compare word meanings from year $t$ to $t+1$
Thesauri have problems with recall
Many words/phrases might be missing
They work less well for verbs, adjectives

## VECTOR SEMANTICS

Vector semantics == vector-space models of meaning

$$
==\text { distributional models of meaning }
$$

Intuition:
"Oculist and eye-doctor [...] occur in almost the same environments" "If $A$ and $B$ have almost identical environments, we say that they are synonyms."

Zellig Harris (1954)

> "You shall know a word by the company it keeps"

Firth (1957)

## INTUITION OF DISTRIBUTIONAL WORD SIMILARITY

Nida example - what is a tesgüino?

> A bottle of tesgüino is on the table Everybody likes tesgüino
> Tesgüino makes you drunk
> We make tesgüino out of corn

From context, you guessed what tesgüino means (it's like beer)
Intuition: two words are similar if they have similar word contexts!

## THE FOUR KINDS OF VECTOR MODELS

Sparse vector representations:

1. Mutual Information weighted co-occurance matrices

Dense vector representations:
2. Brown clusters
3. Neural network based embeddings

Shared intuition: "embed" the word in a vector space to model its meaning.

## WORDS AND COOCCURRENCE MATRICES

## CO-OCCURRENCE MATRICES

Represent how often a word occurs in a document:

- term-document matrix

Or how often a word occurs with another:

- term-term matrix (or word-word co-occurrence or word-context matrix)


## TERM-DOCUMENT MATRIX

Each cell: count of word $w$ in document $d$

|  |
| :---: |
|  |
| battle |
| As you Like it |
| Twelfth Night |
| fool |
| clown |

## DOCUMENT SIMILARITY

Two documents are similar if their vectors are similar

|  | As you Like it | Twelfth Night | Julius C | Henry |
| :---: | :---: | :---: | :---: | :---: |
| battle | 1 | 1 | 8 | 15 |
| soldier | 2 | 2 | 12 | 36 |
| fool | 37 | 58 | 1 | 5 |
| clown | 7 | 117 | 0 | 0 |

## TERM-DOCUMENT MATRIX

Each cell: count of word $w$ in document $d$

|  | As you Like it | Twelfth Night | Julius Cesar | Henry V |
| :---: | :---: | :---: | :---: | :---: |
| battle | 1 | 1 | 8 | 15 |
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## TERM-DOCUMENT MATRIX

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| fool | 37 | 58 | 1 | 5 |
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## THE WORD-WORD OR WORD-CONTEXT MATRIX

Instead of entire documents, we will use smaller contexts
e.g. paragraph, or a fixed window of $n$ words

A word is defined by a vector over counts of context words
Instead of vector of length $D$, we have vector of length $|V|$.
The word-word matrix is of size $|V| \times|V|$.

## WORD-CONTEXT MATRIX EXAMPLE

sugar, a sliced lemon, a tablespoonful of apricot their enjoyment. Cautiously she sampled her first
well suited to programming on the digital
pineapple
computer.
information
preserve or jam, a pinch each of, and another fruit whose taste she likened In finding the optimal R-stage policy from necessary for the study authorized in the

|  | aardvark | computer | data | pinch | result | sugar | $\ldots$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| apricot | 0 | 0 | 0 | 1 | 0 | 1 |  |
| pineapple | 0 | 0 | 0 | 1 | 0 | 1 |  |
| digital | 0 | 2 | 1 | 0 | 1 | 0 |  |
| information | 0 | 1 | 6 | 0 | 4 | 0 |  |
| $\ldots$ |  |  |  |  |  |  |  |

A $50,000 \times 50,000$ will be very sparse (most values are 0 )
Short (1-3) window $\rightarrow$ syntacticity.
Long (4-10) window $\rightarrow$ semanticity

## TWO TYPES OF CO-OCCURRENCY

First-order co-occurrency (syntagmatic association):
Two words are typically nearby each other wrote is a first-order associate of book or poem

Second order co-occurrency (paradigmatic association):
Two words have similar neighbors wrote is a second-order associate of said or remarked

## POSITIVE POINT-WISE MUTUAL INFORMATION (PPMI)

## PROBLEM WITH RAW COUNTS

Raw frequency is not a great measure of association between words
It is very skewed
e.g. "the" and "of" are very frequent, but they are not very discriminative

We'd rather have a measure that asks whether a context word is particularly informative about the target word.

Positive Point-wise Mutual Information (PPMI)

## POINT-WISE MUTUAL INFORMATION

Point-wise Mutual Information:
"Do events $x$ and $y$ co-occur more than if they were independent?"

$$
\operatorname{PMI}(X, Y)=\log _{2} \frac{P(x, y)}{P(x) P(y)}
$$

PMI between words (Church \& Hanks, 1989)
"Do words $x$ and $y$ co-occur more than if they were independent?"

## POSITIVE POINT-WISE MUTUAL INFORMATION

PMI ranges in $(-\infty,+\infty)$
What do we do with negative values though?
(not very useful)
So, we replace negative values with 0 :

$$
\operatorname{PPMI}\left(w_{1}, w_{2}\right)=\max \left(0, \log _{2} \frac{P\left(w_{1}, w_{2}\right)}{P\left(w_{1}\right) P\left(w_{2}\right)}\right)
$$

## EXAMPLE

$N=19$
$p(w=$ information,$c=$ data $)=\frac{6}{19}=.32$
$p(w=$ information $)=\frac{11}{19}=.58$
$p(c=$ data $)=\frac{7}{19}=.37$

| Count(w,c) | computer | data | pinch | result | sugar |
| :---: | :---: | :---: | :---: | :---: | :---: |
| apricot | 0 | 0 | 1 | 0 | 1 |
| pineapple | 0 | 0 | 1 | 0 | 1 |
| digital | 2 | 1 | 0 | 1 | 0 |
| information | 1 | 6 | 0 | 4 | 0 |


| p(w,c) | computer | data | pinch | result | sugar |  | p(w) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| apricot | 0 | 0 | 0.05 | 0 | 0.05 | apricot | 0.11 |
| pineapple | 0 | 0 | 0.05 | 0 | 0.05 | pineapple | 0.11 |
| digital | 0.11 | 0.05 | 0 | 0.05 | 0 | digital | 0.21 |
| information | 0.05 | 0.32 | 0 | 0.21 | 0 | information | 0.58 |


|  | computer | data | pinch | result | sugar |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{p}(\mathrm{c})$ | 0.16 | 0.37 | 0.11 | 0.26 | 0.11 |

## EXAMPLE

$p m i_{i j}=\log _{2} \frac{p_{i j}}{p_{i^{*} * p_{* j}}}$
$p m i($ information, data $)=\log _{2}\left(\frac{.32}{.37 * .58}\right)=.57$

| p(w,c) | computer | data | pinch | result | sugar |  |  | p(w |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| apricot | 0 | 0 | 0.05 | 0 | 0.05 |  | apricot | 0.11 |
| pineapple | 0 | 0 | 0.05 | 0 | 0.05 |  | pineapple | 0.11 |
| digital | 0.11 | 0.05 | 0 | 0.05 | 0 |  | digital | 0.21 |
| information | 0.05 | 0.32 | 0 | 0.21 | 0 |  | information | 0.58 |


| PPMI(w,c) | computer | data | pinch | result | sugar |
| :---: | :---: | :---: | :---: | :---: | :---: |
| apricot | - | - | 2.25 | - | 2.25 |
| pineapple | - | - | 2.25 | - | 2.25 |
| digital | 1.66 | 0.00 | - | 0.00 | - |
| information | 0.00 | 0.57 | - | 0.47 | - |

## Weighting PMI

PMI is biased toward infrequent events (Very rare words have very high PMI values) Solution: Use add-k smoothing

## PPMI COMPUTATION WITH LAPLACE SMOOTHING

Count(w,c) computer data pinch result sugar

| apricot | 0 | 0 | 1 | 0 | 1 |
| :---: | :--- | :--- | :--- | :--- | :--- |
| pineapple | 0 | 0 | 1 | 0 | 1 |
| digital | 2 | 1 | 0 | 1 | 0 |
| information | 1 | 6 | 0 | 4 | 0 |


| $p(w, c)$ | computer | data | pinch | result | sugar |
| :---: | :---: | :---: | :---: | :---: | :---: |
| apricot | 0 | 0 | 0.05 | 0 | 0.05 |
| pineapple | 0 | 0 | 0.05 | 0 | 0.05 |
| digital | 0.11 | 0.05 | 0 | 0.05 | 0 |
| information | 0.05 | 0.32 | 0 | 0.21 | 0 |


| PPMI(w,c) | computer | data | pinch | result | sugar |
| :---: | :---: | :---: | :---: | :---: | :---: |
| apricot | - | - | 2.25 | - | 2.25 |
| pineapple | - | - | 2.25 | - | 2.25 |
| digital | 1.66 | 0.00 | - | 0.00 | - |
| information | 0.00 | $\mathbf{0 . 5 7}$ | - | $\mathbf{0 . 4 7}$ | - |

Count(w,c) computer data pinch result sugar

| apricot | 2 | 2 | 3 | 2 | 3 |
| :---: | :--- | :--- | :--- | :--- | :--- |
| pineapple | 2 | 2 | 3 | 2 | 3 |
| digital | 4 | 3 | 2 | 3 | 2 |
| information | 3 | 8 | 2 | 6 | 2 |


| $\mathrm{p}(\mathrm{w}, \mathrm{c})$ | computer | data | pinch | result | sugar |
| :---: | :---: | :---: | :---: | :---: | :---: |
| apricot | 0.03 | 0.03 | 0.05 | 0.03 | 0.05 |
| pineapple | 0.03 | 0.03 | 0.05 | 0.03 | 0.05 |
| digital | 0.07 | 0.05 | 0.03 | 0.05 | 0.03 |
| information | 0.05 | 0.14 | 0.03 | 0.10 | 0.03 |
| PPMI( $w, c$ ) | computer | data | pinch | result | sugar |
| apricot | 0.00 | 0.00 | 0.56 | 0.00 | 0.56 |
| pineapple | 0.00 | 0.00 | 0.56 | 0.00 | 0.56 |
| digital | 0.62 | 0.00 | 0.00 | 0.00 | 0.00 |
| information | 0.00 | 0.58 | 0.00 | 0.37 | 0.00 |

## MEASURING EMBEDDING SIMILARITY

## MEASURING SIMILARITY

Given 2 words $v$ and $w$, we need a way to measure their similarity
Most measure of vectors similarity are based on the dot product (often called inner product):

$$
\vec{v} \cdot \vec{w}=\sum_{i=1}^{N} v_{i} w_{i}=v_{1} w_{1}+v_{2} w_{2}+\ldots+v_{N} w_{N}
$$

High when two vectors have large values in the same dimensions
Low (in fact 0) for orthogonal vectors with zeros in complementary distribution

## PROBLEM WITH DOT PRODUCT

$$
\vec{v} \cdot \vec{w}=\sum_{i=1}^{N} v_{i} w_{i}=v_{1} w_{1}+v_{2} w_{2}+\ldots+v_{N} w_{N} .
$$

Dot product gets larger as the vectors get more dimensions and as the vector length increases.

$$
|\vec{v}|=\sqrt{\sum_{i=1}^{N} v_{i}^{2}}
$$

Vectors are longer if they have higher values in each dimension:

- more frequent words will have higher dot products
- that's bad: we don't want a similarity metric to be sensitive to word frequency


## SOLUTION: COSINE DISTANCE

Solution: just divide the dot product by the length of the two vectors!

$$
\frac{\vec{a} \cdot \vec{b}}{|\vec{a}||\vec{b}|}
$$

This turns out to be the cosine of the angle between them:

$$
\begin{aligned}
\vec{a} \cdot \vec{b} & =|\vec{a}||\vec{b}| \cos \theta \\
\frac{\vec{a} \cdot \vec{b}}{|\vec{a}||\vec{b}|} & =\cos \theta
\end{aligned}
$$

## IS COSINE DISTANCE MEANINGFUL?

Yes! We can cluster the vectors based on their cosine distance to visualize the similarity

Other possible similarity measures:

$$
\begin{aligned}
\operatorname{sim}_{\operatorname{cosine}}(\vec{v}, \vec{w}) & =\frac{\vec{a} \cdot \vec{b}}{|\vec{a}||\vec{b}|}=\frac{\sum_{i=1}^{N} v_{u} \times w_{i}}{\sqrt{\sum_{i=1}^{N} v_{i}^{2}} \sqrt{\sum_{i=1}^{N} w_{i}^{2}}} \\
\operatorname{sim}_{\operatorname{Jaccard}}(\vec{v}, \vec{w}) & =\frac{\sum_{i=1}^{N} \min \left(v_{i}, w_{i}\right)}{\sum_{i=1}^{N} \max \left(v_{i}, w_{i}\right)} \\
\operatorname{sim}_{\operatorname{Dice}}(\vec{v}, \vec{w}) & =\frac{2 \times \sum_{i=1}^{N} \min \left(v_{i}, w_{i}\right)}{\sum_{i=1}^{N} v_{i}+w_{i}}
\end{aligned}
$$



## GOING BEYOND CO-OCCURRENCES

> "The meaning of entities, and the meaning of grammatical relations among them, is related to the restriction of combinations of these entities relative to other entities"
> - Zellig Harris (1968)

Two words are similar if they have similar syntactic contexts.
e.g. duty and responsibility not only have similar words that appear in their contexts, but they also have similar syntactic distributions:

| Modified by adjectives | Additional, administrative, assumed, collective, congressional, constitutional... |
| :---: | :---: |
| Objects of verbs | Assert, assign, assume, attend to, avoid, become, breach... |

We can create syntactic features too, and add them to our count tables, and follow the same processes as before

