



CS571-001: Operating Systems

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Some Observations and Comments





Some Operating System Examples

- CP/M, MS-DOS
- MS-Windows 3.1, 95, 98, ME, CE, 2K, XP, ...
- VMS
- UNIX (BSD, SysV, Ultrix, OSF/1, Solaris, AIX, HP-UX, Tru64, IRIX, OS X, ...)
- Linux
- PalmOS
- Can you name others? Why are there so many?
-
- Are operating systems still important?
- Will new operating systems evolve?





What does an OS do?

- Essential:
 - Manages the execution of programs
 - Provides interface & control of processor and connected devices
 - Manages limited resources
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- Optional:
 - Manages sharing of limited resources
 - User interface: CLI, GUI
 -





Resources? What resources?

- CPU: single or multiple (SMP)
- Memory: on-chip cache, off-chip cache, DRAM, virtual memory
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- I/O: data paths, buffers (caches), queueing requests, file system actions
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- Devices: I/O controllers, comm devices, video, sound, keyboard, mouse, ...
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- Security User identification, authentication, authorization





Basic OS Questions

- * Why are there OS limits on
 - - Memory size? Process size?
 - - Speed of execution? Speed of data transfer?
 - - Number of processes, files, sockets, objects, ...
- * ...and how do we work within those limits?
- * How do we
 - - Represent, measure, find, change, share, verify, monitor, control, detect?
- * How does an OS
 - - Respond to stress or resource exhaustion?



Relative Access times

Device	Real time	Relative time (if 1 s=1 ns)
CPU Register	2 nsec	2 seconds
CPU cache	20 nsec	20 second
Main Memory	200 nsec	2-3 minutes
Disk	20 msec	7 months



Consequences of Size Decisions: Important Powers of 2

- $2^8 = 256$
 - Byte = 8 bits
- $2^{16} = 65,536$
 - Original IBM-PC 8086
- $2^{32} = 4,294,967,296$
 - Sun SPARC , Intel Pentium, IPv4, Jan 19 2038
- $2^{64} = 18,446,744,073,709,551,616$
 - Sun UltraSPARC, AMD Opteron, Intel Itanium, DEC/Compaq/HP Alpha
- $2^{128} = 3.4 \times 10^{38}$
 - IPv6, cryptography





Chapter 4: Processes

- Process Concept
- Process Scheduling
- Operations on Processes
- Cooperating Processes
- Interprocess Communication
- Communication in Client-Server Systems





Process Concept

- An operating system executes a variety of programs:
 - Batch system – jobs
 - Time-shared systems – user programs or tasks
- Textbook uses the terms job and process almost interchangeably
- Process – a program in execution; process execution must progress in sequential fashion
 - is this true?
 - “out of order execution”
- A process includes:
 - program counter
 - stack
 - data section





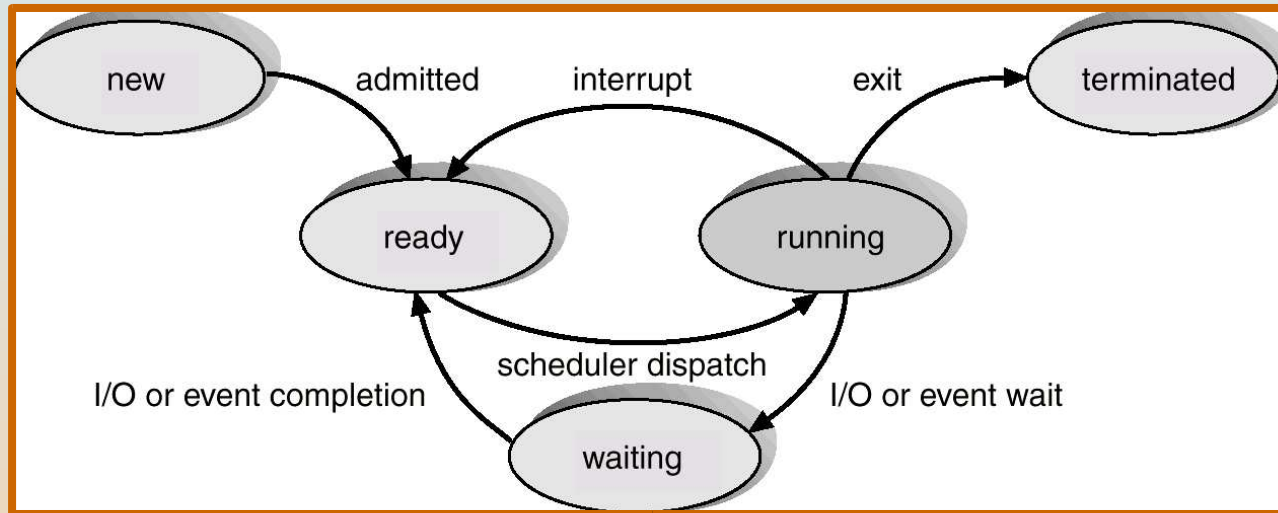
Process State

- As a process executes, it changes state
 - new: The process is being created
 - running: Instructions are being executed
 - waiting: The process is waiting for some event to occur
 - ready: The process is waiting to be assigned to a process
 - terminated: The process has finished execution





Diagram of Process State





Process Control Block (PCB)

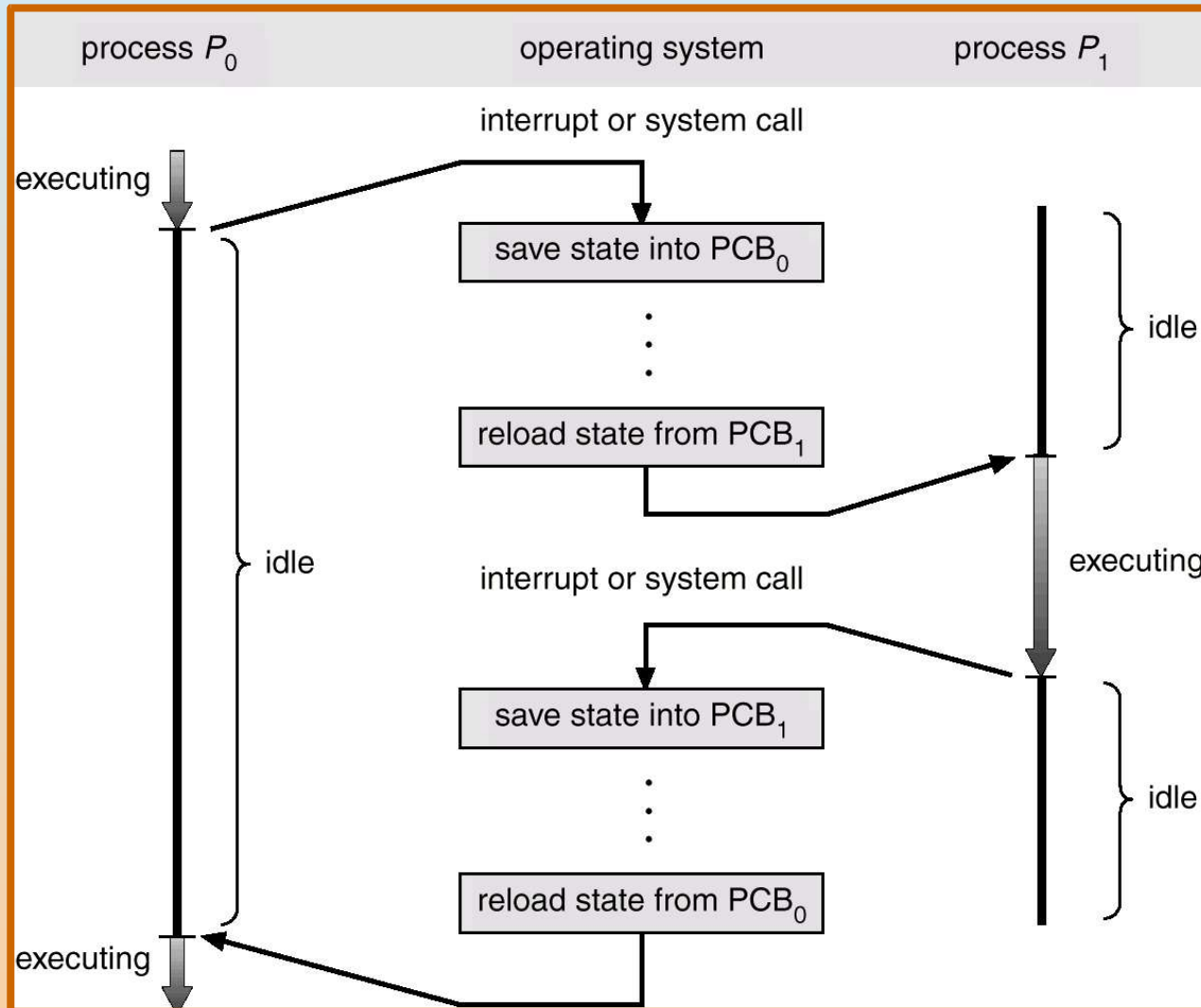
Information associated with each process

- Process state
- Program counter
- CPU registers
- CPU scheduling information
- Memory-management information
- Accounting information
- I/O status information





CPU Switch From Process to Process





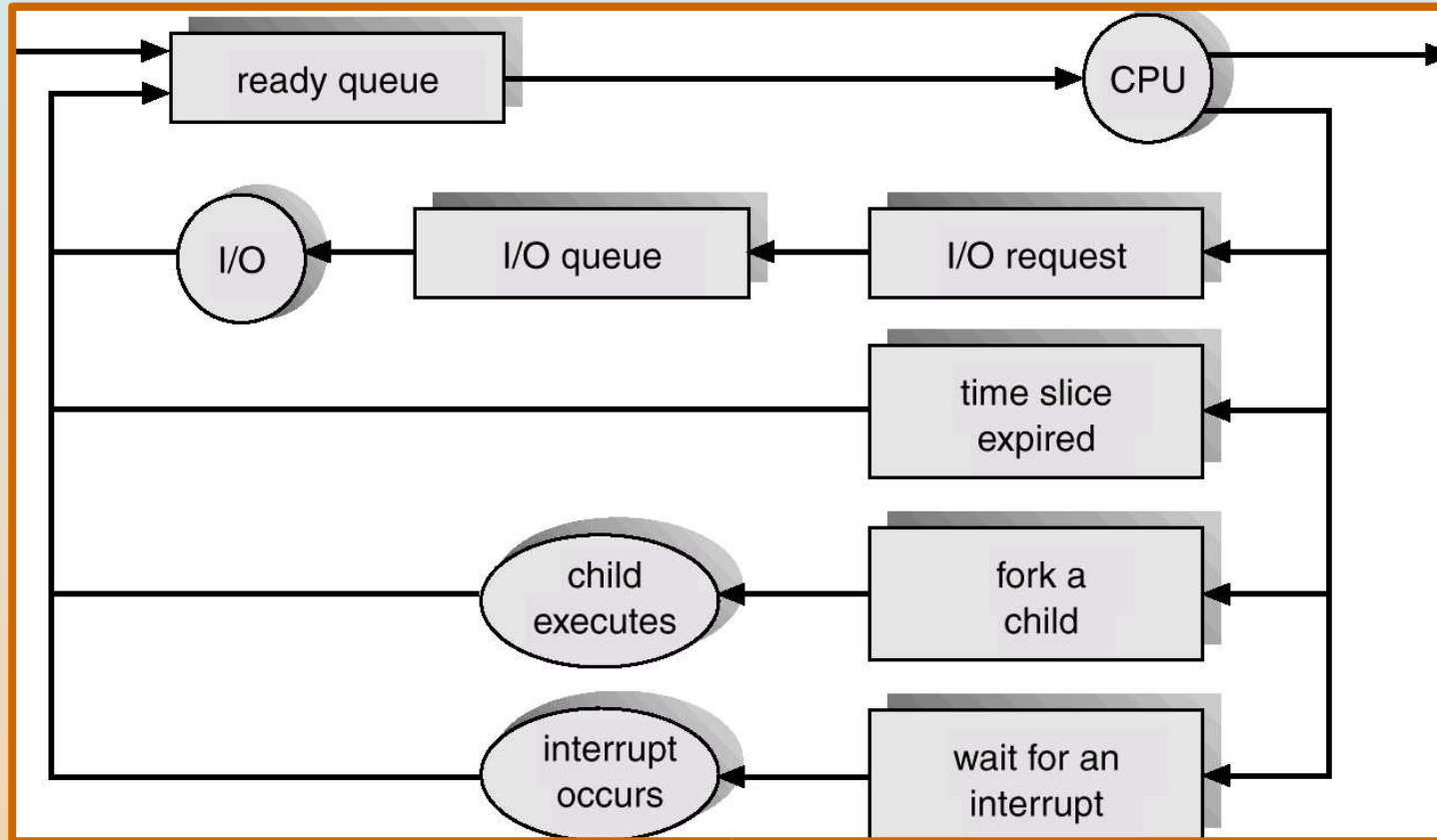
Process Scheduling Queues

- Job queue – set of all processes in the system
- Ready queue – set of all processes residing in main memory, ready and waiting to execute
- Device queues – set of processes waiting for an I/O device
- Process migration between the various queues





Representation of Process Scheduling





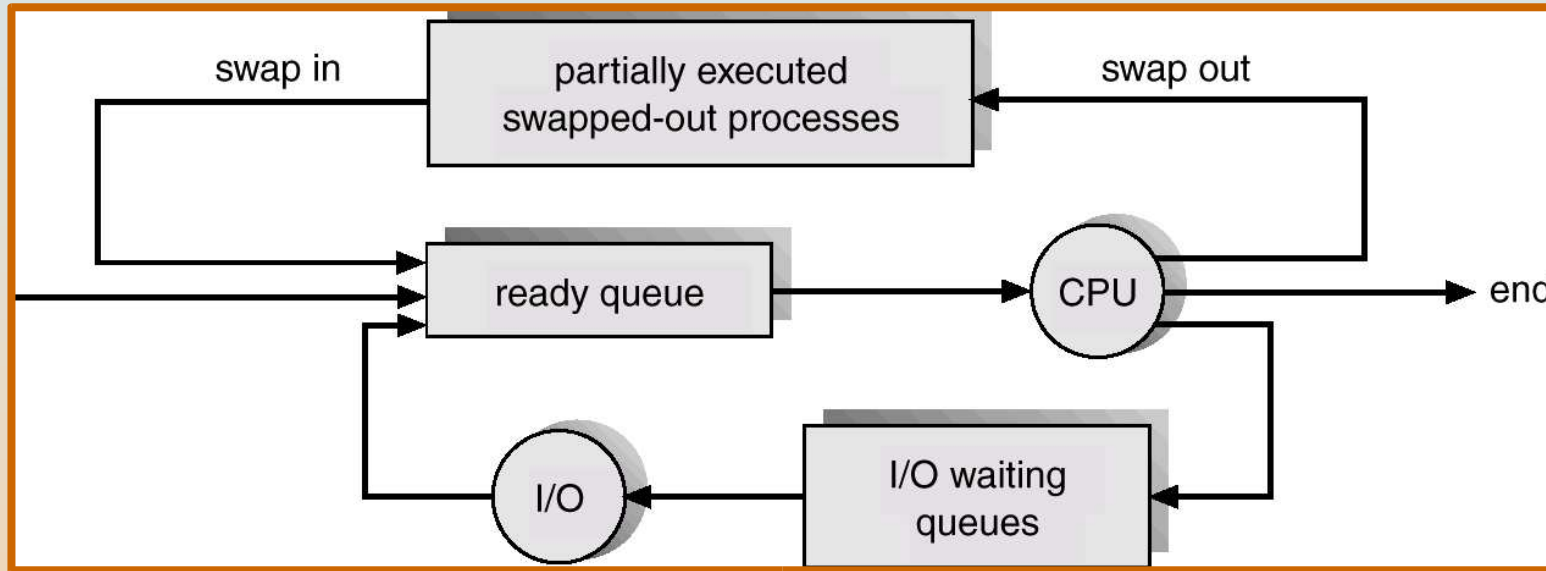
Schedulers

- Long-term scheduler (or job scheduler) – selects which processes should be brought into the ready queue
- Short-term scheduler (or CPU scheduler) – selects which process should be executed next and allocates CPU





Addition of Medium Term Scheduling





Schedulers (Cont.)

- Short-term scheduler is invoked very frequently (milliseconds) □ (must be fast)
- Long-term scheduler is invoked very infrequently (seconds, minutes) □ (may be slow)
- The long-term scheduler controls the degree of multiprogramming
- Processes can be described as either:
 - I/O-bound process – spends more time doing I/O than computations, many short CPU bursts
 - CPU-bound process – spends more time doing computations; few very long CPU bursts





Context Switch

- When CPU switches to another process, the system must save the state of the old process and load the saved state for the new process
- Context-switch time is overhead; the system does no useful work while switching
- Time dependent on hardware support





Observing Processes (UNIX)

- How do we know what's happening in a system?
- Various tools to monitor processes, resource consumption
 - top, ps, vmstat, iostat, prstat, mpstat, ...
 - see man pages for documentation and options
 - see UNIX Rosetta Stone for equivalents





Homework 1

- Textbook
 - Read Chapters 1-3
 - Especially 2.4.1, 2.4.2
 - [Answer Question 2.9, pg 56](#)
 - Especially 3.6, 3.7
 - [Answer Question 3.18, pg 99](#)
 - Read Chapter 4
- Review Text Web site
- Next class: Chapter 5, Threads

