CS483 Analysis of Algorithms
Lecture 02 – Algorithms with numbers *

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* this lecture note is based on *Algorithms* by S. Dasgupta, C.H. Papadimitriou, and U.V. Vazirani and *Introduction to the Design and Analysis of Algorithms* by Anany Levitin.

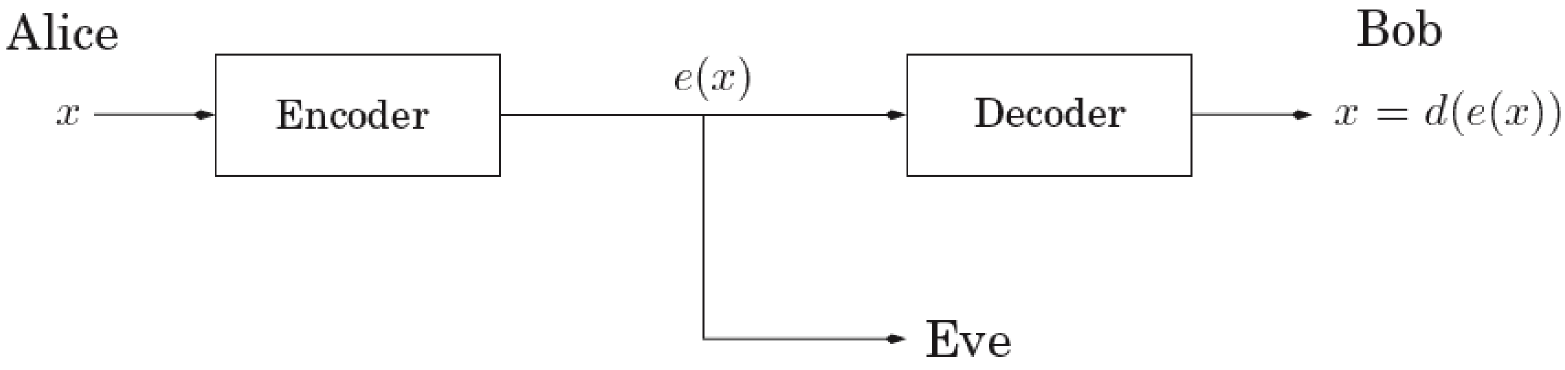
What will we learn today?

- Basic and modulo arithmetic
- Greatest common divisor (GCD)
- Check if a number is prime (an easier problem)
- Prime number factorization (a very hard problem)
- Generate random prime number with arbitrary length
- Cryptography:
  - Private/Public-key cryptography (symmetric/asymmetric cryptography).
  - RSA cryptosystem
  - Based on the fact that primality check can be done much more efficiently than factoring.

Cryptography

Typical setting in cryptography

- The typical setting



- Alice and Bob wish to communicate in private
- Eve will try to find out what they are saying
- When Alice wants to send a message x, she encode it as $e(x)$
- Bob then applies his decryption function $d(\cdot)$ to get his message $d(e(x)) = x$
- Hopefully, Eve does not know how to convert $e(x)$ back to e, i.e., $d(\cdot)$

Private-key cryptography

- Alice and Bob choose a secret codebook (key) together
- **Example**: One time pad using *bitwise xor*
  - Encode $e_r(x) = x \oplus r$
  - Decode $e_r(e_r(x)) =$
- **Example**:
  - $x = 11110000$
  - $r = 01110010$
  - Encoded message
  - Decoded message
- Drawbacks of One time pad:
  -
  -
- A more secure/popular private-key cryptography: Advanced Encryption Standard (AES) (by Rijmen and Daeme 1998)

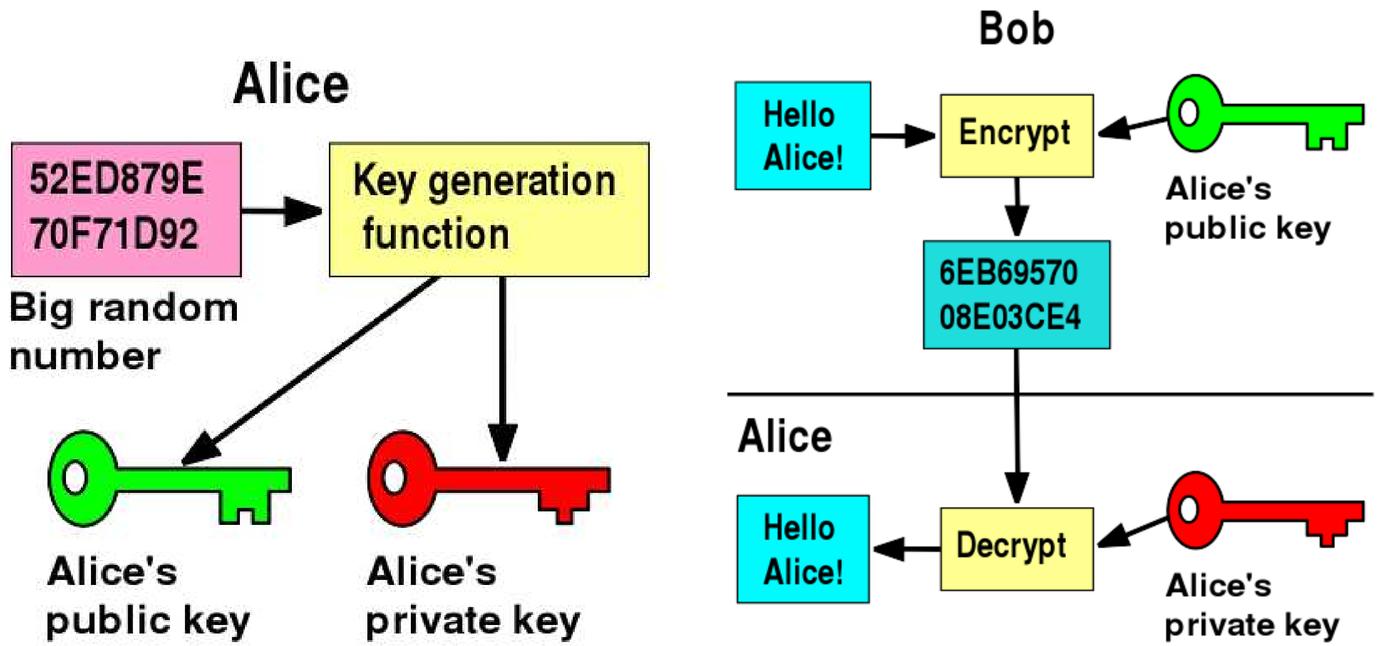
Public-key cryptography (PKC)

- For thousands of years, it was believed that the only way to establish secure communications was to first exchange a secret codebook (private key).
- PKC is a ground breaking idea in cryptography (by Merkle, Diffie and Hellman 1976)

(Ralph Merkle, Martin Hellman, Whitfield Diffie, Public Key Cryptography (PKC) Inventors (c) Chuck Painter/Stanford News Service.)

Public-key cryptography

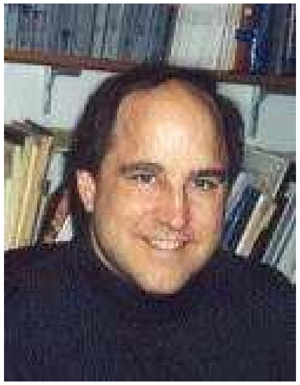
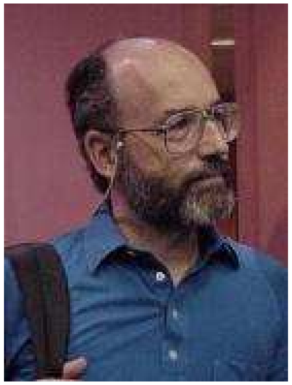
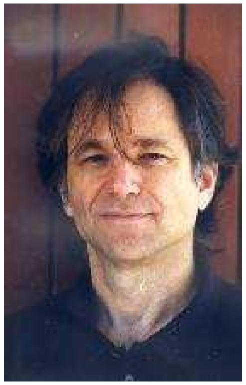
- **Example**:



(Images from Wikipedia)

RSA

- RSA is a type of PKC (by Rivest, Shamir, Adleman 1978)

Ronald Rivest Adi Shamir Len Adleman
(Images from *http://www.livinginternet.com/*)

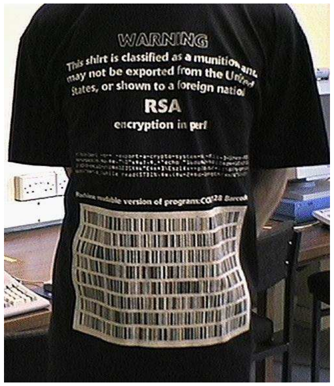
- A brief history of RSA:
  - RSA is inspired by Diffie and Hellman’s paper on PKC
  - First publicized by Martin Gardner on Scientific American in 1977
  - NSA attempts to prevent RSA being distributed
  - RSA published on CACM in 1978
  - RSA was written up by Adam Back in 5 line PERL program

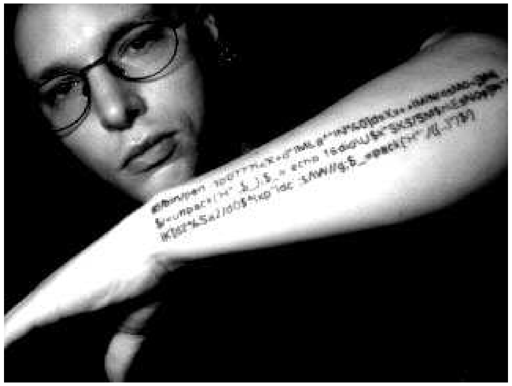
```
-export-a-crypto-system-sig -RSA-3-lines-PERL

#!/bin/perl -sp0777i<X+d*lMLa^*lN%0]dsXx++lMlN/dsM0<j]dsj
$/=unpack('H*',$_);$_=`echo 16dio\U$k"SK$/SM$n\EsN0p[lN*1
lK[d2%Sa2/d0$^Ixp"|dc`;s/\W//g;$_=pack('H*',/((..)*)$/)
```

(3-line version, from http://www.cypherspace.org/adam/rsa/)

RSA

- As usual, the US Government prohibited exporting the code outside of the country
- People started to protest and put the PERL code:
  - in their e-mail signatures,
  - on t-shirts, and
  - on their skins...





(Images from http://www.cypherspace.org/adam/rsa/)

- In Sep 2000, the US patent for RSA expired

- Making RSA keys
  -
  -
  -
  - **Bob's public key**:
  - **Bob's private key**:
- Communicate using RSA keys
  - Alice encodes a message x: $e(x) = x^e \% N$
  - Bob decodes a message: $d(e(x)) = (e(x))^d \% N$
  - If Eve wants to decode a encrypted message, she will need to
    - ▷
    - ▷
- The security of RSA is based the following simple fact
  -

RSA

- RSA is based heavily on number theory
  - modulo arithmetic
  - prime number generation
- What do we need to in RSA?
  - An algorithm to generate prime numbers with arbitrary length
  - An algorithm to compute $x^y \% N$ for arbitrary large x and y
  - An algorithm to compute the inverse of a modulo, i.e., $(x\%N)^{-1}$

Basic Arithmetic

Integer addition

- Example:

Carry:	1			1	1	1		
		1	1	0	1	0	1	(53)
		1	0	0	0	1	1	(35)
	1	0	1	1	0	0	0	(88)

- Important observation: The sum of any three single-bit (digit) numbers is at most two bits (digits) long.
- Complexity:
- Can we do better?

Integer multiplication

- What is the time complexity of multiplying two integers using the algorithms we learned in elementary schools?
  Example: how do you compute this: 1101 $\times$ 1011?

					1	1	0	1	
				×	1	0	1	1	
					1	1	0	1	(1101 times 1)
				1	1	0	1		(1101 times 1, shifted once)
			0	0	0	0			(1101 times 0, shifted twice)
+	1	1	0	1					(1101 times 1, shifted thrice)
	1	0	0	0	1	1	1	1	(binary 143)

- Complexity:

- Is there a better way of multiplying two integers than this elementary-school method?

Integer multiplication

- Russian peasant method (This is the method in Al Khwarizmi's book)
- Computing xy
  - If y is even, $x \cdot y = 2(x \cdot \frac{y}{2})$
  - If y is odd, $x \cdot y = x + 2(x \cdot \frac{y-1}{2})$
- Example: 123×77=

Integer multiplication

- Algorithm

Algorithm 0.1: MULTIPLY(x, y)

- Time complexity:

- Advantage:
  very fast and easy hardware implementation!
- Can we do better?

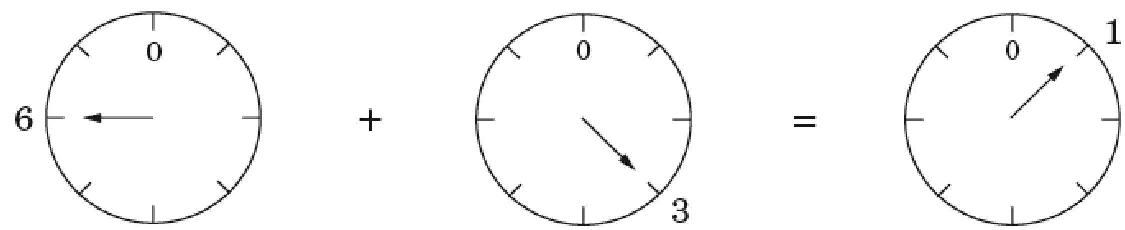
Integer division

- Computing $(q, r) = x/y$
  - If x is even,
  - If x is odd,
  - If $x < y$, $(q, r) = (0, x)$
- Example: $123/17$=
- Time complexity?

Modular Arithmetic

Definitions

Figure 1.3 Addition modulo 8.



- N divides x if $x \mod N = 0$
- $x \mod N = x\%N = x - kN$
- If $x\%N = r$, then $(x - r)\%N = 0$
- It is usually convenient to write:

$$(x \equiv y \mod N) \text{ iff } (x \mod N) = (y \mod N).$$

- Example:
  - $31 \equiv 13 \mod 3$
  - $14 \equiv 59 \mod 5$

Modulo Addition/Multiplication

- If $x \equiv x' \mod N$ and $y \equiv y' \mod N$, then:

$$x + y \equiv x' + y' \mod N$$

and

$$xy \equiv x'y' \mod N$$

- More properties:
  - $x + (y + z) \equiv (x + y) + z \mod N$ (associativity)
  - $xy \equiv yx \mod N$ (commutativity)
  - $x(y + z) \equiv xy + xz \mod N$ (distributivity)

Modulo Addition/Multiplication

- Addition: $(x\%N) + (y\%N) = (x + y)\%N$
  - Complexity:
- Multiplication $(x\%N)(y\%N) = (xy\%N)$
  - Complexity:

Modulo Exponentiation

- Exponentiation: $x^y \% N$
  - Brute force: Compute x^y then compute $x^y \% N$
    - Problem:
  - Incremental: $x \% N \rightarrow x^2 \% N \rightarrow x^3 \% N \rightarrow \cdots \rightarrow x^y \% N$
    - Problem:

Modulo Exponentiation

- Decrease-n-conquer
  - If y is even,
  - If y is odd,

Algorithm 0.2: MODEXP(x, y, N)

Greatest Common Divisor & Modular division

Definition

- **Greatest Common Divisor Problem**: Given two non-negative integers m and n, find the largest integer, denoted as $\gcd(m, n)$, that can evenly divide both m and n.
- Example: If $m = 98$ and $n = 42$, then $\gcd(m, n) =$
- How do we design an algorithm to solve this problem?

- **Observation**: the range of $\gcd(m, n)$ is in $[1, \min(m, n)]$

Algorithm 0.3: $\gcd(m, n)$

for $i = \{\min(m, n), \cdots, 1\}$
do $\begin{cases} \textbf{if } m\%i = 0 \text{ and } n\%i = 0 \\ \quad \textbf{then return } (i) \end{cases}$

- How long does the algorithm take?

- Can we do better?

Solution 2 - Prime factorization

- **Observation**: use the strategy that we learned in the middle schools, i.e., "Prime factorization".
- **Example**: $m = 98 = 2 \times 7 \times 7$ and $n = 42 = 2 \times 3 \times 7$ $\Rightarrow \mathrm{gcd}(m, n) = 2 \times 7 = 14$
- **Algorithm**: $\mathrm{gcd}(m, n)$

Algorithm 0.4: $\mathrm{gcd}(m, n)$

Perform prime factorization for m
Perform prime factorization for n
Find and multiply the common prime factors from m and n

- Well, the "algorithm" above is not really an algorithm yet, because we do not specify:

1. how to perform prime factorization on an integer?
2. how to find the common numbers from two lists of integers?

Solution 2 - Prime factorization

- **Problem**: Given an integer n, find a sequence of prime numbers S, whose multiplication is n.
- Find a list of prime numbers P that are smaller than n

Algorithm 0.5: PRIME FACTORIZATION(n)

$i \leftarrow 2$

while $i < n$

do $\begin{cases} \textbf{if } n\%i = 0 \\ \quad \textbf{then } \begin{cases} S \leftarrow i \\ n \leftarrow \frac{n}{i} \end{cases} \\ \quad \textbf{else } i \leftarrow \text{next prime number} \end{cases}$

Solution 2 - Prime factorization

- **Problem**: Given two lists of numbers, P_m and P_n, find a list of the common numbers P_c from P_m and P_n.
- **Example**: $P_m = \{2, 7, 7\}, P_n = \{2, 3, 7\} \Rightarrow P_c = \{2, 7\}$
- Algorithm

Algorithm 0.6: COMMON ELEMENTS(P_m, P_n)

comment: initially we create an empty list P_c

for each $i \in P_m$

do { **if** $i \in P_n$
  then { $P_c \leftarrow i$
    remove i from P_n

Solution 3 - Euclidean Algorithm

- **Observation 1**: $\gcd(m, n) = \gcd(n, m\%n)$
- **Observation 2**: $\gcd(m, 0) = m$

Proof.

- 

(image of Euclid)

- **Example**: $\gcd(98, 42) =$
- Algorithm

Algorithm 0.7: $\gcd(m, n)$

Solution 3 - Euclidean Algorithm

- Time complexity of Algorithm 0.7?
  - Hint: If $a \geq b$, then $a\%b < a/2$

An extension of Euclid's algorithm

- GCD is key to dividing in the modular world
- **Lemma**: If d divides both a and b and $d = ax + by$ for some integers x and y, then $d = \gcd(a, b)$.
  - *proof*:
- Example: $\gcd(13, 4) = 1$, $13 \cdot 1 + 4 \cdot (-3) = 1$

Algorithm 0.8: EXT-gcd**(**a, b**)**

Solution 3 - Euclidean Algorithm

- Is Algorithm 0.8 correct?

- Time complexity of Algorithm 0.8?

Modulo division

- In real number arithmetic, $b/a = b \cdot 1/a = b \cdot a^{-1}$

- For modulo division, $(b\%N)/(a\%N) = (b\%N)(a^{-1}\%N)$
  - We need to define a^{-1}
  - $x = a^{-1}$ if $ax \equiv 1 \mod N$
  - $ax \equiv 1 \mod N \Rightarrow ax + Ny = 1 \Rightarrow \gcd(a, N) = 1$

- Modular division theorem. For any $a \mod N$, a is invertible if a and N are relatively prime. If a is invertible, a^{-1} can be found in time $O(n^3)$ ($n = \log N$) using the extended Euclid algorithm.

Generate random primes

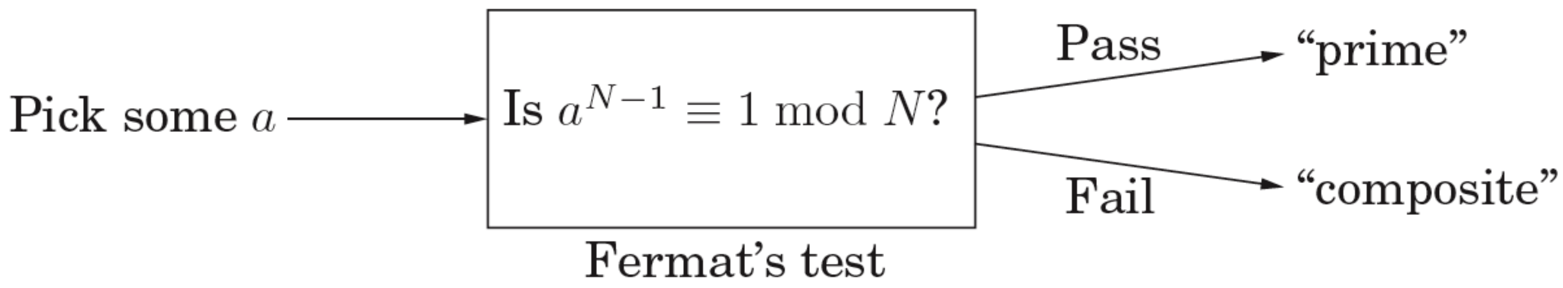
Primality testing

- Given a number p how do we know if p is a prime?
- We wish to answer this without trying to factor p.
- We do this based on Fermat's little theorem (AD 1640)
  - If p is a prime, then for every $1 \leq a < p$,

$$a^{p-1} \equiv 1 \mod p$$

  - *proof.*

- Our 1st attempt



- **Problem**: Note that the theorem is "If p is prime, then ...." But our test above is taking another direction "If $a^{N-1} \equiv 1 \mod N$, then N is prime.
- **Consequence**: Some non-prime (composite) number may have some such a which satisfies the "If" statement above.
  - In fact, there are a set of (very rare) numbers that have *all* such $1 \leq a < p$ which satisfies the "If" statement above. These numbers are called "Carmichael numbers." (We will ignore these numbers for now)

Primality testing

- **Lemma**: If $a^{N-1} \not\equiv 1 \mod N$ for some a which is relatively prime to N, then there must have at least $\frac{N}{2}$ of such $a < N$.
  - *proof*:

- This basically means:
  - If N is prime, $a^{N-1} \equiv 1 \mod N$ for all $a < N$
  - If N is not prime, $a^{N-1} \equiv 1 \mod N$ for $< \frac{N}{2}$ number of $a < N$

Primality testing

- Our strategy: Run our 1st algorithm k times
  - Pr(1st algorithm returns 'yes' and N is prime)=1
  - Pr(1st algorithm returns 'yes' and N is not prime) $\leq \frac{1}{2}$
  - Pr(All k instances of 1st algorithm return 'yes' and N is not prime) $\leq \frac{1}{2^k}$
  - The error decreases 'exponentially'
- Our 2nd attempt

Algorithm 0.9: PRIMIALITY$2(N)$

Generate a random prime

- Observation: There are many prime numbers.
  - **Lagrange's prime number theorem**. Let $\pi(x)$ be the number of primes $\leq x$, then $\pi(x) \approx \frac{x}{\ln x}$.
  - Given a n-bit long number N, there are about $\frac{N}{n}$ prime numbers
- Now we describe a brute force method to generate a random prime number:

Algorithm 0.10: RANDOMPRIME(n)

- What is the time complexity of RANDOMPRIME?

Conclusion

Back to RSA

- Making RSA keys
  - Two prime numbers p and q and $N = pq$.
  - e be any relative prime to $(p-1)(q-1)$
  - $d = (e\%(p-1)(q-1))^{-1}$
- Communicate using RSA keys
  - Alice encodes a message x: $e(x) = x^e\%N$
  - Bob decodes a message: $d(e(x)) = (e(x))^d\%N$
- Why does it work? We will show that $(x^e\%N)^d = x\%N$
  - *proof*:

Summary

- We talked about
  - Basic/Modulo arithmetic
  - GCD
  - Primality and prime number generation
  - Private/Public key cyrptography
  - RSA
- We've walked through Chapter 1.1-1.4. (Please read 1.5, hashing)