

# CS 483 - Data Structures and Algorithm Analysis

## Lecture I: Chapter 1

R. Paul Wiegand

George Mason University, Department of Computer Science

January 25, 2006

# Outline

- 1 Introduction
- 2 Algorithms & Problems
- 3 Fundamentals
- 4 Problem Types
- 5 Data Structures
- 6 Homework

# Personal & Course Introduction

## ■ Personal Introduction:

- Current position & Research interests
- Industry experience
- Personal expectations

## ■ Course Introduction:

- Course title & topic
- Degree requirement & Pre-req's
- Hand out info sheet

## ■ Course Syllabus:

- Office hours and contact info
- Grading, projects, & homeworks
- Cheating
- Course schedule

## ■ How to succeed:

- Be curious & motivated
- Read!! (BEFORE class)
- Build good habits that work for you
- Ask for help

Syllabus: <http://www.cs.gmu.edu/~pwiegand/cs483>

# Motivating the Course

- Why this course matters:
  - Forrest for the trees
  - Making educated & informed decisions
  - Need as designer *AND* implementor
  - Engineer versus technician
- Personal reflections:
  - “Don’t know Big-O stuff!”
  - “The JDK comes with a `SORT` routine...”
  - Etc.
- Key ideas (from Henry Hamburger)

# Computational Problems

What is a *computational problem*?

# Computational Problems

What is a *computational problem*?

- Problem statement

- The *statement of a problem* specifies in general terms the relationship between input and output
- Example Sort a set of numbers in non-decreasing order (*sorting problem*)

**Input:**  $\langle a_1, a_2, \dots, a_n \rangle$

**Output:**  $\langle a'_1, a'_2, \dots, a'_n \rangle : a'_1 \leq a'_2 \leq \dots \leq a'_n$

- Problem instance

- A *problem instance* consists of the input, satisfying whatever constraints are imposed by the problem statement) needed to compute a “solution” to the problem.
- Example problem instance:

**Input:**  $\langle 4, 6, 7, 1, 9, 3, 8, 10, 5, 2 \rangle$

**Output(solution):**  $\langle 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 \rangle$

- Are problems inherently *hard* (or harder than others)?

# Algorithms

What is an *algorithm*?

# Algorithms

What is an *algorithm*?

- Algorithm

- A recipe, a list of instructions , a transformation of data ... ?



# Algorithms

What is an *algorithm*?

- Algorithm

- A recipe, a list of instructions , a transformation of data ... ?
- Cormen *et al.*: An *algorithm* is any well-defined computation procedure that takes some value, or set of values, as input and produces value, or set of values, as output.
- Levitin: An *algorithm* is a sequence of unambiguous instructions for solving a problem, i.e., for obtaining a required output for any legitimate input in a finite amount of time.
- In a sense, algorithms are *“procedural solutions to problems”*

# Algorithms

What is an *algorithm*?

- Algorithm

- A recipe, a list of instructions , a transformation of data ... ?
- Cormen *et al.*: An *algorithm* is any well-defined computation procedure that takes some value, or set of values, as input and produces value, or set of values, as output.
- Levitin: An *algorithm* is a sequence of unambiguous instructions for solving a problem, i.e., for obtaining a required output for any legitimate input in a finite amount of time.
- In a sense, algorithms are *“procedural solutions to problems”*

- Important point about algorithms

- Unambiguous instructions
- Input range specified carefully
- Multiple representations for same algorithm
- Multiple algorithms for solving the same problem
- Different alg. based on different ideas with different trade-offs

## Example: Greatest Common Divisor

**Input:**  $m, n \in \mathbb{N}$ , where  $(m \geq 0 \wedge n > 0) \vee (m > 0 \wedge n \geq 0)$

**Output:** Largest integer that divides both  $m$  and  $n$  evenly

EUCLID( $m, n$ )

```
while  $n \neq 0$  do
   $r \leftarrow m \bmod n$ 
   $m \leftarrow n$ 
   $n \leftarrow r$ 
return  $m$ 
```

CONSECUTIVEINTEGER( $m, n$ ):

```
step-1:  $t \leftarrow \min\{m, n\}$ 
step-2: if  $\frac{m}{t} \in \mathbb{N}^+$ , goto step-4
step-3: if  $\frac{n}{t} \in \mathbb{N}^+$ , return  $t$ 
step-4:  $t \leftarrow t - 1$ , goto step-2
```

- Are these algorithms guaranteed to stop?
- Are there different input restrictions?
- Look over the “middle-school method” in the book ...

# Steps for Designing Algorithms

- Understand the problem
- Assess computational resources (memory, speed, etc.)
- Decide between an *exact* or *approximate* algorithm
- Choose appropriate *data structures*
- Specify an algorithm in pseudo-code
- Prove *correctness*
- Analyze the algorithm
- Implement & test the algorithm

# Issues Surrounding the Design of Algorithms

- An algorithm is *correct* if it produces the required result for every legitimate input
- An *exact* algorithm produces solutions to problems that are exactly correct.
- An *approximate* algorithm produces solutions to problems that are approximately correct.
- A *data structure* is a way to store and organize (related) information in order to facilitate access and modification.
- Algorithm analysis:
  - Efficiency (time, space): how algorithms *scale* wrt input size
  - Simplicity
  - Generality
    - Type of problems solved
    - Range of inputs accepted

# Sorting

- Arrange a set of values in a total or partial ordering
- Often make use of a *key* for sorting more complicated data
- With key-comparison based sorts, cannot do better than  $n \lg n$  time
- Sorting algorithms are *stable* if given two elements with equal key values at positions  $i$  and  $j$  such that  $i < j$ , after the sort they will appear in positions  $i'$  and  $j'$  such that  $i' < j'$ .
- Sorting algorithms are called *in place* sorts if they do not require more than a constant amount of memory beyond what is stored in the list.

# Searching & String Processing

## ■ Searching

- Find a given value, called a *search key*, in a set of values
- A variety of algorithms exist (sequential search, binary search, etc.)
- Sometimes data are stored in data structures that make them more conducive for searching (hash maps, red-black trees, etc.)
- Engineers have to pay attention to applications where the underlying data may change frequently relative to the number of searches.

## ■ String Processing

- A *string* is a sequence of characters from some well-defined alphabet (e.g., binary strings)
- Large class of problems dealing with the handling of strings
- An example problem is *string matching*: Find the positions of a substring in a master string.

# Graph & Combinatorial Problems

## ■ Graph Problems

- A *graph* is a collection of vertices, some of which are connected by edges
- Traditional examples: graph traversal, finding shortest-path, finding minimum spanning tree, etc.
- Can be computationally very hard
- Examples of hard graph problems:
  - Traveling salesperson problem
  - Graph coloring problem

## ■ Combinatorial Problems

- Problems in which one must find a combinatorial object that satisfies certain constraints and has some desired property
- Tend to be the hardest types of computational problems
- Many graph problems *are* combinatorial problems



# Graph & Combinatorial Problems

## ■ Graph Problems

- A *graph* is a collection of vertices, some of which are connected by edges
- Traditional examples: graph traversal, finding shortest-path, finding minimum spanning tree, etc.
- Can be computationally very hard
- Examples of hard graph problems:
  - Traveling salesperson problem
  - Graph coloring problem

Find the shortest tour that visits all connected vertices exactly once

## ■ Combinatorial Problems

- Problems in which one must find a combinatorial object that satisfies certain constraints and has some desired property
- Tend to be the hardest types of computational problems
- Many graph problems *are* combinatorial problems

# Graph & Combinatorial Problems

## ■ Graph Problems

- A *graph* is a collection of vertices, some of which are connected by edges
- Traditional examples: graph traversal, finding shortest-path, finding minimum spanning tree, etc.
- Can be computationally very hard
- Examples of hard graph problems:
  - Traveling salesperson problem
  - Graph coloring problem

Assign the smallest number of colors to vertices of a graph so that no two adjacent vertices are the same color

## ■ Combinatorial Problems

- Problems in which one must find a combinatorial object that satisfies certain constraints and has some desired property
- Tend to be the hardest types of computational problems
- Many graph problems *are* combinatorial problems

# Geometric & Numerical Problems

- Geometric Problems
  - Geometric problems deal with geometric objects (e.g., points, lines, polygons, etc.)
  - For example:
    - Closest-pair problem
    - Convex hull problem
  - These are *different* than graph problems!

# Geometric & Numerical Problems

## ■ Geometric Problems

- Geometric problems deal with geometric objects (e.g., points, lines, polygons, etc.)
- For example:
  - Closest-pair problem
  - Convex hull problem
- These are *different* than graph problems!

Given  $n$  points, find the pair of points with the minimum distance between them

# Geometric & Numerical Problems

## ■ Geometric Problems

- Geometric problems deal with geometric objects (e.g., points, lines, polygons, etc.)
- For example:
  - Closest-pair problem
  - Convex hull problem
- These are *different* than graph problems!

Given  $n$  points in a set, find the smallest convex polygon that contains all these points.

# Geometric & Numerical Problems

## ■ Geometric Problems

- Geometric problems deal with geometric objects (e.g., points, lines, polygons, etc.)
- For example:
  - Closest-pair problem
  - Convex hull problem
- These are *different* than graph problems!

## ■ Numerical Problems

- Problems involving continuous mathematical objects
- For example:
  - Solving systems of equations
  - Computing derivatives & definite integrals
  - Optimizing numerical functions, etc.

# Linear Data Structures: Elementary data structures

The following are two elementary data structures useful for produce more abstract linear data structures called *lists* (a finite sequence of data items)

**array** — A sequence of  $n$  items of the same data type stored contiguously in memory and accessible using an *index*

- Pre-established, fixed size
- Constant time access, insertion and deletion can be challenging
- Example: bit string, 1001101

**linked list** — A sequence of zero or more *nodes*, each containing data and *pointer*(s) to other node(s)

- Not necessarily fixed in size
- Linear time access, insertion and deletion are simpler
- Linked lists can be *single-linked* or *doubly-linked*
- Linked lists can have a *header*, which stores useful information (e.g., length)

# Linear Data Structures: Advanced data structures

The following are two special types of lists.

**stack** — A list in which insertions and deletions can only be done at one end

- LIFO – last in, first out
- May be implemented by an array or a linked list
- Basic operations: PUSH, POP

**queue** — A list in which elements are accessed & deleted from one end (front) and inserted at the other end (rear)

- FIFO – first in, first out
- May be implemented by an array or a linked list
- Basic operations: ENQUEUE, DEQUEUE
- Position in a queue can be determined using a *priority* (priority queues)

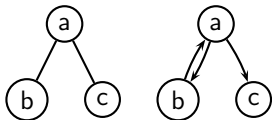


# Graphs: Simple

- Graphs are collections of points called *vertices* and line segments, called *edges*, connecting (some of the) vertices
- Formally:  $G := \langle V, E \rangle$ , where  $V$  is a finite set of labels corresponding to vertices (e.g.,  $V := \{a, b, c\}$ ) and  $E$  is a finite set of pairs of these items (e.g.,  $E := \{(a, b), (a, c)\}$ )
- *Undirected graph*: Edges are unordered, i.e.,  $(a, b) = (b, a)$
- *Directed graph*: Edges are ordered and thus imply a direction

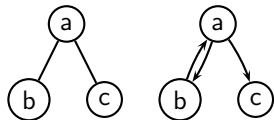
# Graphs: Simple

- Graphs are collections of points called *vertices* and line segments, called *edges*, connecting (some of the) vertices
- Formally:  $G := \langle V, E \rangle$ , where  $V$  is a finite set of labels corresponding to vertices (e.g.,  $V := \{a, b, c\}$ ) and  $E$  is a finite set of pairs of these items (e.g.,  $E := \{(a, b), (a, c)\}$ )
- *Undirected graph*: Edges are unordered, i.e.,  $(a, b) = (b, a)$
- *Directed graph*: Edges are ordered and thus imply a direction



# Graphs: Simple

- Graphs are collections of points called *vertices* and line segments, called *edges*, connecting (some of the) vertices
- Formally:  $G := \langle V, E \rangle$ , where  $V$  is a finite set of labels corresponding to vertices (e.g.,  $V := \{a, b, c\}$ ) and  $E$  is a finite set of pairs of these items (e.g.,  $E := \{(a, b), (a, c)\}$ )
- *Undirected graph*: Edges are unordered, i.e.,  $(a, b) = (b, a)$
- *Directed graph*: Edges are ordered and thus imply a direction



- *Complete*—every pair of vertices is connected by an edge
- *Dense*—most vertices are connected
- *Sparse*—few vertices are connected

# Graphs: Representation

**adjacency matrix** — Enumerate vertices in  $\{1 \dots n\}$ , create an  $n \times n$  matrix of boolean values indicating whether an edge exists between the specified vertices

	<i>a</i>	<i>b</i>	<i>c</i>
<i>a</i>	0	1	1
<i>b</i>	1	0	0
<i>c</i>	1	0	0

- Undirected graphs result in symmetric matrices
- Easily determine if an edge exists, requires space
- Good for dense graphs

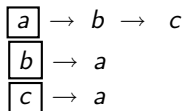
# Graphs: Representation

**adjacency matrix** — Enumerate vertices in  $\{1 \dots n\}$ , create an  $n \times n$  matrix of boolean values indicating whether an edge exists between the specified vertices

	<i>a</i>	<i>b</i>	<i>c</i>
<i>a</i>	0	1	1
<i>b</i>	1	0	0
<i>c</i>	1	0	0

- Undirected graphs result in symmetric matrices
- Easily determine if an edge exists, requires space
- Good for dense graphs

**adjacency list** — Create a linked list for each vertex containing the vertices to which that vertex is connected



- Somewhat more difficult to determine edge existence, more compact in space
- Good for sparse graphs

# Graphs: Weights, Paths, & Cycles

- We refer to a *weighted graph* when there are costs or values associated with the edges in a graph
  - Adjacency matrix: Use numeric values in cells of the matrix, special character for no-edge (e.g.,  $\infty$ )
  - Adjacency list: Attach values to nodes in the linked list

# Graphs: Weights, Paths, & Cycles

- We refer to a *weighted graph* when there are costs or values associated with the edges in a graph
  - Adjacency matrix: Use numeric values in cells of the matrix, special character for no-edge (e.g.,  $\infty$ )
  - Adjacency list: Attach values to nodes in the linked list
- Properties of graphs:
  - A *path* a sequence of adjacent vertices connected by an edge
  - A path is called *simple* if all edges are distinct
  - Path *length* is the total number of vertices in the sequence
  - A *directed path* is a sequence of vertices in which every consecutive pair of vertices is connected by an edge directed from the vertex listed first the next one
  - A *graph* is *connected* if a path exists for every pair of vertices
  - A *cycle* is a simple path of positive length that starts and ends with the same vertex
  - A graph is said to be *acyclic* if it admits no cycles

# Graphs: Trees

What is a tree? What is a forrest?



# Graphs: Trees

A (free) *tree* is a connected, acyclic graph. A *forest* is multiple trees, or an unconnected, acyclic graph.

# Graphs: Trees

A (free) *tree* is a connected, acyclic graph. A *forest* is multiple trees, or an unconnected, acyclic graph.

- $|E| = |V| - 1$
- For every two vertices, there's always *exactly one* simple path between them
- $\therefore$  we can select an arbitrary vertex to be the *root*
- For any  $v \in T$ , all vertices on the path between the root and  $v$  are called *ancestors*
- The last edge on that path before  $v$  is called the *parent*,  $v$  is the *child* of that node, etc.
- A vertex with no children is called a *leaf*
- A vertex with all its descendants is called a *subtree*
- The *depth*  $v$  is the length of the simple path from the root to  $v$
- The *height* of a tree is the length of the longest simple path from

# Sets & Dictionaries

What is a set?

# Sets & Dictionaries

A *set* is an unordered collection (possibly empty) of distinct items.

# Sets & Dictionaries

A *set* is an unordered collection (possibly empty) of distinct items.

- We can implement a set as a bit vector over the *universal set*
- We can implement a set with a list structure (with insertion constraints)
- A *multiset* or *bag* is a set without the uniqueness constraint (an unordered collection of objects)
- Basic operations of a multiset: SEARCH, INSERT, DELETE
- A basic data structure that accomplishes these operations is a *dictionary*
- Sometimes we need to dynamically partition some  $n$ -element set into a collection of disjoint sets.
- Sometimes we need to take the union or intersection of sets

# Assignments

- Section 1.1: Problems 5, 7, 9
- Section 1.2: Problems 4, 5, 7
- Section 1.3: Problems 1, 4, 8, 9\*
- Section 1.4: Problems 2, 4, 6\*, 9

\*Challenge problem