# Detecting Defects

CS 695 / SWE 699: Programming Tools Fall 2023



- Part 1 Lecture(~45 mins)
  - 10 min break
- Part 2: Tech Talks (30 mins)
  - Two tech talks
- Part 3: In-Class Activity(1 hour)

## Today

CS 695 / SWE 699 Fall 2023

# Logistics

#### • HW 4 due 11/29

## Overview

• Where do defects come from?

• How can defects be prevented?

developers?

### How should potential defects be communicated to

- 1. Omitted logic
- Failure to reset data
- 3. Regression error
- 4. Documentation in error
- 5. Requirements inadequate

- 6. Patch in error
- 7. Commentary in error
- 8. IF statement too simple
- 9. Referenced wrong data variable
- Data alignment error
- 11. Timing error causes data loss

[Glass TSE81]

12. Failure to initialize data

LaToza

Code is lacking which should be present. Variable A is assigned a new value in logic path X but is not reset to the value required prior to entering path Y.

ta Reassignment of needed value to a variable omitted. See example for "omitted logic."

Attempt to correct one error causes another.

ror Software and documentation conflict; software is correct. User manual says to input a value in inches, but program consistently assumes the value is in centimeters.

quate Specification of the problem insufficient to define the desired solution. See Figure 4. If the requirements failed to note the interrelationship of the validity check and the disk schedule index, then this would also be a requirements error.

> Temporary machine code change contains an error. Source code is correct, but "jump to 14000" should have been "jump to 14004."

Source code comment is incorrect. Program says DO I=1,5 while comment says "loop 4 times."

Not all conditions necessary for an IF statement are present.

IF A<B should be IF A<B AND B<C.

ata variable Self-explanatory See Figure 3. The wrong queues were referenced.

r Data accessed is not the same as data desired due to using wrong set of bits. Leftmost instead of rightmost substring of bits used from a data structure.

es data loss Shared data changed by a process at an unexpected time. Parallel task B changes XYZ just before task A used it.

ize data Non-preset data is referenced before a value is assigned.

5

Gould [14] Novice Fortran	Assignment bug Iteration bug Array bug	Software errors in assigning variables' values Software errors in iteration algorithms Software errors in array index expressions	Requires understanding of behavior Requires understanding of language Requires understanding of language
Eisenberg [15] Novice APL	Visual bug	Grouping related parts of expression	
	Naive bug	Iteration instead of parallel processing	'need to think step-by-step'
	Logical bug	Omitting or misusing logical connectives	
	Dummy bug	Experience with other languages interfering	'seem to be syntax oversights'
	Inventive bug	Inventing syntax	
	Illiteracy bug	Difficulties with order of operations	
	Gestalt bug	Unforeseen side effects of commands	'failure to see the whole picture'

Adapted from Ko & Myers, JVLC05

Knuth [18] While writing TeX in	Algorithm awry	Imprope
SAIL and Pascal	Blunder or botch	Accident to specifi
	Data structure debacle	Software
	Forgotten function	Missing
	Language liability	Misunde environn
	Module mismatch	Imperfec specificat
	Robustness	Not han
	Surprise scenario	Unforese program
	Trivial typos	Incorrect

Adapted from Ko & Myers, JVLC05

erly implemented ms	'provedincorrect or inadequate'
tally writing code not fications	'notenough brainpower'
e errors in using data es	'did not preserveinvariants'
implementation	'I did not remember everything'
erstanding language/ ment	
ctly knowing	'I forgot the conventions I had built'
ndling erroneous input	'tried to make the code bullet- proof''
een interactions in 1 elements	'forced me to change my ideas'
et syntax, reference, etc.	'my original pencil draft was correct'

Eisenstadt [19] Industry experts COBOL, Pascal, Fortran, C Clobbered memory Overwriting memory, subscript out of bounds Also identified why software errors were difficult to find: cause/effect chasm; tools inapplicable; failure did not actually happen; faulty knowledge of specs; "spaghetti" code.

Vendor problems	Bugg
	hardv
Design logic	Unan
	algori
nitialization	Erron
	of var
Variable	Wron
	used
Lexical bugs	Bad p
Language	Misur
	semar

Adapted from Ko & Myers, JVLC05

- y compilers, faulty
- vare
- ticipated case, wrong
- ithm
- neous type or initialization
- riables
- ng variable or operator

Bad parse or ambiguous syntax Misunderstandings of language semantics

- Ko & Myers proposed a model for understanding the *cognitive* causes of defects
- Latent errors becomes active errors when they breach defenses of system



Adapted from Ko & Myers, JVLC05

# Skill / Rule / Knowledge

- James Reason proposed a taxonomy of cognitive breakdowns based on differences in type of cognition being used
- Skill-based activity: routine, proceduralized activity • e.g., typing a string, opening a source file, compiling a
  - program
- Rule-based activity: use of rules for acting in certain contexts
  - e.g., starting to type a for loop in order to perform an action on each element of a list
- Knowledge-based activity: forming plans & making highlevel decisions based on knowledge of program
  - e.g., forming a hypothesis about cause of runtime failure

Adapted from Ko & Myers, JVLC05

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# Types of skill breakdowns

Inattention	Type
Failure to attend to a routine action at a critical time causes forgotten actions, forgotten goals, or inappropriate actions.	Strong habit intrusion
	Interruptions
	Delayed action
	Exceptional stimuli Interleaving
Overattention	Туре
Attending to routine action causes false assumption about progress of action.	Omission
	Repetition

Adapted from Ko & Myers, JVLC05

Events resulting in breakdown

```
In the middle of a sequence of actions \rightarrow no
attentional check \rightarrow contextually frequent action
is taken instead of intended action
```

External event  $\rightarrow$  no attentional check  $\rightarrow$  action skipped or goal forgotten Intention to depart from routine activity  $\rightarrow$  no attentional check between intention and action  $\rightarrow$ forgotten goal Unusual or unexpected stimuli  $\rightarrow$  stimuli overlooked  $\rightarrow$  appropriate action not taken Concurrent, similar action sequences  $\rightarrow$  no attentional check  $\rightarrow$  actions interleaved

Events resulting in breakdown

Attentional check in the middle of routine actions  $\rightarrow$  assumption that actions are already completed  $\rightarrow$  action skipped Attentional check in the middle of routine actions  $\rightarrow$  assumption that actions are not completed  $\rightarrow$ action repeated

# Types of rule breakdowns

Wrong rule	Type
Use of a rule that is successful in most contexts, but not all.	Problemati
	Informatio overload
	Favored ru
	Favored si
	Rigidity

Bad rule	Type
Use of a rule with problematic conditions or actions.	Incomplete
	Inaccurate encoding
	Exception rule Wrong act

#### Events resulting in breakdown

tic signs	Ambiguous or hidden signs $\rightarrow$ conditions evaluated with insufficient info $\rightarrow$ wrong rule chosen $\rightarrow$ inappropriate action
on	Too many signs $\rightarrow$ important signs missed $\rightarrow$ wrong rule chosen $\rightarrow$ inappropriate action
ules	Previously successful rules are favored $\rightarrow$ wrong rule chosen $\rightarrow$ inappropriate action
igns	Previously useful signs are favored $\rightarrow$ exceptional signs not given enough weight $\rightarrow$ wrong rule chosen $\rightarrow$ inappropriate action Familiar, situationally inappropriate rules preferred over unfamiliar, situationally appropriate rules $\rightarrow$ wrong rule chosen $\rightarrow$ inappropriate action
	Events resulting in breakdown
e	Some properties of problem space are not encoded $\rightarrow$ rule conditions are immature $\rightarrow$ inappropriate action
•	Properties of problem space encoded inaccurately $\rightarrow$ rule conditions are inaccurate $\rightarrow$ inappropriate action
proves	Inexperience $\rightarrow$ exceptional rule often inappropriate $\rightarrow$ inappropriate action
tion	Condition is right but action is wrong $\rightarrow$ inappropriate action

# Types of knowledge breakdowns

Bounded rationality	Type	Events resulting in breakdown
Problem space is too large to explore because working memory is limited and costly.	Selectivity	Psychologically salient, rather than logically important task information is attended to $\rightarrow$ biased knowledge
	Biased reviewing	Tendency to believe that all possible courses of action have been considered, when in fact very few have been considered $\rightarrow$ suboptimal strategy
	Availability	Undue weight is given to facts that come readily to mind $\rightarrow$ facts that are not present are easily ignored $\rightarrow$ biased knowledge
Faulty models of problem space	Туре	Events resulting in breakdown
Formation and evaluation of knowledge leads to incomplete or inaccurate models of problem space.	Simplified causality	Judged by perceived similarity between cause and effect $\rightarrow$ knowledge of outcome increases perceived likelihood $\rightarrow$ invalid knowledge of causation
• •	Illusory correlation	Tendency to assume events are correlated and develop rationalizations to support the belief $\rightarrow$ invalid model of causality
	Overconfidence	False belief in correctness and completeness of knowledge, especially after completion of elaborate, difficult tasks → invalid, inadequate knowledge
	Confirmation bias	Preliminary hypotheses based on impoverished data interfere with later interpretation of more abundant data → invalid, inadequate hypotheses

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## Breakdown chain example (Part 1)

P2 has difficulty creating the specifications for the Boolean logic to check if all of the dots are eaten, as evidenced by verbal utterances; also, part of the expression was obscured, and she though the "BigDot" reference was off-screen.





She only forms one hypothesis about the cause of the failure, which is incorrect.

This causes a breakdown in modifying the Boolean logic.

Adapted from Ko & Myers, JVLC05

### Breakdown chain example (Part 1)

Because camera was pointing down at Pac, she was unaware that Pac was bouncing.

The fact that Pac doesn't seem to be bouncing leads her to believe he is not.

After 20 minutes, P2 reorients the camera and notices that Pac is bouncing, but assumes it was due to more recent changes and not the earlier error.

Adapted from Ko & Myers, JVLC05

![](_page_14_Figure_7.jpeg)

## Causes of defects: API misuse

- Components expose APIs which have rules about how they should be used
- What types of rules do components impose?

## Causes of defects: API misuse

- Based on survey of APIs, categorized directives APIs impose on clients
- Restrictions on when to call
  - Do not call from UI thread, for debugging use only
- Protocols specifying ordering constraints
  - Method must only be called once, method must be called prior to other method
- Locking describing thread synchronization
- Restrictions on possible parameter values
  - String.replaceAll() should not include \$ or \ characters in replacement string

Uri Dekel and James D. Herbsleb. 2009. Improving API documentation usability with knowledge pushing. In Proceedings of the 31st International Conference on Software Engineering (ICSE '09), 320-330.

### Causes of defects: Object protocol misuse

- classes used protocols
- Most frequent causes:
  - *m* without first calling initializing method *i*
  - *m* after calling a deactivation method *d*
  - Type Qualifier (16.4%): object enters a state during which method *m* will always fail

Nels E. Beckman, Duri Kim, and Jonathan Aldrich. 2011. An empirical study of object protocols in the wild. In Proceedings of the 25th European conference on Object-oriented programming (ECOOP'11), Mira Mezini (Ed.). Springer-Verlag, Berlin, Heidelberg, 2-26.

 Examined Java code for presence of protocols, found 7.2% of types defined protocols & 13% of

Initialization (28.1%): calls to an instance method

• Deactivation (25.8%): calls to an instance method

## Causes of defects in JavaScript

- Examined 502 bug reports from 19 repos, categorizing the cause of each error
- Most common types of errors:
  - Erroneous input validation (16%): inputs passed into JS code are not validated or sanitized
  - Error in writing a string literal (13%): incorrect CSS selectors, regular expressions, forgetting prefixes, etc.
  - Forgetting null / undefined check (10%)
  - Neglecting differences in browser behavior (9%): differences in behavior of browser API across browsers
  - Errors in syntax (7%)

Ocariza et al, A Study of Causes and Consequences of Client-Side JavaScript Bugs, TSE 2016

#### **51%** CALLBACK IDIOMS

BIND TARGETS IDENTIFYING OR CHOOSING AN EVENT, LIFECYCLE HOOK, C TRIGGER TO REGISTER A CALLBACK

CB1 Unidentified Target:

desired bind target  $\rightarrow$  target name & code fragment

**CB2** Constrained Target:

bind target code fragment → API rules making fragment (in)valid **CB3** Confused Target:

current & desired bind targets  $\rightarrow$  API use differences, new target's code fragment

**5%** CALLBACK CONTEXTS IDENTIFYING WHEN THE CALLBACK IS DISPATCHED, USING ITS ARGUMENTS, OR OTHER RELATED OBJECTS

CB4 Improper Scheduling:

callback code fragments & desired schedule → correct callback order & code CB5 Unidentified State:

desired state → API rationale for identifying state & code fragment to obtain CB6 Missed Callbacks:

callback code fragment  $\rightarrow$  API rationale & state required for callback to occur

**23%** BIND CONFIGURATIONS SETTING OPTIONS OF A CALLBACK TRIGGER, OR MODIFYING PARAMETERS OF ITS BIND MECHANISM

**CB7** Incorrect Bind Parameters:

callback parameter fragments & desired behavior  $\rightarrow$  correct code fragments **CB8** *Misconfigured Framework:* 

framework configuration fragments & desired behavior ightarrow correct framework

40% OBJECT-IN

#### **21%** VALID REFERENCES DETERMINING DEFINED STANDARD, OR FRAMEWORK IDENTIFIERS AT COMPILE TIME OR RUNTIME

**OB1** Inactionable Reference Error:

statement generating error & error message  $\rightarrow$  explanation of error message **OB2** Silent Invalid Reference:

invalid statement  $\rightarrow$  warning message & statement fixing warning

#### **BACK-END REQUESTS** SENDING STRUCTURED DATA TO A SERVER, OR HANDLING SERVER RESPONSES

**OB6** Misconfigured Request:

back-end request & desired behavior  $\rightarrow$  modified request matching behavior **OB7** Unclear Transmission:

back-end request as sent → back-end request as received **OB8** *Mishandled Response:* 

back-end request  $\rightarrow$  code fragment for response(s) listening and parsing

8% SCOPE CONTEXTS IDENTIFYING THE CONTEXT GIVEN TO THE KEYWORD this WITHIN A CODE BLOCK, OR A VARIABLE'S VISIBILITY

**OB12** Unclear Scope: this statement → owner scope of this

	42% GRAPHICAL IDIOMS
OR	<b>37% GRAPHICAL SETTERS</b> UPDATING GRAPHICAL PROPERTIES OF THE LAYOUT VIA API (DOM ACCESS METHODS, CSS SELECTORS)
	GB1 Unidentified Setter: visual property change → code fragment to mutate property GB2 Unobservable Setter:
ment	setterA & visual property change → setterB to mutate property GB3 Indirect Setter: setterA → elements which inherit properties from setterA or occlude mutations
	GB4 Overwritten Setter: setterA → setterB overwriting setterA & code fragments with alternative fixes
fix	<b>21% GRAPHICAL QUERIES</b> RETRIEVING GRAPHICAL ELEMENTS OR SIMILAR REPRESENTATIONS VIA API (DOM ACCESS METHODS, CSS SELECTORS)
it	GB5 Incomplete Query: queryA and desired elements to be matched → queryB matching those elements GB6 Outdated Query:
	queryA → changes to query result set over time & code fragment fixing it GB7 Overwritten Query: queryA → queryB intersecting queryA's mutations & code fragment fixing queryA
	<b>8% GRAPHICAL GETTERS</b> OBTAINING GRAPHICAL PROPERTIES OF THE LAYOUT VIA API METHODS
code	<b>GB8</b> Unidentified Getter: visual property → getter code fragment to retrieve it
NTERA	
к	<b>COLLECTIONS AND FORMATS</b> CREATING OR MANIPULATING A COLLECTION, OR FORMATTING DATA FOR USE IN A FRAMEWORK OR LIBRARY
2	OB3 Unidentified Iteration Construct: collection object → code fragment with corresponding iteration construct OB4 Occluded Modification: collection object & loop fragment → modifications of collection per iteration
	OB5 Confused Formatting: object in format A → code fragment converting object to format B
r	<b>8%</b> METHOD CHAINS DETERMINING THE EFFECTS OF A METHOD INVOCATION WITHIN A SEQUENCE OF CONSECUTIVE CALLS
•	<b>OB9</b> Incomplete Sequence: $o.m1().m2()mn() \rightarrow o.m1().m2()mk()mn() OB10 Incorrect Sequence: o.m1()m2()mn() \rightarrow o.mk()m1()mn()$
	OB11 Overwritten Effect: o.m1().m2()mn() → methods mk and ml where both mutate object

![](_page_19_Picture_29.jpeg)

#### **CALLBACK IDIOMS**

#### BIND TARGETS IDENTIFYING OR CHOOSING AN EVENT, LIFECYCLE HOOK, OR TRIGGER TO REGISTER A CALLBACK **CB1** Unidentified Target: desired bind target $\rightarrow$ target name & code fragment **CB2** Constrained Target: bind target code fragment $\rightarrow$ API rules making fragment (in)valid **CB3** Confused Target: current & desired bind targets $\rightarrow$ API use differences, new target's code fragment

#### CALLBACK CONTEXTS IDENTIFYING WHEN THE CALLBACK IS DISPATCHED, 25% USING ITS ARGUMENTS, OR OTHER RELATED OBJECTS

**CB4** Improper Scheduling:

callback code fragments & desired schedule  $\rightarrow$  correct callback order & code fix **CB5** Unidentified State:

desired state  $\rightarrow$  API rationale for identifying state & code fragment to obtain it **CB6** *Missed Callbacks:* 

callback code fragment  $\rightarrow$  API rationale & state required for callback to occur

**BIND CONFIGURATIONS** SETTING OPTIONS OF A CALLBACK TRIGGER, OR ODIFYING PARAMETERS OF ITS BIND MECHANISM

**CB7** Incorrect Bind Parameters:

callback parameter fragments & desired behavior  $\rightarrow$  correct code fragments **CB8** *Misconfigured Framework:* 

framework configuration fragments & desired behavior  $\rightarrow$  correct framework code

### Callbacks

#### x.on("event", ..., function callback(arg){/\*\*/}) ainiz d'addias air of In The A call 10 min 100 min 100

bind target: event to subscribe to

bind configuration: parameters controlling behavior

callback context: what args and additional state is available when invoked

![](_page_21_Picture_5.jpeg)

![](_page_21_Picture_6.jpeg)

# **Common problems with callbacks**

Bind Targets: Identifying or choosing an event, life cycle hook, or trigger to register a callback

- CB1 Unidentified Target desired bind target → API name & code fragment
- CB2 Constrained Target
   bind target code fragment → API rules making fragment (in)valid
- CB3 Confused Target current & desired bind targets → API use differences, new target's code fragment

![](_page_22_Picture_5.jpeg)

23

![](_page_23_Picture_0.jpeg)

**GRAPHICAL SETTERS** UPDATING GRAPHICAL PROPERTIES OF THE LAYOUT VIA 37%) API (DOM ACCESS METHODS, CSS SELECTORS)

**GB1** Unidentified Setter:

visual property change  $\rightarrow$  code fragment to mutate property **GB2** Unobservable Setter:

**GB3** Indirect Setter:

**GB4** Overwritten Setter:

### 21%

**GB5** Incomplete Query:

**GB6** Outdated Query:

**GB7** Overwritten Query:

#### 8% VIA API METHODS

**GB8** Unidentified Getter:

visual property  $\rightarrow$  getter code fragment to retrieve it

#### 42% GRAPHICAL IDIOMS

- setterA & visual property change  $\rightarrow$  setterB to mutate property
- setterA  $\rightarrow$  elements which inherit properties from setterA or occlude mutations
- setterA  $\rightarrow$  setterB overwriting setterA & code fragments with alternative fixes **GRAPHICAL QUERIES** RETRIEVING GRAPHICAL ELEMENTS OR SIMILAR **REPRESENTATIONS VIA API (DOM ACCESS METHODS, CSS SELECTORS)**
- queryA and desired elements to be matched  $\rightarrow$  queryB matching those elements
- queryA  $\rightarrow$  changes to query result set over time & code fragment fixing it
- queryA  $\rightarrow$  queryB intersecting queryA's mutations & code fragment fixing queryA **GRAPHICAL GETTERS** OBTAINING GRAPHICAL PROPERTIES OF THE LAYOUT

![](_page_23_Picture_24.jpeg)

## **Graphical idioms**

## **const** [r1, r2] = queryInterface(params); $r1.get("prop") \&\& r2.set({aProp: value, ...});$

graphical getter

graphical query

graphical setter

![](_page_24_Picture_6.jpeg)

# **Common problems with graphical idioms**

Graphical Setters: Updating graphical properties of the layout via API (DOM access methods, CSS selectors)

- GB1 Unidentified Setter visual property change  $\rightarrow$  code fragment to mutate property
- GB2 Unobservable Setter setterA & visual property change  $\rightarrow$  setterB to mutate property
- GB3 Indirect Setter setterA  $\rightarrow$  elements which inherit properties from setterA or occlude mutations
- GB4 **Overwritten** Setter

setterA  $\rightarrow$  setterB overwriting setterA & code fragments with alternative fixes

![](_page_25_Picture_8.jpeg)

#### **VALID REFERENCES** DETERMINING DEFINED STANDARD, OR FRAMEWORK IDENTIFIERS AT COMPILE TIME OR RUNTIME

40%

**OB1** Inactionable Reference Error:

statement generating error & error message → explanation of error message **OB2** Silent Invalid Reference:

invalid statement  $\rightarrow$  warning message & statement fixing warning

### **16%** BACK-END REQUESTS SENDING STRUCTURED DATA TO A SERVER, OR HANDLING SERVER RESPONSES

#### **OB6** Misconfigured Request:

back-end request & desired behavior → modified request matching behavior **OB7** Unclear Transmission:

back-end request as sent  $\rightarrow$  back-end request as received

#### **OB8** Mishandled Response:

back-end request  $\rightarrow$  code fragment for response(s) listening and parsing

8% SCOPE CONTEXTS IDENTIFYING THE CONTEXT GIVEN TO THE KEYWORD this WITHIN A CODE BLOCK, OR A VARIABLE'S VISIBILITY

**OB12** Unclear Scope: this statement → owner scope of this

#### **OBJECT-INTERACTION IDIOMS**

**20%** COLLECTIONS AND FORMATS CREATING OR MANIPULATING A COLLECTION, OR FORMATTING DATA FOR USE IN A FRAMEWORK OR LIBRARY

**OB3** Unidentified Iteration Construct:

collection object  $\rightarrow$  code fragment with corresponding iteration construct **OB4** *Occluded Modification:* 

collection object & loop fragment  $\rightarrow$  modifications of collection per iteration **OB5** Confused Formatting:

object in format A  $\rightarrow$  code fragment converting object to format B

8% METHOD CHAINS DETERMINING THE EFFECTS OF A METHOD INVOCATION WITHIN A SEQUENCE OF CONSECUTIVE CALLS

OB9 Incomplete Sequence: o.m1(...).m2(...)...mn(...) → o.m1(...).m2(...)...mk(...)...mn(...) OB10 Incorrect Sequence: o.m1(...).m2(...)...mn(...) → o.mk(...)...m1(...).mn(...) OB11 Overwritten Effect: o.m1(...).m2(...)...mn(....) → methods mk and ml where both mutate object

![](_page_26_Picture_20.jpeg)

![](_page_26_Picture_21.jpeg)

### **Common problems w/ object-interaction idioms**

Valid References: Determining defined standard or framework identifiers at compile time or runtime

- OB1 Inactionable Reference Error
- OB2 Silent Invalid Reference invalid statement  $\rightarrow$  warning message & statement fixing warning

statement generating error & error message  $\rightarrow$  explanation of error message

![](_page_27_Picture_6.jpeg)

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### Some techniques for helping developers better work with defects

- Help developers engage in better information
- developer
- Use tests to find defect, report test failures to developers

seeking to prevent defects from ever occurring

• Use tool to find defect, report error message to

### Preventing defects by supporting better information seeking

1. Help programmers recover from interruptions or delays by **reminding** them of their previous actions

2. Highlight **exceptional** circumstances to help programmers adapt their routine strategies

3. Help programmers manage **multiple tasks** and detect interleaved actions

4. Design task-relevant information to be visible and unambiguous 5. Avoid **inundating** programmers with information 6. Help programmers consider all relevant **hypotheses**, to avoid the

formation of invalid hypotheses

7. Help programmers identify and understand causal relationships, to avoid invalid knowledge

8. Help programmers identify **correlation** and recognize illusory correlation

9. Highlight **logically** important information to combat availability and selectivity heuristics

10. **Prevent** programmer's **overconfidence** in their knowledge by testing their assumptions

> Adapted from Ko & Myers, JVLC05 CS 695 / SWE 699

# Tools for preventing defects

- made possible analyzing code to find inconsistencies with a specification
- But...
  - Often required extensive work to write a specification of behavior

• Early work in program analysis and formal methods

# Early 2000s

- Static analysis tools becoming sufficiently scalable to be used on real-world programs
- More emphasis on finding real-world defects rather than simply focusing on improvements in underlying analysis technology
- Several tools adopted in industry, often to address specific and important problems

### Slam

Rules governing lock

Iteratively refines boolean abstraction of program to determine if there exists path that violates rules

```
void example() {
 void example() {
                                                                        void example() {
                                                                       do
 do {
                                         do
 A: AcquireSpinLock();
                                         A :
                                             AcquireSpinLock();
                                                                       A: AcquireSpinLock();
     nPacketsOld = nPackets;
                                             skip;
                                                                            b := true;
     req = devExt->WLHV;
                                             skip;
                                                                            skip;
     if (req && req->status) {
                                             if (*) {
                                                                            if (*) {
          devExt = req->Next;
                                                 skip;
                                                                                skip;
          ReleaseSpinLock();
                                                 ReleaseSpinLock();
                                                                                ReleaseSpinLock();
 в:
                                         B:
                                                                       в:
          irp = req->irp;
                                                  skip;
                                                                                skip;
          if (req->status > 0)
                                                 if (*)
                                                                                if (*)
               irp->IoS.Status = S;
                                                                                  skip;
                                                   skip;
          else
                                                 else
                                                                                else
                irp->IoS.Status = F;
                                                                                  skip;
                                                    skip;
          nPackets++;
                                                                                b := b ? false : *;
                                                 skip;
                                              } while (*);
                                                                            } while (!b);
     } while(nPackets!=nPacketsOld);
 C: ReleaseSpinLock();
                                         C: ReleaseSpinLock();
                                                                       C: ReleaseSpinLock();
(a)
                                        (b)
                                                                      (C)
```

T. Ball and S.K. Rajamani, "The Slam Project: Debugging System Software via Static Analysis," Proc. 29th ACM SIGPLAN-SIGACT Symp. Principles of Programming Languages (POPL 2002), ACM Press, 2002, pp. 1–3. CS 695 / SWE 699 LaToza

```
state {
        { Unlocked, Locked} s = Unlocked; // FSM states
   enum
AcquireSpinLock.entry {
                              // Transition on lock acquire
   if (s == Locked) error;
   else s = Locked;
ReleaseSpinLock.entry {
                              // Transition on lock release
   if (s == Unlocked) error;
   else s = Unlocked;
```

C a docs.microsoft.com/en-us/windo	ows-hardware/drivers/devtest/sta
Microsoft Hardware Dev	<b>Center</b> Explore $\lor$ Docs
Docs / Windows / Windows Drivers / D	Driver Technologies / Tools for 1
✓ Filter by title	Static D

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Driver Development Tools

- Index of Windows Driver Kit Tools
- > Tools for Testing Drivers
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- Static Driver Verifier
- Static Driver Verifier

Using Static Driver Verifier to Find Defects in Windows Drivers Static Driver Verifier commands (MSBuild)

- > Introducing Static Driver Verifier
- > Using Static Driver Verifier
- > Static Driver Verifier Report
- > Static Driver Verifier Reference
- > WDF Verifier Control Application
- > WdfTester: WDF Driver Testing Toolset
- > Tools for Software Tracing
- > Additional Driver Tools

#### **Static Driver Verifier**

06/13/2019 • 2 minutes to read • 🚱 🐨 🌚 👘

Static Driver Verifier (also known as "StaticDV" or "SDV") is a static verification tool that systematically analyzes the source code of Windows kernel-mode drivers. SDV is a compile time tool that is capable of discovering defects and design issues in a driver. Based on a set of interface rules and a model of the operating system, SDV determines whether the driver correctly interacts with the Windows operating system kernel.

#### Installing Static Driver Verifier

Static Driver Verifier is available as part of the <u>Windows Driver Kit (WDK)</u> in both the full WDK experience and in the standalone Enterprise WDK. In addition, the Visual C++ Redistributable Packages for Visual Studio are required for SDV to run. See the following:

For versions of SDV available in the WDK for Windows 10, Version 1809 or earlier, the Visual C++ Redistributable Packages for Visual Studio 2012 should be installed instead of the 2017 packages.

#### Visual Studio Integration

Static Driver Verifier is integrated into Visual Studio. You can run static analysis on your Visual Studio driver project. You can launch, configure, and control Static Driver Verifier from the Driver menu in Visual Studio.

#### Static Driver Verifier Documentation

- analysis.

 Static Driver Verifier Reference: Provides reference information about the function role types, SDV configuration files, error, and warning messages.

 Visual Studio 2019 Redistribution • Visual C++ Redistributable Packages for Visual Studio 2017 Visual C++ Redistributable Packages for Visual Studio 2013

Static Driver Verifier Known Issues: Lists latest known issues for Static Driver Verifier

Using Static Driver Verifier to Find Defects in Drivers: Tells you what you need to get started

analyzing your driver code in the Visual Studio environment.

 Static Driver Verifier commands (MSBuild): Lists the MSBuild commands to use to run SDV in a Visual Studio Command Prompt window.

Introducing Static Driver Verifier: Provides an overview of the static analysis tool.

Using Static Driver Verifier: Provides the details about using and configuring the static analysis tool.

Static Driver Verifier Report: Describes the viewer that displays the detailed trace of the static code

• Static Driver Verifier Rules: The rules define the requirements for proper interaction between a driver model and the kernel interface of the operating system.

#### **Rules for Audio Drivers**

05/20/2018 • 2 minutes to read • 🚱 💿 🧶

The DDI compliance rules for audio (PortCls) miniport drivers verify the DDI interface between PortCls.sys and its miniport drivers.

#### In this section

Topic

**PcAddAdapterDevice** 

**PcAllocateAndMapPages** 

**PcAllocatedPages** 

**PcirqiDDis** 

Pcirqliport

#### Description

The PcAddAdapterDevice rule specifies that a PortCls miniport driver correctly uses the PcAddAdapterDevice function, specifically that the DeviceExtensionSize should be either zero (0) or no less than PORT\_CLASS\_DEVICE\_EXTENSION\_SIZE.

The PcAllocateAndMapPages rule specifies that a PortCls miniport driver calls the following interfaces, using the correct parameters:

- IPortWaveRTStream::AllocatePagesForMdl
- IPortWaveRTStream::AllocateContiguousPagesForMdl
- IPortWaveRTStream::MapAllocatedPages

The PcAllocatedPages rule specifies that a PortCls miniport driver frees previous allocated pages by calling AllocatePagesForMdl or AllocateContiguousPagesForMdl methods.

The PcIrqIDDIs rule specifies that a PortCls miniport driver must call PortCls DDIs at the correct IRQL level.

The PcIrqIIport rule specifies that a PortCls miniport driver must call PortCls IPort interfaces at the correct IRQL level.

### Null pointer deref

### Unconditional wait

David Hovemeyer and William Pugh. 2004. Finding bugs is easy. In Companion to the 19th annual ACM SIGPLAN conference on Object-oriented programming systems, languages, and applications (OOPSLA '04). ACM, New York, NY, USA, 132-136.

LaToza

## FindBugs

```
// Eclipse 3.0,
// org.eclipse.jdt.internal.ui.compare,
// JavaStructureDiffViewer.java, line 131
Control c= getControl();
if (c == null && c.isDisposed())
   return;
// JBoss 4.0.0RC1
// org.jboss.deployment.scanner
// AbstractDeploymentScanner.java, line 185
// If we are not enabled, then wait
if (!enabled) {
  try {
    synchronized (lock) {
      lock.wait();
. . .
```

### Some initial Findbugs bug patterns

Description
C01 11 17
Cloneable N
Double Che
Dropped Ex
Suspicious E
Bad Covaria
Equal Object
Inconsistent
Static Field
Null Pointer
Non-Short-C
Open Stream
Redundant
Read Return
Return Valu
Non-serializa
Uninitialized
Uncondition
Wait Not In

- Not Implemented Correctly
- cked Locking
- ception
- Equals Comparison
- ant Definition of Equals
- cts Must Have Equal Hashcodes
- Synchronization
- Modifiable By Untrusted Code
- r Dereference
- Circuit Boolean Operator
- m
- Comparison to Null
- n Should Be Checked
- ie Should Be Checked
- able Serializable Class
- d Read In Constructor
- nal Wait
- Loop

### Current list of Findbugs bug patterns

BC: Equals method should not assume anything about the type of its argument BIT: Check for sign of bitwise operation CN: Class implements Cloneable but does not define or use clone method CN: clone method does not call super.clone() CN: Class defines clone() but doesn't implement Cloneable CNT: Rough value of known constant found Co: Abstract class defines covariant compareTo() method Co: compareTo()/compare() incorrectly handles float or double value Co: compareTo()/compare() returns Integer.MIN VALUE Co: Covariant compareTo() method defined DE: Method might drop exception DE: Method might ignore exception DMI: Adding elements of an entry set may fail due to reuse of Entry objects DMI: Random object created and used only once

### http://findbugs.sourceforge.net/bugDescriptions.html

![](_page_37_Figure_5.jpeg)

### Some challenges in preventing defects

- How do you know what is incorrect behavior?
- How do you explain to a developer the cause of the (potential) defect?

• What happens if the tool approximates program behavior and comes to an incorrect conclusion?

### Use of defect prevention tools in OSS projects (Dec 2014)

Source	Projects	Use 1 ASAT	Use > 1 ASATs
GitHub	83	34%	30%
OpenHub	9	67%	22%
SourceForge	10	30%	0%
Gitorious	20	30%	5%
Total	122	36%	23%

#### TABLE III DESCRIPTION OF THE ASATS FOR RQ 2 AND 3.

Tool	Language	Format	Extendable	Released	# of Rules
CHECKSTYLE [41]	Java	XML	Yes	2001	179
FINDBUGS [42]	Java	Text	Yes	2003	160
PMD [45]	Java	AML	les	2002	550
ESLINT [44]	JavaScript	JSON	Yes	2013	157
JSCS [45]	JavaScript	JSON	Yes	2013	116
JSHINT [46]	JavaScript	JSON	No	2011	253
JSL [47]	JavaScript	Text	No	2005	63
Pylint [48]	Python	Text	Yes	2006	390
RuboCop [49]	Ruby	YAML	Yes	2012	221

M. Beller, R. Bholanath, S. McIntosh and A. Zaidman, "Analyzing the State of Static Analysis: A Large-Scale Evaluation in Open Source Software," 2016 IEEE 23rd International Conference on Software Analysis, Evolution, and Reengineering (SANER), Suita, 2016, pp. 470-481. doi: 10.1109/ SANER.2016.105

### Why developers don't use defect prevention tools

- Not integrated. The tool is not integrated into the developer's workflow or takes too long to run
- Not actionable. The warnings are not actionable;
- Not trustworthy. Users do not trust the results due to, say, false positives
- Not manifest in practice. The reported bug is theoretically possible, but the problem does not actually manifest in practice
- Too expensive to fix. Fixing the detected bug is too expensive or risky
- Warnings not understood. Users do not understand the warnings.

## Challenges with customizability

- Many tools have many false positives
- not useful rules
- and find different issues with shared code

Brittany Johnson, Yoonki Song, Emerson Murphy-Hill, and Robert Bowdidge. 2013. Why don't software developers use static analysis tools to find bugs?. In Proceedings of the 2013 International Conference on Software Engineering (ICSE '13). IEEE Press, Piscataway, NJ, USA, 672-681.

• Want to have the ability to turn on and off useful and

• Teams may customize settings, but then results in issues when different teams use different settings

![](_page_41_Picture_11.jpeg)

- the oracle problem)
  - Have developers write specifications for a program for properties they care about
  - check that clients use it correctly
  - Look at lots of code, find atypical behaviors

## Working with developer intent

How do you know what behavior is incorrect? (i.e.,

Build rules about how an API should be used,

# Writing specifications

Model classes should have 'private' fields and getters.

//CompilationUnit[PackageDeclaration/Name[@Image="com.bankapplication.model"]]//ClassOrInterfaceDeclaration[count( ClassOrInterfaceBody/ClassOrInterfaceBodyDeclaration/FieldDeclaration[@Private="true"])=0 count(ClassOrInterfaceBody/ or ClassOrInterfaceBodyDeclaration/MethodDeclaration/MethodDeclarator[starts-with(@Image,"get")])=0]

Natural language spec and corresponding implementation in PMD

- Specifying constraints on code often requires
- Often done by dedicated tool expertise with  $\bullet$ expertise in writing necessary specs
- May capture company-wide policies

learning and using a new language defined by tool

### How should potential defects be communicated to developers?

- process
- Builds compile code, run static analysis tools
- rules
- How should these tools communicate analysis results to developers?

• Static analysis tools increasingly part of the build

Individual teams may build their own static analysis

# Tricorder

- Goals:
  - Low false positives—error reports should result in co changes
  - Empower users to contrib -let developers write the own checkers
  - Make data-driven usability improvements
  - Effective workflow integra
  - Quick fixes

Caitlin Sadowski, Jeffrey van Gogh, Ciera Jaspan, Emma Söderberg, and Collin Winter. 2015. Tricorder: building a program analysis ecosystem. International Conference on Software Engineering, 598-608.

	Analyzer	Description	ſ
r			
1	AffectedTargets	How many targets are affected	ſ
ode	AndoidLint	Scans android projects for likely bugs	l
	AutoRefaster	Implementation of Refaster [42]	l
	BuildDeprecation	Identify deprecated build targets	
oute	Builder	Checks if a changelist builds	
	ClangTidy	Bug patterns based on AST matching	
eir	DocComments	Errors in javadoc	
	ErrorProne	Bug patterns based on AST matching	
	Formatter	Errors in Java format strings	
·\ /	Golint	Style checks for go programs	
. У	Govet	Suspicious constructs in go programs	
	Javac Warnings	Curated set of warnings from javac	
	<b>JscompilerWarnings</b>	Warnings produced by jscompiler	
ntion	Linter	Style issues in code	
	Unused	Unused variable detection	
	UnusedDeps	Flag unused dependencies	
			ſ

\_\_\_\_

## Tricorder Analysis Results

![](_page_46_Figure_1.jpeg)

Caitlin Sadowski, Jeffrey van Gogh, Ciera Jaspan, Emma Söderberg, and Collin Winter. 2015. Tricorder: building a program analysis ecosystem. International Conference on Software Engineering, 598-608.

Q Search repositories	Search Importing projects. View log	Add p	roject:
GitHub 10			
🔿 sjmaple/goof			0
package.json	12 H 13 M 8 L View report and fix	Test daily 🖌 Tested an hour ago	4
🔿 sjmaple/java-goof			Œ
M pom.xml	0 H 0 M 0 L View report	Test daily 🗸 Tested an hour ago	-
M todolist-core/pom.xml	1 H 3 M 0 L View report and fix	Test daily 🗸 Tested an hour ago	10
todolist-web-common/pom.xml	3 H 4 M 0 L View report and fix	Test daily 🗸 Tested an hour ago	-
M todolist-web-struts/pom.xml	17 H 22 M 2 L View report and fix	Test daily 🗸 Tested an hour ago	10
🔿 sjmaple/jdk9-jigsaw			Œ
session-3-jshell/JShell-Examples/pom.xml	0 H 0 M 0 L View report	Test daily 🗸 Tested an hour ago	-
session-3-jshell/shellFX/pom.xml	O H O M O L View report	Test daily 🗸 Tested an hour ago	4
session-3-jshell/teamshell/pom.xml	7 H 9 M 0 L View report and fix	Test daily 🖌 Vested an hour ago	4
Simaple/shallow-goof			Œ
package.json	2 H 2 M 0 L View report and fix	Test daily 🗸 Tested 28 minutes ago	-
Simaple/spring.goof			Œ
M pom.xml	6 H 5 M 0 L View report and fix	Test daily 🗸 Tested an hour ago	10

![](_page_47_Picture_2.jpeg)

### Communicating errors to developers

- Study at Google based on **26.6 million** builds
- Developers frequently see error messages •
  - ~30% of builds fail due to compiler error
- Median resolution time is ~12 minutes
- Dependency errors are the most common •

Count	Error	Fix
10	Misspelled identifier	Fix spelling
5	Wrong number of args to constructor call	Add or remove arguments
4	Missing import	Add import
2	Missing dependency	Add dependency to BUILD file
2	Incorrect type parameter in arg to method	Fix type parameter
1	Called a non-existent method	Removed method call
1	Accessed a non-existent field	Added field
1	Removed a class but didn't remove all uses	Removed remaining uses of class

Hyunmin Seo, Caitlin Sadowski, Sebastian Elbaum, Edward Aftandilian, and Robert Bowdidge. 2014. Programmers' build errors: a case study (at google). In Proceedings of the 36th International Conference on Software Engineering (ICSE 2014). ACM, New York, NY, USA, 724-734. DOI: https://doi.org/10.1145/2568225.2568255

### Communicating error messages

```
2 void m() {
    final int x;
3
     while (true) {
4
x = read();
   }
6
7
   }
F.java:5: error: variable x might be assigned in loop
           x = read();
            ^
1 error
                         VS.
F.java:5: error: The blank final variable "x" cannot
be assigned within the body of a loop that may execute
more than once.
            x = read();
            ^
```

Titus Barik, Justin Smith, Kevin Lubick, Elisabeth Holmes, Jing Feng, Emerson Murphy-Hill, and Chris Parnin. 2017. Do developers read compiler error messages?. In Proceedings of the 39th International Conference on Software Engineering (ICSE '17). IEEE Press, Piscataway, NJ, USA, 575-585. DOI: https://doi.org/10.1109/ICSE.2017.59

## Communicating errors

more than once. x = read();^ Claim: there is a problem Grounds: why is this a problem

![](_page_50_Figure_2.jpeg)

Titus Barik, Justin Smith, Kevin Lubick, Elisabeth Holmes, Jing Feng, Emerson Murphy-Hill, and Chris Parnin. 2017. Do developers read compiler error messages?. In Proceedings of the 39th International Conference on Software Engineering (ICSE '17). IEEE Press, Piscataway, NJ, USA, 575-585. DOI: https://doi.org/10.1109/ICSE.2017.59

F.java:5: error: The blank final variable "x" cannot be assigned within the body of a loop that may execute

The claim is the concluding assertion or judgment about a problem in the code.

Resolutions suggest concrete actions to the source code to remediate the problem.

Facts, rules, and evidence to support the claim.

Bridging statements that connect the grounds to the claim. Provides justification for using the grounds to support the claim.

OpenJDK	cannot f symbol: location	i \ :
Jikes	No fielo in type is an a	5
	whose na "varnam"	n

Titus Barik, Justin Smith, Kevin Lubick, Elisabeth Holmes, Jing Feng, Emerson Murphy-Hill, and Chris Parnin. 2017. Do developers read compiler error messages?. In Proceedings of the 39th International Conference on Software Engineering (ICSE '17). IEEE Press, Piscataway, NJ, USA, 575-585. DOI: https://doi.org/10.1109/ICSE.2017.59

# Examples

ind symbol variable varnam class Foo named "varnam" was found "Foo". However, there

ccessible field "varname"

ne closely matches the name

### OpenJDK only presents a claim. Jikes presents a ground (there is an accessible field "varname"), which is qualified through a rebuttal (However).

### How do developers themselves explain errors on StackOverflow?

![](_page_52_Figure_1.jpeg)

AttributeDescriptionSimple Argument Components		Extended Argument Components			
		BACKING	Additional evidence to support the war-		
CLAIM (Section 5.3.1) RESOLUTION (Section 5.3.2) GROUNDS (Section 5.3.3)	The claim is the concluding assertion or judgment about a problem in the code. Resolutions suggest concrete actions to the source code to remediate the problem. Facts, rules, and evidence to support the	(Section 5.3.5) QUALIFIER (Section 5.3.6) REBUTTAL (Section 5.3.7)	rant, if the warrant is not accepted. This is the degree of belief for a claim, often used to weaken a claim. Exceptions to the claim or other compo- nents of the argument.		
WARRANT (Section 5.3.4)	Bridging statements that connect the grounds to the claim. Provides justifica- tion for using the grounds to support the claim.				

![](_page_52_Figure_5.jpeg)

(c) Simple argument layout (CEM = 8, SO = 49)

(d) Extended argument layout (CEM = 1, SO = 102)

10 min break

Tech Talks

# In-Class Activity

- In groups of 2 or 3, try out Snyk, eslint (JavaScript), or SpotBugs (Java)
  - <u>https://spotbugs.github.io/</u> <u>https://eslint.org/</u> https://snyk.io/
  - Find a codebase that you can run it on (e.g., your 695 project)
  - Based on the language, choose an appropriate tool  $\bullet$
  - Download and setup the tool, run it on your codebase  $\bullet$
  - Try to make a really small change to your codebase (or intentionally write incorrect code), check for defects
  - Write a reflection on your experiences using the tool:
    - Which tool did you pick
    - Review of the installation and setup process. What steps were required to get it to work with your project?
    - Did it find any defects in your project? If so, what were they? Were they defects you think are worth fixing?
    - What's hardest to use about the tool? What information would you like to see that it doesn't currently provide?
- Submission
  - Submit a pdf with your reflection through Blackboard. 1 submission per group. Due 7:10pm today.