# CS 471 Operating Systems 

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## Properties of A Single Disk

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- Kind of Okay sequential I/O performance
- Really bad for random I/O


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## Properties of A Single Disk

- A single disk is slow
- Kind of Okay sequential I/O performance
- Really bad for random I/O
- The storage capacity of a single disk is limited
- A single disk is not reliable


## RAID

## Wish List for A Disk

- Wish it to be faster
- I/O is always the performance bottleneck


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- Wish it to be faster
- I/O is always the performance bottleneck
- Wish it to be larger
- More and more data needs to be stored
- Wish it to be more reliable
- We don't want our valuable data to be gone


## Only One Disk?

- Sometimes we want many disks
- For higher performance
- For larger capacity
- For better reliability
- Challenge: Most file systems work on only one disk


## Solution: RAID

## RAID: Redundant Array of Inexpensive Disks

## Application

File System

## RAID Logical Disk



Build a logical disk from many physical disks

## Solution: RAID

## RAID: Redundant Array of Inexpensive Disks

## Application

RAID is

- Transparent
- Deployable


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Logical disks gives

- Performance
- Capacity
- Reliability


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Logical disks gives

- Performance
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Build a logical disk from many physical disks

## Why Inexpensive Disks?

- Economies of scale! Cheap disks are popular.
- You can often get many commodity hardware components for the same price as a few expensive components


## Why Inexpensive Disks?

- Economies of scale! Cheap disks are popular.
- You can often get many commodity hardware components for the same price as a few expensive components
- Strategy: Write software to build high-quality logical devices from many cheap devices
- Tradeoff: To compensate poor properties of cheap devices


## General Strategy

Build fast and large disks from smaller ones


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Build fast and large disks from smaller ones
Add more disks for reliability++!


## RAID Metrics

- Performance
- How long does each workload take?
- Capacity
- How much space can apps use?
- Reliability
- How many disks can we safely lose?


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- Performance
- How long does each workload take?
- Capacity
- How much space can apps use?
- Reliability
- How many disks can we safely lose?
- Assume fail-stop model!


## RAID Levels


(a) RAID 0: non-redundant striping.

(b) RAID 1: mirrored disks.

(c) RAID 2: memory-style error-correcting codes.

(d) RAID 3: bit-interleaved parity.

(e) RAID 4: block-interleaved parity.


## RAID Level 0


(a) RAID 0: non-redundant striping.

(b) RAID 1: mirrored disks.

(c) RAID 2: memory-style error-correcting codes.

(d) RAID 3: bit-interleaved parity.

(e) RAID 4: block-interleaved parity.


## RAID-0: Striping

- No redundancy
- Serves as upper bound for
- Performance
- Capacity



## 4 Disks



## 4 Disks



## How to Map?

- Given logical address A:
- Disk = ...
- Offset = ...



## How to Map?

- Given logical address A:
- Disk = A \% disk_count
- Offset = A / disk_count



## Mapping Example: Find Block 13

- Given logical address 13:
- Disk = 13 \% $4=1$
- Offset = $13 / 4=3$

| Offset 0 | Disk 0 | Disk 1 | Disk 2 | Disk 3 |
| ---: | :---: | :---: | :---: | :---: |
| 1 | 0 | 1 | 2 | 3 |
| 2 | 4 | 5 | 6 | 7 |
| 3 | 8 | 9 | 10 | 11 |
|  | 12 | 13 | 14 | 15 |

## Chunk Size = 1

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
| :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 |

## Chunk Size = 1

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
| :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 |

## Chunk Size = 2

Disk 0 Disk 1 Disk 2 Disk 3

| 0 | 2 | 4 | 6 | chunk size: |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 3 | 5 | 7 | 2 blocks |
| 8 | 10 | 12 | 14 |  |
| 9 | 11 | 13 | 15 |  |

## Chunk Size = 1

## Disk 0 Disk 1 Disk 2 Disk 3



In following examples, we assume chunk size of 1
Chunk Size = 2
Disk 0
Disk 1
Disk 2
Disk 3


2 blocks

## RAID-0 Analysis

1. What is capacity?
2. How many disks can fail?
3. Throughput?
4. Latency?

## RAID-0 Analysis

1. What is capacity? $\mathbf{N}^{*} \mathbf{C}$
2. How many disks can fail? 0
3. Throughput? $\mathbf{N}^{*}$ S and $\mathbf{N}^{*}$ R
4. Latency? D

## RAID Level 1


(a) RAID 0: non-redundant striping.

(c) RAID 2: memory-style error-correcting codes.

(d) RAID 3: bit-interleaved parity.

(e) RAID 4: block-interleaved parity.


## RAID-1: Mirroring

- RAID-1 keeps two copies of each block



## Assumption

- Assume disks are fail-stop
- Two states
- They work or they don't
- We know when they don't work


## 4 Disks

Disk 0 Disk 1 Disk 2 Disk 3


## 4 Disks



How many disks can fail?

## RAID-1 Analysis

1. What is capacity? N/2 * C
2. How many disks can fail? 1 or maybe N/2
3. Throughput?

- Seq read: N/2 *S
- Seq write: N/2 * S
- Rand read: $\mathrm{N}^{*}$ R
- Rand write: N/2 * R

4. Latency? D

## RAID Level 4


(a) RAID 0: non-redundant striping.

(b) RAID 1: mirrored disks.

(c) RAID 2: memory-style error-correcting codes.

(d) RAID 3: bit-interleaved parity.

(e) RAID 4: block-interleaved parity.


## RAID-4



## RAID-4



## RAID-4



## RAID-4: Strategy

- Use parity disk
- In algebra, if an equation has N variables, and $\mathrm{N}-1$ are known, you can also solve for the unknown
- Treat the sectors/blocks across disks in a stripe as an equation


## RAID-4: Strategy

- Use parity disk
- In algebra, if an equation has N variables, and N -1 are known, you can also solve for the unknown
- Treat the sectors/blocks across disks in a stripe as an equation
- A failed disk is like an unknown in that equation


## 5 Disks

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | P0 |
| 4 | 5 | 6 | 7 | P1 |
| 8 | 9 | 10 | 11 | P2 |
| 12 | 13 | 14 | 15 | P 3 |

## Example



## Example

|  | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| :--- | :---: | :---: | :---: | :---: | :---: |
| stripe: | 4 | 3 | 0 | 2 |  |
|  |  |  |  |  | (parity) |

## Example

|  | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| :--- | :---: | :---: | :---: | :---: | :---: |
| stripe: | 4 | 3 | 0 | 2 | 9 |
|  |  |  |  |  | (parity) |

## Example

|  | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| stripe: | X | 3 | 0 | 2 | 9 |
|  |  |  |  |  | (parity) |

## Example

|  | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| stripe: | 4 | 3 | 0 | 2 | 9 |
|  |  |  |  |  | (parity) |

## Parity Function: XOR Example

| C 0 | C 1 | C 2 | C 3 | P |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 1 | 1 | $\operatorname{XOR}(0,0,1,1)=0$ |
| 0 | 1 | 0 | 0 | $\operatorname{XOR}(0,1,0,0)=1$ |

# Parity Function: XOR Example 



XOR function:

- $P=0$ : The number of 1 in a stripe must be an even number
- $P=1$ : The number of 1 in a stripe must be an odd number


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\text { Block0 }=\operatorname{XOR}(10,11,10,11)=00
$$

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## Parity Function: XOR Example



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XOR function:

- $P=0$ : The number of 1 in a stripe must be an even number
- $P=1$ : The number of 1 in a stripe must be an odd number


## RAID-4 Analysis

1. What is capacity? ( $\mathrm{N}-1$ ) * C
2. How many disks can fail? 1
3. Throughput?

- Seq read: ( $\mathrm{N}-1$ ) * S
- Seq write: ( $\mathrm{N}-1$ ) * S
- Rand read: ( $\mathrm{N}-1$ ) * R
- Rand write: R/2

4. Latency? D, 2D

## RAID-4 Analysis: Random Write

Random write to 4,13 , and respective parity blocks

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | P0 |
| ${ }^{*} 4$ | 5 | 6 | 7 | ${ }^{+\mathrm{P} 1}$ |
| 8 | 9 | 10 | 11 | P 2 |
| 12 | ${ }^{*} 13$ | 14 | 15 | ${ }^{+} \mathrm{P} 3$ |

Small write problem (for parity-based RAIDs):
Parity disk serializes all random writes; and each logical I/O generates two physical I/Os (one read and one write for parity P1)

## RAID Level 5


(a) RAID 0: non-redundant striping.

(b) RAID 1: mirrored disks.

(c) RAID 2: memory-style error-correcting codes.

(d) RAID 3: bit-interleaved parity.

(e) RAID 4: block-interleaved parity.


## RAID-5: Rotating Parity

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | P0 |
| 5 | 6 | 7 | P 1 | 4 |
| 10 | 11 | P 2 | 8 | 9 |
| 15 | P 3 | 12 | 13 | 14 |
| P4 | 16 | 17 | 18 | 19 |

RAID-5 works almost identically to RAID-4, except that it rotates the parity block across drives

## RAID-5 Analysis

1. What is capacity? $(\mathrm{N}-1)^{*} \mathrm{C}$
2. How many disks can fail? 1
3. Throughput?

- Seq read: ( $\mathrm{N}-1$ ) * S
- Seq write: (N-1) *S
- Rand read: N*R
- Rand write: ???

4. Latency? D, 2D

## RAID-5: Random Write

Write

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | P0 |
| 5 | 6 | 7 | P 1 | 4 |
| 10 | 11 | P 2 | 8 | 9 |
| 15 | P 3 | 12 | 13 | 14 |
| P 4 | 16 | 17 | 18 | 19 |

Random write to Block 10 on Disk 0

## RAID-5: Random Write

| 1. Read     <br> Disk 0  Disk 1 Disk 2 Disk 3 | Disk 4 |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | P0 |
| 5 | 6 | 7 | P1 | 4 |
| 10 | 11 | P 2 | 8 | 9 |
| 15 | P 3 | 12 | 13 | 14 |
| P4 | 16 | 17 | 18 | 19 |

Random write to Block 10 on Disk 0

1. Read Block 10

## RAID-5: Random Write

| 1. Read | Disk 1 | 2. Read | Disk 3 | Disk 4 |
| :---: | :---: | :---: | :---: | :---: |
| Disk 0 |  | Disk 2 |  |  |
| 0 | 1 | 2 | 3 | P0 |
| 5 | 6 | 7 | P1 | 4 |
| (10) | 11 | P2 | 8 | 9 |
| 15 | P3 | 12 | 13 | 14 |
| P4 | 16 | 17 | 18 | 19 |

Random write to Block 10 on Disk 0

1. Read Block 10
2. Read the Parity P2

## RAID-5: Random Write



Random write to Block 10 on Disk 0

1. Read Block 10
2. Read the Parity P2
3. Write new data in Block 10

## RAID-5: Random Write



Random write to Block 10 on Disk 0

1. Read Block 10
2. Read the Parity P2
3. Write new data in Block 10
4. Write new parity P2

## RAID-5: Random Write

| Write 1 | Write 2 | Write 1 touches P2 |  | Write 2 ouches P0 |
| :---: | :---: | :---: | :---: | :---: |
| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| 0 | (1) | 2 | 3 | P0 |
| 5 | 6 | 7 | P1 | 4 |
| 10 | 11 | P2 | 8 | 9 |
| 15 | P3 | 12 | 13 | 14 |
| P4 | 16 | 17 | 18 | 19 |

Performance reasoning
[Generally, for a large number of random read/write requests, RAID-5 will be able to keep all disks busy: thus $\mathbf{N}$ * $\mathbf{R}$

Each random (RAID-5) writes generates 4 physical I/O
Loperations: thus N * R / 4

## RAID-5 Analysis

1. What is capacity? ( $\mathrm{N}-1$ ) * C
2. How many disks can fail? 1
3. Throughput?

- Seq read: ( $\mathrm{N}-1$ ) * S
- Seq write: (N-1) *S
- Rand read: N*R
- Rand write: N * R/4

4. Latency? D, 2D

## Summary: All RAID's

|  | Reliability | Capacity |
| :--- | :--- | :--- |
| RAID-0 | 0 | C *N |
| RAID-1 | 1 or N/2 | C *N/2 |
| RAID-4 | 1 | N-1 |
| RAID-5 | 1 | N-1 |

## Summary: All RAID's

|  | Seq Read | Seq Write | Rand Read | Rand Write |
| :---: | :---: | :---: | :---: | :---: |
| RAID-0 | N * | N * | N * R | N * |
| RAID-1 | $\mathrm{N} / 2$ * | $\mathrm{N} / 2$ * | N * R | $\mathrm{N} / 2$ * R |
| RAID-4 | ( $\mathrm{N}-1$ ) * S | ( $\mathrm{N}-1$ ) * S | ( $\mathrm{N}-1$ ) * R | R/2 |
| RAID-5 | ( $\mathrm{N}-1$ ) * S | ( $\mathrm{N}-1$ ) * S | N * | N/4 * R |

## DO Read the Textbook!

Please do read the textbook chapter 'RAID' to gain a deeper understanding of the various analyses covered in lecture.

