

# CS 471 Operating Systems

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Spring 2019

# Threads

# Why Thread Abstraction?

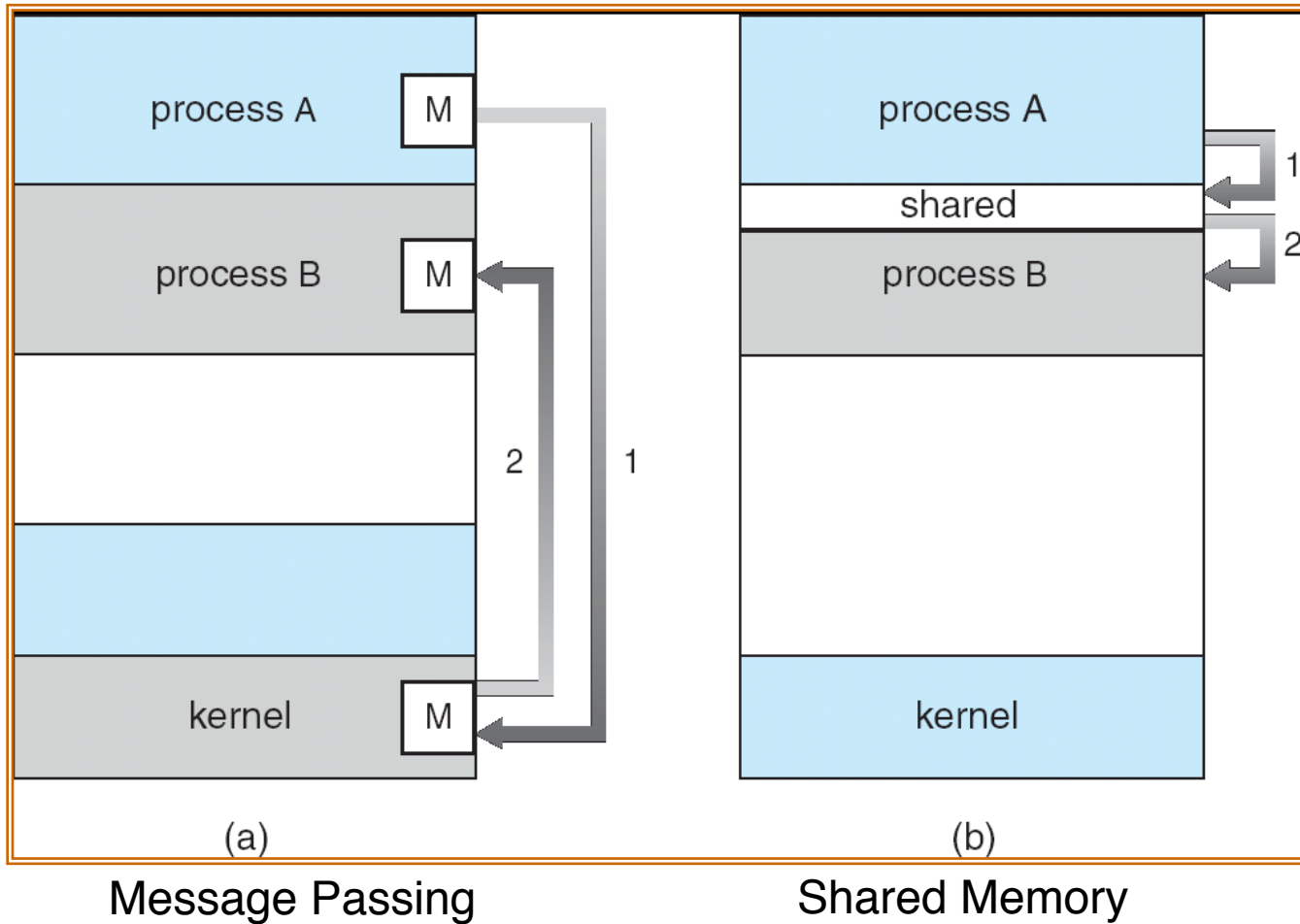
# Process Abstraction: Challenge 1

- Inter-process communication (IPC)

# Inter-Process Communication

- Mechanism for processes to communicate and to synchronize their actions.
- Two models
  - Communication through a shared memory region
  - Communication through message passing

# Communication Models



- ✓ Previously, in a distributed system, message-passing was the only possible communication model. However, remote direct memory access (RDMA) technique bridges this gap by providing remote memory access through network.

# Communication through Message Passing

- Message system – processes communicate with each other *without resorting to shared variables*
- A message-passing facility must provide at least two operations:
  - `send(message, recipient)`
  - `receive(message, recipient)`
- With **indirect** communication, the messages are sent to and received from **mailboxes** (or, **ports**)
  - `send(A, message) /* A is a mailbox */`
  - `receive(A, message)`

# Communication through Message Passing

- Message passing can be either **blocking** (*synchronous*) or **non-blocking** (*asynchronous*)
  - Blocking Send: The sending process is blocked until the message is received by the receiving process or by the mailbox
  - Non-blocking Send: The sending process resumes the operation as soon as the message is received by the kernel
  - Blocking Receive: The receiver blocks until the message is available
  - Non-blocking Receive: “Receive” operation does not block; it either returns a valid message or a default value (null) to indicate a non-existing message



# Communication through Shared Memory

- The memory region to be shared must be explicitly defined
- System calls (Linux):
  - `shmget` creates a shared memory block
  - `shmat` maps/attaches an existing shared memory block into a process's address space
  - `shmdt` removes (“unmaps”) a shared memory block from the process's address space
  - `shmctl` is a general-purpose function allowing various operations on the shared block (receive information about the block, set the permissions, lock in memory, ...)
- Problems with **simultaneous access** to the shared variables
- Compilers for **concurrent programming languages** can provide direct support when declaring variables (e.g., “**shared int buffer**”)

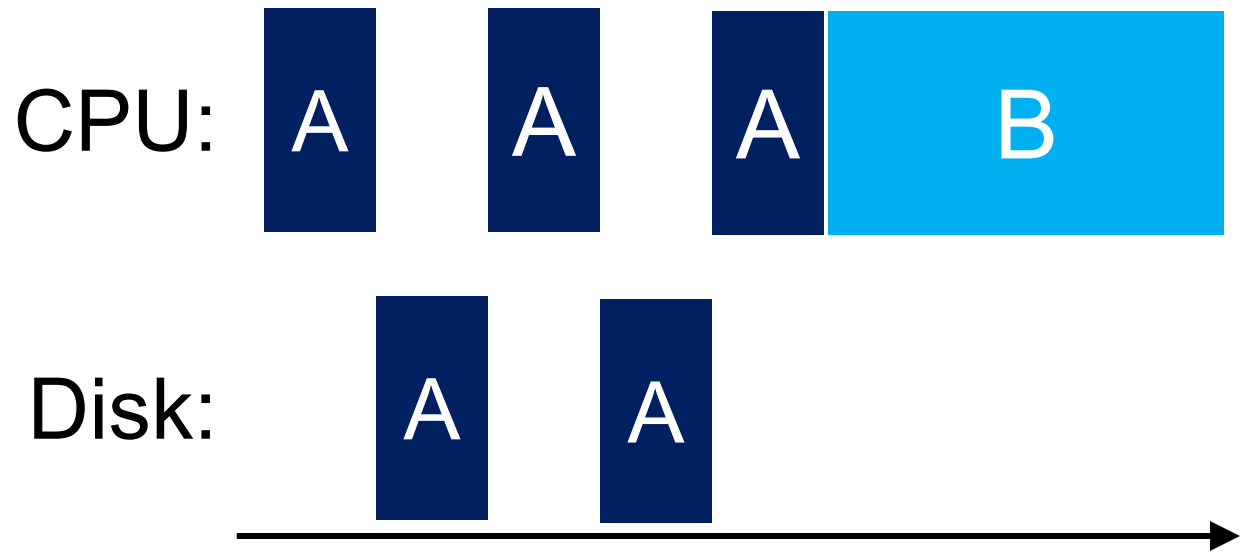
# Process Abstraction: Challenge 1

- Inter-process communication (IPC)
  - Cumbersome programming!
  - Copying overheads (inefficient communication)
  - Expensive context switching (why expensive?)

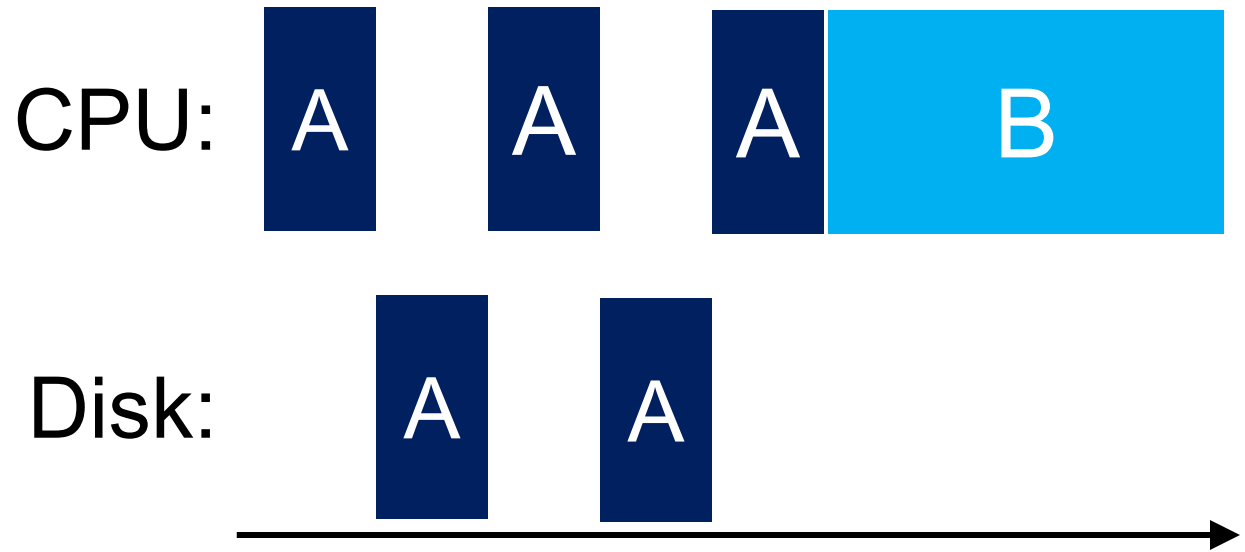
# Process Abstraction: Challenge 2

- Inter-process communication (IPC)
  - Cumbersome programming!
  - Copying overheads (inefficient communication)
  - Expensive context switching (why expensive?)
- **CPU utilization**

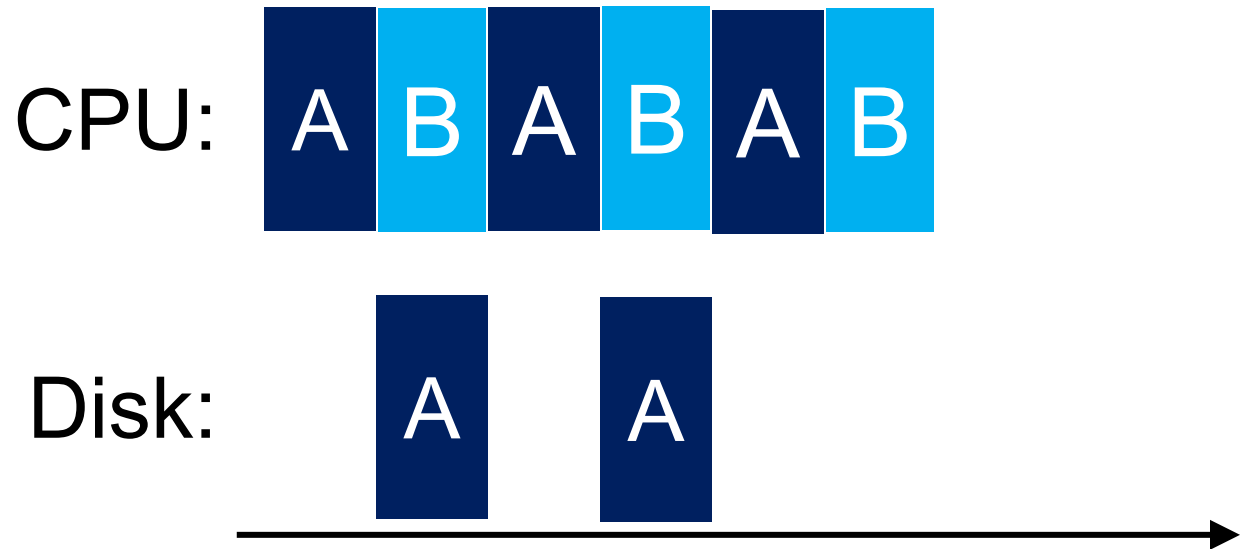
(a) Not interleaved



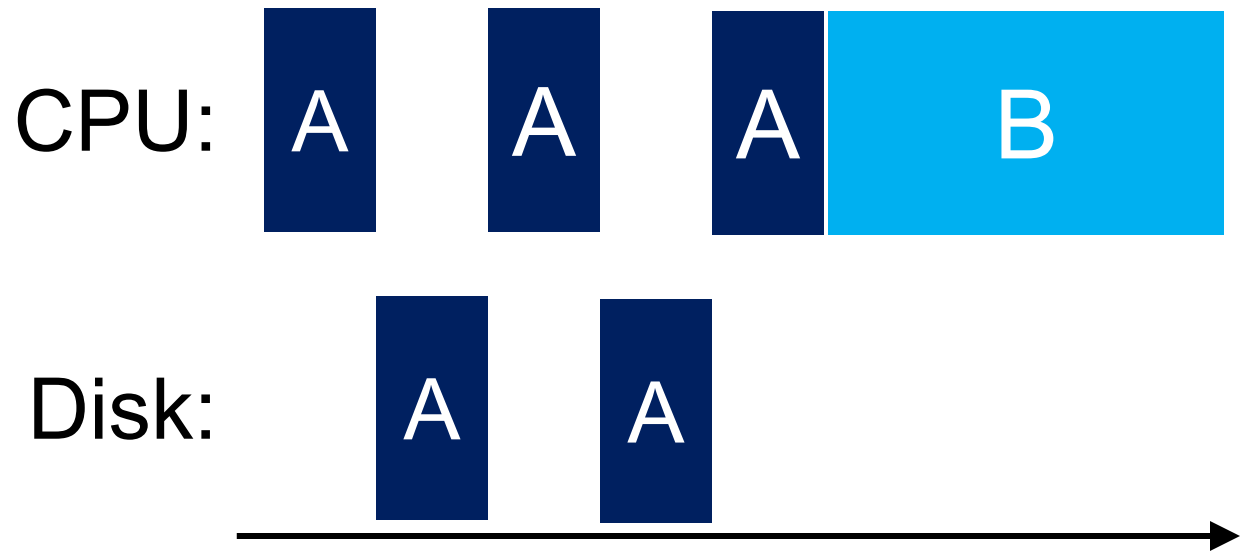
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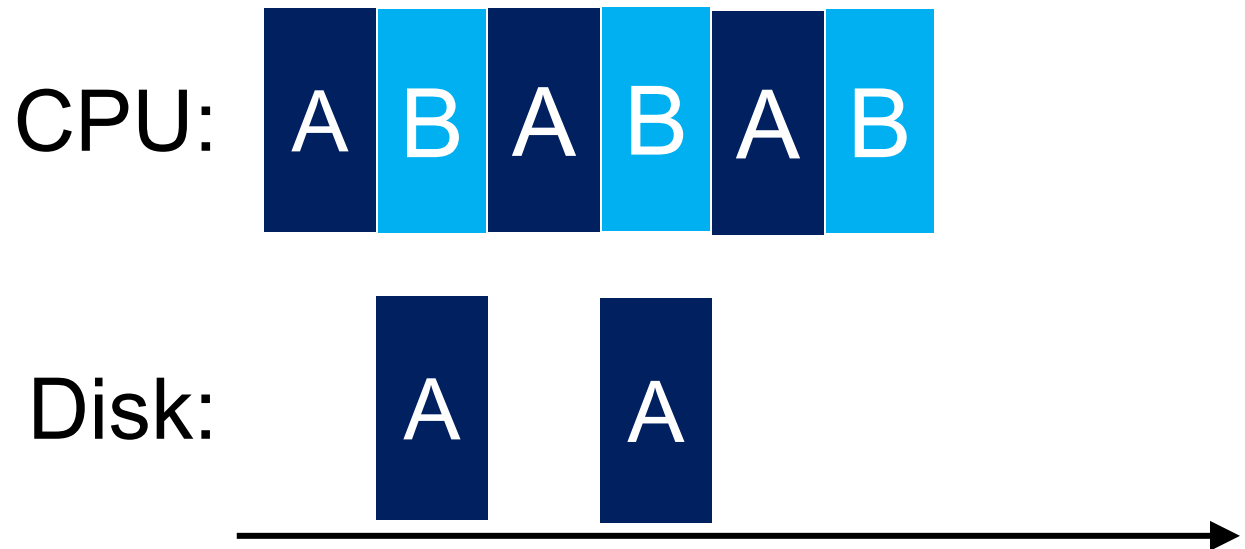
(b) Interleaved



(a) Not interleaved



(b) Interleaved



**What if there is only one process?**







# CPU Trends – What Moore's Law Implies...

- The future
  - Same CPU speed
  - More cores (to scale-up)
- Faster programs => concurrent execution
- **Goal**: Write applications that fully utilize many CPU cores...

# Introducing Thread Abstraction

- Threads are just like processes, but threads share the address space

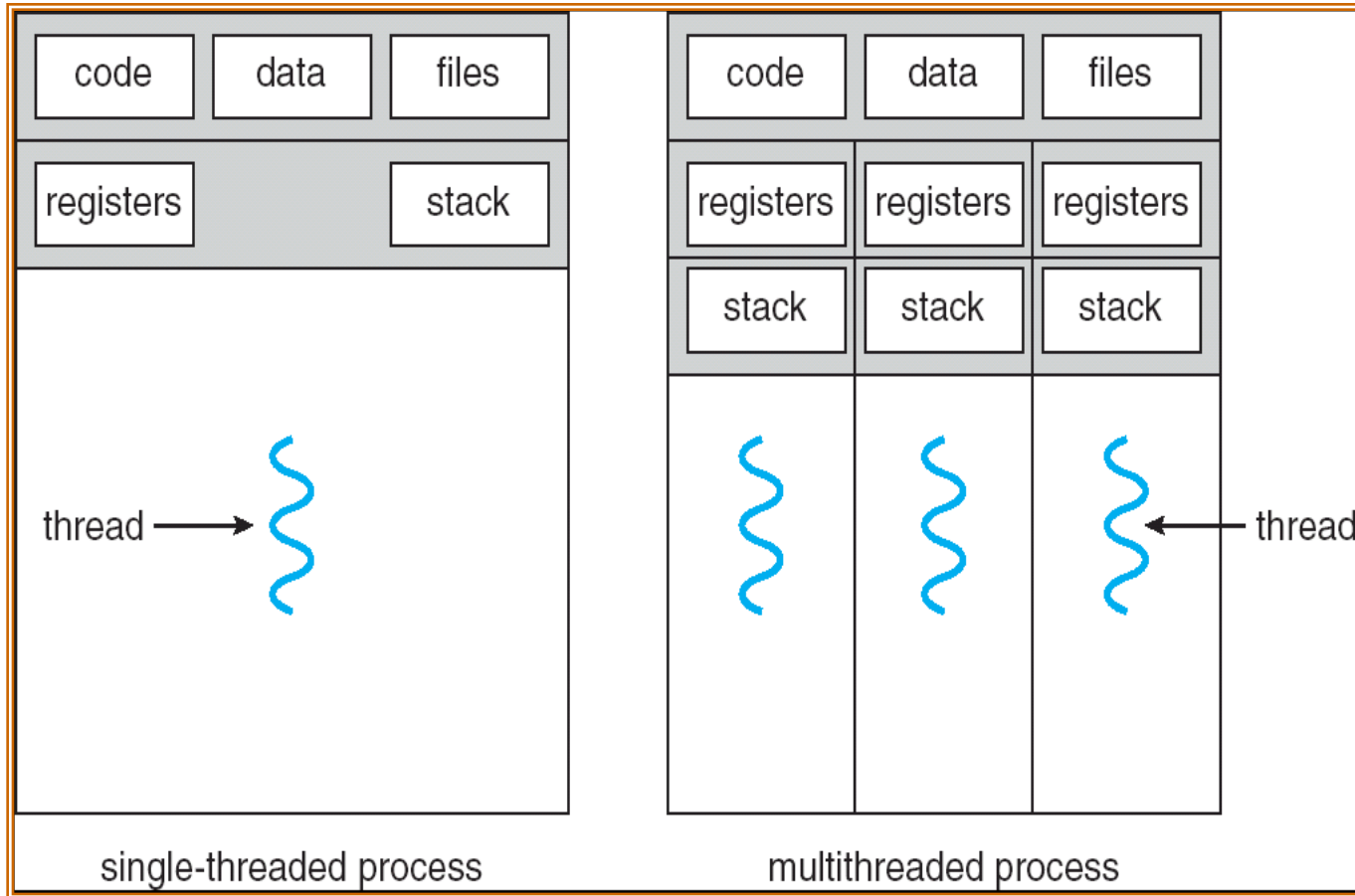
# Thread

- A process, as defined so far, has only **one thread of execution**
- **Idea**: Allow multiple threads of **concurrently running** execution within the same process environment, **to a large degree independent** of each other
  - Each thread may be **executing different code** at the same time

# Process vs. Thread

- Multiple threads within a process will share
  - The address space
  - Open files (file descriptors)
  - Other resources
- Thread
  - Efficient and fast resource sharing
  - Efficient utilization of many CPU cores with only one process
  - Less context switching overheads

# Single- vs. Multi-threaded Process



# Multithreading

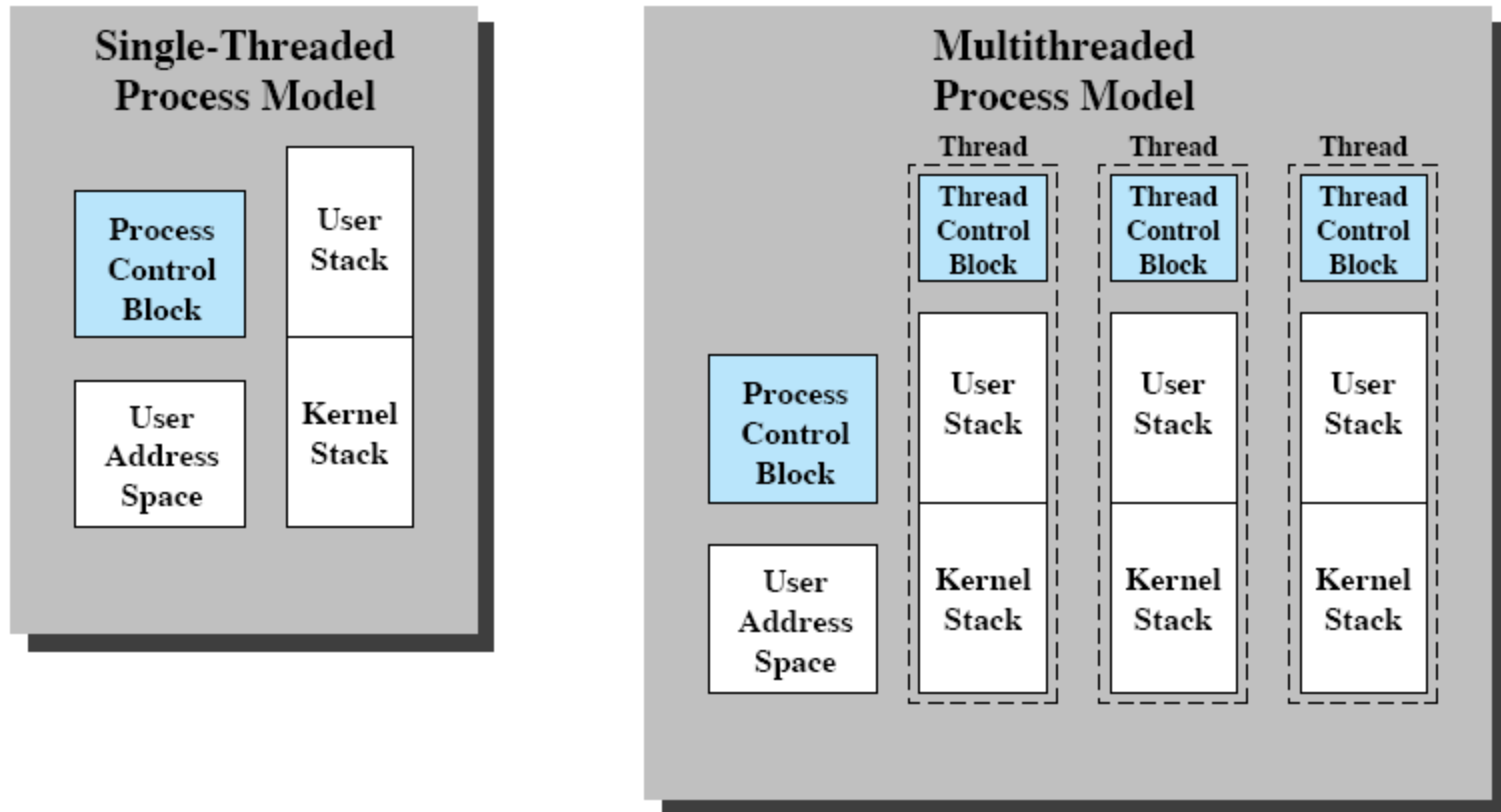
## **Per Process Items**

Address Space  
Global Variables  
Open Files  
Accounting Information

## **Per Thread Items**

Program Counter  
Registers  
Stack  
State

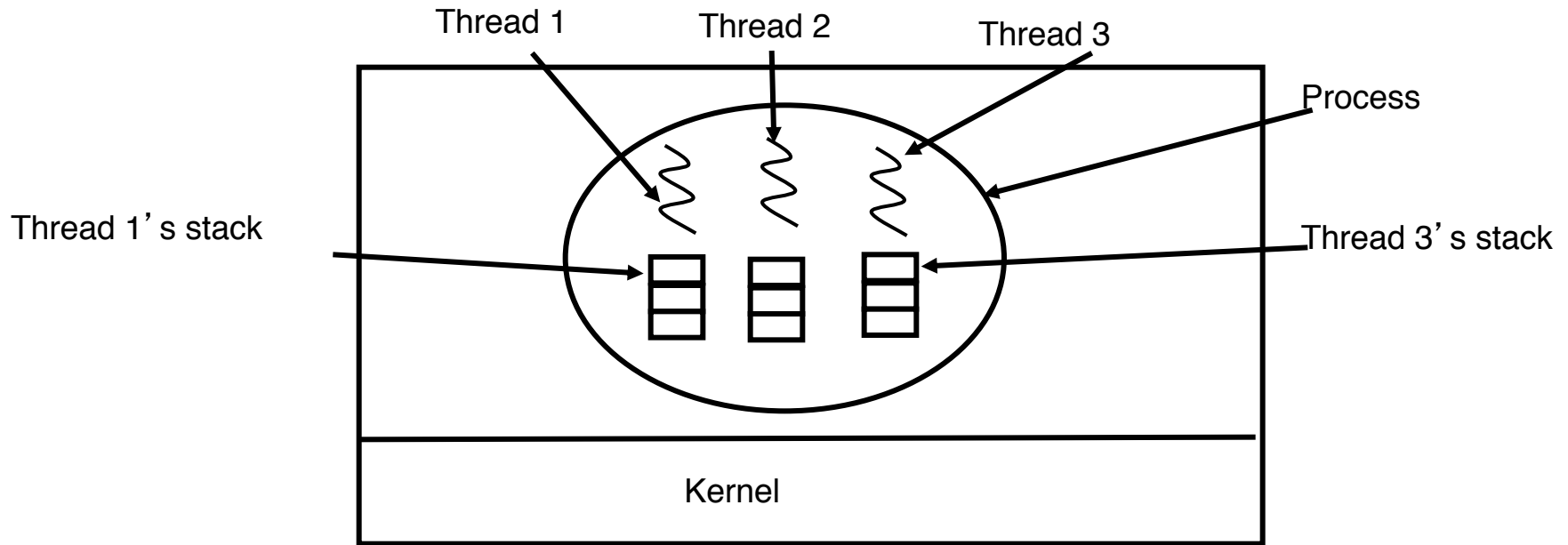
# Single- vs. Multi-threaded Process



Single Threaded and Multithreaded Process Models

# Multithreading

- Each thread can be in any one of the several states, just like processes: **Ready**, **Running**, **Blocked**
- Each thread has its own stack



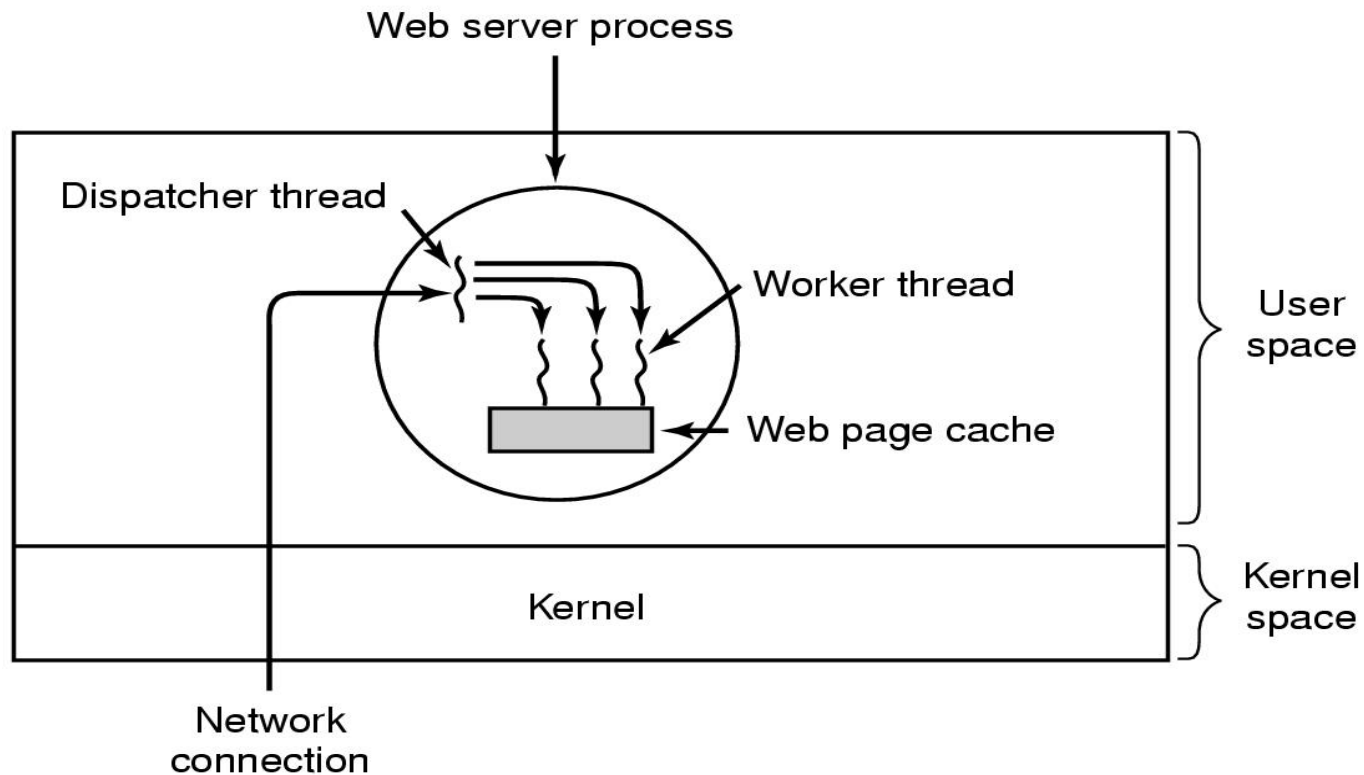


# Benefits

- **Resource Sharing**
  - Sharing the address space and other resources may result in high degree of cooperation
- **Economy**
  - Creating/managing processes much more time consuming than managing threads: e.g., context switch
- **Better Utilization of Multicore Architectures**
  - Threads are doing job concurrently
  - Multithreading an interactive application may allow a program to continue running even if part of it is blocked or performing a lengthy operation

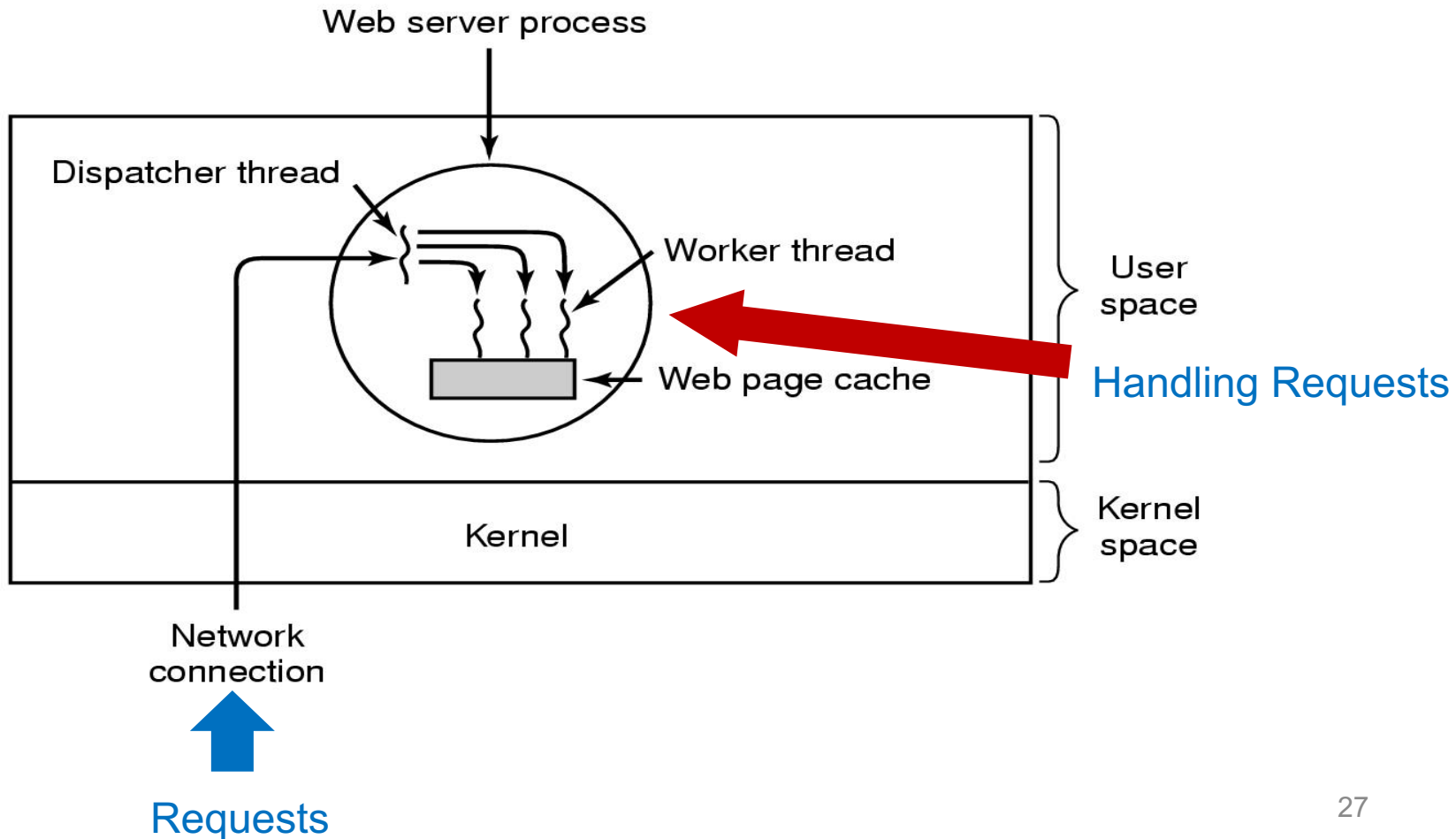
# Example Multithreaded Applications

- A multithreaded web server



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# Code Sketch

```
while (TRUE) {  
    get_next_request(&buf);  
    handoff_work(&buf);  
}
```

(a) Dispatcher thread

```
while (TRUE) {  
    wait_for_work(&buf);  
    check_cache(&buf; &page);  
    if (not_in_cache)  
        read_from_disk(&buf, &page);  
    return_page(&page);  
}
```

(b) Worker thread

# Example: Memcached

- Memcached— A high-performance memory-based caching system
  - 14k lines of C source code
  - <https://memcached.org/>
- A typical multithreaded server implementation
  - Pthread + libevent
  - A dispatcher thread dispatches newly coming connections to the worker threads in a round-robin manner
  - Event-driven: Each worker thread is responsible for serving requests from the established connections



# Using Threads

- Processes usually start with a single thread
- Usually, library procedures are invoked to manage threads
  - `thread_create`: typically specifies the name of the procedure for the new thread to run
  - `thread_exit`
  - `thread_join`: blocks the calling thread until another (specific) thread has exited
  - `thread_yield`: voluntarily gives up the CPU to let another thread run

# Pthread

- A POSIX standard (IEEE 1003.1c) API for thread creation and synchronization
- API specifies behavior of the thread library, implementation is up to development of the library
- Common in UNIX (e.g., Linux) OSes

# Pthread APIs

Thread Call	Description
<code>pthread_create</code>	Create a new thread in the caller's address space
<code>pthread_exit</code>	Terminate the calling thread
<code>pthread_join</code>	Wait for a thread to terminate
<code>pthread_mutex_init</code>	Create a new mutex
<code>pthread_mutex_destroy</code>	Destroy a mutex
<code>pthread_mutex_lock</code>	Lock a mutex
<code>pthread_mutex_unlock</code>	Unlock a mutex
<code>pthread_cond_init</code>	Create a condition variable
<code>pthread_cond_destroy</code>	Destroy a condition variable
<code>pthread_cond_wait</code>	Wait on a condition variable
<code>pthread_cond_signal</code>	Release one thread waiting on a condition variable



# Pthread APIs

Thread Call	Description	
<code>pthread_create</code>	Create a new thread in the caller's address space	} Thread creation
<code>pthread_exit</code>	Terminate the calling thread	
<code>pthread_join</code>	Wait for a thread to terminate	
<code>pthread_mutex_init</code>	Create a new mutex	} Thread lock
<code>pthread_mutex_destroy</code>	Destroy a mutex	
<code>pthread_mutex_lock</code>	Lock a mutex	
<code>pthread_mutex_unlock</code>	Unlock a mutex	
<code>pthread_cond_init</code>	Create a condition variable	} Thread CV
<code>pthread_cond_destroy</code>	Destroy a condition variable	
<code>pthread_cond_wait</code>	Wait on a condition variable	
<code>pthread_cond_signal</code>	Release one thread waiting on a condition variable	

# Example of Using Pthread

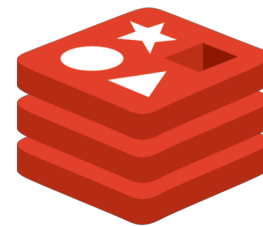
```
1  #include <stdio.h>
2  #include <assert.h>
3  #include <pthread.h>
4
5  void *mythread(void *arg) {
6      printf("%s\n", (char *) arg);
7      return NULL;
8  }
9
10 int
11 main(int argc, char *argv[]) {
12     pthread_t p1, p2;
13     int rc;
14     printf("main: begin\n");
15     rc = pthread_create(&p1, NULL, mythread, "A"); assert(rc == 0);
16     rc = pthread_create(&p2, NULL, mythread, "B"); assert(rc == 0);
17     // join waits for the threads to finish
18     rc = pthread_join(p1, NULL); assert(rc == 0);
19     rc = pthread_join(p2, NULL); assert(rc == 0);
20     printf("main: end\n");
21     return 0;
22 }
```

# Multithreading vs. Multi-processes

- Real-world debate
  - Memcached vs. Redis
- Redis — A single-threaded memory-based data store
  - <https://redis.io/>



**Memcached**



**redis**

# Wish List for Redis...

<http://goo.gl/N9UTKD>

## Wish List For Redis

- Explicit memory management.
- **Deployable (Lua) Scripts.** Talked about near the start.
- **Multi-threading.** Would make cluster management easier. Twitter has a lot of “tall boxes,” where a host has 100+ GB of memory and a lot of CPUs. To use the full capabilities of a server a lot of Redis instances need to be started on a physical machine. With multi-threading fewer instances would need to be started which is much easier to manage.